

**Master of Science in Finance      Risk Management Concentration**  
**Graduation AUDIT Form**  
Between 14 and 18 courses are required for the degree.

Name: \_\_\_\_\_ ID: \_\_\_\_\_ Date: \_\_\_\_\_

Please complete the following form when completing the MSF Graduation Application. **Unofficial transcripts can be found in LOCUS.**

1. Audits should be completed by the student by circling each course completed and writing the grade in the grade column.
2. Any course in progress should be marked "IP" (in-progress) in the grade column.
3. Courses which you anticipate completing in a future quarter should be marked with the expected quarter of completion (eg. Spring 2010) in the grade column.
4. Courses which were waived should be marked **W** in the grade column.
5. If you were given approval to replace a core course with an advanced level course - please write the new course number (eg. ACCT 402 for ACCT 400) next to the core requirement.
6. Please indicate number of courses waived and total number of courses needed below. You should have received this information when first admitted to the MSF program.

**Prerequisite Knowledge: Calculus Requirement:** Students must complete one undergraduate course in calculus with a grade of B or better prior to enrolling in the MSF program, or take ISOM 400 (Quantitative Methods).

Course Name	Course #	Waived	Grade
Quantitative Methods	ISOM 400		

**A. Fundamental Core (maximum of 4 courses)**

The first four courses in the following list may be waived, dependent upon the academic background and grades of the student. Completion of all of these courses is pre-requisite to all courses that follow.

Course Name	Course #	Waived	Grade
Financial Accounting	ACCT 400		
Managerial Economics	ECON 420		
Financial Management	FINC 450		
Managerial Statistics	ISOM 491		

**B. Risk Management Required Courses (10 courses)**

All courses in this section have all Section A courses as pre-requisites, with the exception of "Ethics of Finance" MGMT 448.

Course Name	Course #	Additional Prerequisites	Grade
Investments	FINC 452		
Ethics of Finance	MGMT 448		
Enterprise Risk Management	FINC/MGMT 557		
Financial Mathematics and Modeling I	FINC 620		
Financial Mathematics and Modeling II	FINC 621		
Applied Econometrics	FINC/ECON 625		
Derivative Securities	FINC 622		
Advanced Derivatives	FINC 623	FINC 622	
Financial Risk Management	FINC 551	FINC 622	
Structured Finance and Credit Risk Management	FINC 626	FINC 622	

**C. Capstone Required Course (1 course)**

Course Name	Course #	Additional Prerequisites	Grade
Finance Capstone Course	FINC 630	FINC 620, FINC 621	

**D. Risk Management Electives (2 courses from the following list)**

Course Name	Course #	Prerequisites	Grade
Financial Statement Analysis	ACCT 404	ACCT 400	
Accounting for Financial Instruments	ACCT 406	ACCT 400	
Applied Portfolio Management	FINC 553	FINC 450	
Financial Markets and Institutions	FINC 451	FINC 450	
International Financial Management	FINC 455	FINC 450	
Management of Financial Institutions	FINC 456	FINC 450	
Cases in Corporate Finance	FINC 457	FINC 450	
Emerging Financial Markets	FINC 552	FINC 450	

**E. Open Elective (1 course)**

One course must be chosen from any non-finance courses within the GSB for which the student has appropriate prerequisites.

Course Name	Course #	Prerequisites	Grade