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UA2015.21, UA2017.32, UA2017.47

## George G. Kaufman, Ph.D., Papers

**Dates:** 1963-2017, Undated

**Creator:** Kaufman, George G. (1933-)

**Extent:** 61.63 linear feet

**Level of description:** Folder

**Processor & date:** Bryan Morey, April 2018

### Administration Information

**Restrictions:** None

**Copyright:** Consult archivist for copyright information.

**Citation:** Loyola University Chicago. Archives & Special Collections. George G. Kaufman, Ph.D., Papers, 1963-2017. Box #, Folder #.

**Provenance:** Donated to the Loyola University Chicago University Archives & Special Collections by George Kaufman in 2015 and 2017.

**Separations:** 3 linear feet of duplicates and extraneous materials were discarded.

**See Also:** Tassos Malliaris, Ph.D., papers, 1970-2015; records of the Quinlan School of Business; consult the Veteran Faculty and Staff Oral History Project

### Biographical Sketch

George G. Kaufman was born in 1933 in Berlin, Germany. When he was three years old, his family moved to Amsterdam in the Netherlands, then to New York City when he was seven. They settled in Manhattan, where he attended public schools.

Kaufman earned his B.A. in Physics from Oberlin College in 1954, and his M.A. in Economics from the University of Michigan in 1954. After completing his Master's degree, he served twenty-one months in the U.S. Navy, visiting the Mediterranean, Caribbean, and Greenland during his tour of duty. He was allowed to end his two-year enlistment three months early out of his two-year enlistment in order to attend the University of Iowa, where he earned his Ph.D. in economics in 1962.

In 1959, while still working on his Ph.D., Kaufman began a research fellowship with the Federal Reserve Bank of Chicago. He was hired as a full time research economist upon completion of his Ph.D. In 1970, he began teaching at the University of Oregon, where he was the John B. Rogers Professor of Banking and Finance for ten years. While there, he taught at the University of California-Berkeley and Stanford University, while on leave of absence. He also served as the Deputy to Assistant Secretary for Economic Policy at the U.S. Treasury Department from August-December 1976.



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In 1981, Dr. Kaufman returned to Chicago where he accepted the John F. Smith Professor of Finance and Economics endowed chair at Loyola University Chicago and worked as a consultant for the Federal Reserve Bank of Chicago. Dr. Kaufman also spent traveling to Washington, D.C., where he delivered statements before Congress. In 2004, he spent a year working at the Reserve Bank of New Zealand.

In addition to his endowed chair position, Dr. Kaufman served as Director of the Center for Financial and Policy Studies at Loyola's School of Business. The Center's primary function was to host finance and policy symposiums, and host seminars given by faculty from other universities. At Loyola, Dr. Kaufman served as the first chairman for the rank and tenure committee, the Research Committee within the School of Business administration, and the Graduate Curriculum Committee and the University Investment Committee.

Dr. Kaufman was very involved in public policy and financial regulation, cofounding the Shadow Financial Regulatory Committee in 1986. Comprised of academic and professional economists, the Shadow Financial Regulatory Committee analyzed the financial services industry from a public interest and public safety perspective. Its primary focus was on regulation of the financial services industry, with the goal of helping improve the efficiency and safety of financial systems. The Committee's greatest contribution to public policy was the development of a program to create a safer regulatory structure. Congress accepted this program and implemented it as a major part of the Federal Deposit Insurance Corporation Improvement Act of 1991.

Dr. Kaufman delivered over a dozen statements before various committees of the United States Congress, including statements related to the failure of Superior Bank FSB in Hinsdale, Illinois. In 1992 Dr. Kaufman founded the Financial Economists Roundtable, which gathered senior members of the field who had made contributions to the discipline to meet annually to discuss policy issues. He co-founded two academic journals: *Journal for Financial Services Research* and *Journal of Financial Stability*, and served as President of four professional organizations: Western Economic Association, American Finance Association, Midwest Finance Association, and the North American Economics and Finance Association.

Dr. Kaufman retired from Loyola and was named a Professor Emeritus in December 2016.

### **Scope and Content**

The George G. Kaufman, Ph.D., papers consist of 61.63 linear feet spanning the years 1963-2017 and include academic journals, articles, books, opinion editorials, book reviews, presentation transparencies and slides, drafts, notes, class materials, correspondence, meeting agendas and minutes, photographs, cassette and VHS tapes, an



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IBM computer program, award plaques, office decorations, and briefcases. Arrangement is chronologically by series. A list of books included with this collection is available from the archivist.

## **Series**

### **Series 1: Loyola Materials, 2003-2017, Undated. Boxes 1-2.**

Series 1 contains papers related to Dr. Kaufman's work as the John F. Smith Professor of Economics and Finance at Loyola University Chicago. These records include course notes, slides, exams, homework, and quizzes, departmental correspondence, and business cards. This series is divided into three subseries:

*Subseries 1A: Class Materials, c. 2010s-2015, Undated, Boxes 1-2*

Contains lecture notes, blank exams and quizzes, answer keys, homework assignments, handouts, and slides for Finance 451 and Finance 337.

*Subseries 1B: Office and Department Correspondence, 2003-2017, Box 2*

Contains a list of Center for Financial and Policy Studies symposia, comments on proposals for a talk, and correspondence regarding Dr. Kaufman's retirement.

*Subseries 1C: Business Cards, Undated, Box 2*

Contains multiple styles of Dr. Kaufman's Loyola University Chicago business cards.

### **Series 2: Kaufman Publications and Papers, 1963-2017. Boxes 3-56.**

Series 2 contains materials related to Dr. Kaufman's publications spanning the years 1963-2017. These materials include drafts, notes, comments, related correspondence, final drafts, proofs, and published copies. Many of these publications were co-authored with other economists and finance professionals. Topics include monetary policy, duration rates, bank runs, systemic risk, bank regulation, Federal Reserve Bank, deposit insurance, Federal Deposit Insurance Corporation, Savings and Loan Crisis, Too-Big-to-Fail, 2007 financial crisis, financial stability, cross-border banking, asset bubbles, and Basel II.

Various publication formats include academic journals, non-profit think tank publications, books, book chapters, newspaper articles, and an IBM computer program. This series is divided into seven subseries:

*Subseries 2A: Articles, 1963-2016, Boxes 3-45*

Contains drafts, notes, comments, correspondence, related research, and published copies.

*Subseries 2B: Book Chapters, 1986-2015, Boxes 45-52*



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Contains drafts, notes, comments, correspondence, related research, and scans of chapters in published book.

*Subseries 2C: Books, Pamphlets, and Unpublished Papers, 1977-2017, Boxes 52-54*

Contains drafts, notes, comments, correspondence, research for published pamphlets and small books, as well as for unpublished material. A letter from Dr. Anna Schwartz also appears.

*Subseries 2D: Publishing Contracts and Royalty Statements, 1970-2015, Box 54*

Contains publishing contracts and royalty statements for several of Dr. Kaufman's books.

*Subseries 2E: Opinion Editorials, 1984-2013, Boxes 54-55*

Contains drafts, notes, correspondence, and published copies of opinion editorials written by Dr. Kaufman and published in various newspapers and journals, such as *The Wall Street Journal*, *Chicago Tribune*, and *American Banker*. Topics include banking, bank runs, Basel II, deposit insurance, and the 2007 financial crisis.

*Subseries 2F: Book Reviews, 1966-2014, Box 55*

Contains book reviews Dr. Kaufman wrote for academic journals.

*Subseries 2G: Computer Programs, 1984-1989, Box 56*

Contains a paper about a computer program on bank interest rate risk management that Dr. Kaufman developed with G. O. Bierwag. This subseries also contains the IBM disks for the second version of that computer program.

**Series 3: Shadow Financial Regulatory Committee (SFRC), 1985-2017. Boxes 56-85.**

Series 3 contains materials related to the Shadow Financial Regulatory Committee (SFRC), which Dr. Kaufman co-founded in 1986. The purpose of the committee was to analyze and comment upon developments in the financial services industry, study current issues in that industry, provide recommendations for appropriate legislative or regulatory responses, and comment on public finance policy issues. The group was comprised of academic finance and economics professionals who met quarterly between 1986 and 2015, when the group ceased operations. The American Enterprise Institute (AEI) funded SFRC operation between 1998 and 2015, although the committee remained an independent policy group and did not speak on behalf of AEI. Dr. Kaufman served as co-chairman of the SFRC for the entirety of its existence. Beginning in the 1990s, several international SFRCs formed around the world, including Europe, Japan, Asia, Australia-New Zealand, and Latin America. Records related to the SFRC are arranged in five subseries:



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*Subseries 3A: U.S. Shadow Financial Regulatory Committee Meetings, 1986-2015, Boxes 56-71*

Contains materials organized by meeting. Materials include correspondence, meeting minutes, policy statements, preliminary meeting agendas, final meeting agendas, and notes. This subseries is arranged with Dr. Kaufman's meeting files preserved chronologically. At the end of the subseries, extra copies of meeting agendas, minutes, and correspondence appear in chronological order.

*Subseries 3B: Joint International Shadow Financial Regulatory Committee, 1997-2016, Boxes 71-75*

Contains materials related to Joint International SFRC meetings, including correspondence, minutes, and policy statements. This subseries also contains records pertaining to a private grant the SFRC received from the Smith Richardson Foundation to support joint international meetings.

*Subseries 3C: Shadow Financial Regulatory Committee Publications, 1986-2016, Boxes 75-81*

Contains US SFRC policy statements, journal articles written by members about the committee, and books written jointly by committee members about pressing public policy issues.

*Subseries 3D: Shadow Financial Regulatory Committee Administrative Documents, 1985-2015, Boxes 81-84*

Contains documents and correspondence related to the founding of the SFRC, by-laws, annual reports, and 501(c)3 tax information.

*Subseries 3E: Shadow Financial Regulatory Committee Presentations, 1989-2017, Boxes 84-85*

Contains records related to presentations about the committee given by SFRC members at various conferences and professional meetings.

**Series 4: Federal Reserve Bank, 1963-2016. Boxes 85-100.**

Series 4 contains articles, papers, and presentations Dr. Kaufman wrote and gave for various Federal Reserve Bank branches over the course of his career. Topics include banking, regulation, deregulation, Savings and Loan crisis, mortgage lending, bank contagion, monetary policy, deposit insurance, and asset bubbles. This series is divided into four subseries:

*Subseries 4A: Federal Reserve Bank Internal and Occasional Papers: 1963-2006, Boxes 85-92*



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Contains articles and papers printed by various Federal Reserve Bank branches and intended primarily for Federal Reserve Bank employees. These papers were printed primarily by the Federal Reserve Bank of Chicago.

*Subseries 4B: Federal Reserve Bank Journal Articles, 1980-2012, Boxes 92-96*

Contains Dr. Kaufman's articles published in various Federal Reserve Bank branch journals. Materials include notes, drafts, correspondence, and final published copies.

*Subseries 4C: Federal Reserve Bank Conferences, 1984-2016, Boxes 96-99*

Contains materials related to presentations Dr. Kaufman gave at Federal Reserve Bank conferences around the United States.

*Subseries 4D: Federal Reserve Bank Conference Comments, 1988-2003, Boxes 99-100*

Contains formal comments Dr. Kaufman gave on other papers at Federal Reserve Bank conferences around the United States.

**Series 5: Professional Organizations and Committees, 1979-2017. Boxes 100-115.**

Series 5 contains meeting minutes, notes, and other materials related to professional organization meetings. This series also contains Dr. Kaufman's papers presented at professional organization meetings and conferences, as well as materials from conferences, Dr. Kaufman's formal comments at meetings and conferences, and reports Dr. Kaufman wrote for professional organizations.

Professional organizations include the Western Economic Association, Western Finance Association, Financial Economists Roundtable, Allied Social Sciences Association, Midwest Finance Association, Financial Management Association, North American Economic and Finance Association, Athenian Forum, Financial Management Association International, International Banking, Economics, and Finance Association, and American Bankers Association.

Series 5 is divided into five subseries:

*Subseries 5A: Meetings, 1994-2017, Boxes 100-108*

Contains meeting minutes, notes, correspondence, and travel information for various professional organization meetings Dr. Kaufman attended. Some materials are for executive board meetings for organizations where Dr. Kaufman was a member of the executive board. Other materials are for annual meetings open to all members of the particular professional organization. Meeting minutes and notes for the Financial Economists Roundtable, which Dr. Kaufman founded, are included.



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*Subseries 5B: Paper Presentations, 1979-2016, Boxes 108-112*

Contains slides, notes, and papers Dr. Kaufman presented at professional organization meetings and conferences.

*Subseries 5C: Conferences, 2000-2012, Boxes 112-115*

Contains conference materials acquired at various professional organization conferences.

*Subseries 5D: Conference and Meeting Comments, 1986-2010, Box 115*

Contains formal comments Dr. Kaufman gave at professional organization conferences and meetings.

*Subseries 5E: Reports, 1983, Box 115*

Contains a progress report Dr. Kaufman co-authored for the Western Finance Association.

**Series 6: Presentations, Lectures, and Panel Participation, 1980-2016. Boxes 116-129.**

Series 6 contains presentations, notes, and slides related to formal presentations, lectures, and panels in which he participated at conferences, universities, and banks. Email correspondence with Milton Friedman and Anna Schwartz appears under subseries 6B. Series 6 is divided into three subseries:

*Subseries 6A: Conferences, 1980-2010, Boxes 116-120*

Contains travel and conference information, as well as correspondence, papers, notes, and slides for presentations Dr. Kaufman gave at conferences.

*Subseries 6B: Universities, 1984-2016, Boxes 120-126*

Contains travel information, papers, notes, slides, and correspondence related to presentations Dr. Kaufman gave at various colleges and universities. Email correspondence with Milton Friedman appears in a set of notes, correspondence, and papers related to an honorary degree bestowed upon Anna Schwartz by Loyola University Chicago.

*Subseries 6C: Banks, 2001-2013, Boxes 126-129*

Contains travel information and correspondence, papers, notes, and slides for presentations Dr. Kaufman gave at professional and government banks.

**Series 7: Congressional Statements and Government Reports, 1975-2005. Boxes 129-133.**



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Series 7 contains oral and written statements Dr. Kaufman delivered before various committees and subcommittees in the United States House of Representatives and Senate. Included is research and statements delivered regarding the failure of Superior Bank FSB in 2001, which was located in Hinsdale, Illinois. Research related to the ongoing Superior Bank failure issues over the years after he delivered his statement before Congress is also included.

**Series 8: Publications (Other Authors), 1966-2017. Boxes 133-138, 141-142.**

Series 8 contains research articles and chapters not written by George Kaufman that he retained in his files.

**Series 9: Awards, 1983-2003. Boxes 138-139.**

Series 9 contains awards given to Dr. Kaufman over the course of his career. Of special note are the correspondence, notes, and speeches related to the Adam Smith Address award given to Dr. Kaufman and his colleagues by the National Association for Business Economics.

**Series 10: Office Materials, 2008, Undated. Boxes 139-140.**

Series 10 contains office decorations, commemorative desk clocks, and briefcases.

**Subjects:**

Allied Social Sciences Association  
American Bankers Association  
American Enterprise Institute  
Asset bubbles  
Athenian Forum  
Bank contagion  
Banking  
Bank runs  
Benston, George  
Bierwag, G. O.  
Cross-border banking  
Deposit insurance  
Deregulation  
Duration rates  
Eisenbeis, Robert  
Federal Deposit Insurance Corporation  
Federal Reserve Bank  
Federal Reserve Bank of Chicago  
FDICIA  
Financial crisis, 2007  
Financial Economists Roundtable





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Financial Management Association  
Financial Management Association International  
Financial Markets and Institutions  
Financial Services Industry  
Financial stability  
Friedman, Milton  
Graduate Curriculum Committee  
Graduate School of Business  
International Banking, Economics, and Finance Association  
Journal of Financial Services Research  
Journal of Financial Stability  
Kaufman, George G.  
Litan, Robert  
Loyola University Chicago  
Malliaris, A. G. (Tassos)  
Midwest Finance Association  
Monetary policy  
Mortgage lending  
North American Economic and Finance Association  
Oberlin College  
Public Policy  
Quinlan School of Business  
Rank and Tenure Committee, School of Business  
Research Committee, School of Business  
Regulation  
Savings and Loan Crisis  
Schwartz, Anna  
Seelig, Steven  
Shadow Financial Regulatory Committee  
Stanford University  
Superior Bank FSB  
Systemic Risk  
Too big to fail  
University Investment Committee  
University of Iowa  
University of Oregon  
University of California-Berkeley  
University of Southern California  
US Congress  
US House of Representatives  
US Senate  
Western Economic Association



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Western Finance Association



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**Creator: Kaufman, George G. (1933-)**

<u>Box</u>	<u>Folder</u>	<u>Title</u>	<u>Dates</u>
<b><u>Series 1: Loyola Materials, 2003-2017, Undated</u></b> <i>Class Materials</i>			
1	1	Various Class Notes	c. 2010s
1	2	Various Class Notes and Articles	c. 2010s
1	3	Finance 451: Financial Markets and Institutions; Finance 337: Money and Capital Markets - Exams, notes, homework (1 of 2)	Fall 2013-14; Spring 2007, 2012
1	4	Finance 451: Financial Markets and Institutions; Finance 337: Money and Capital Markets - Exams, notes, homework (2 of 2)	Fall 2013-14; Spring 2007, 2012
1	5	Finance 451: Financial Markets and Institutions - Handouts, Syllabi, and Exams	Fall 2008-12, 2014-15
1	6	Finance 451: Financial Markets and Institutions - readings; Finance 337 - Homework Assignments	Fall 2012, Spring 2013
2	1	Various Class Notes	c. 2013
2	2	Finance 337: Money and Capital Markets; Finance 451: Financial Markets and Institutions - Class Materials and Quizzes	Spring 2013, Fall 2014
2	3	Finance 451: Financial Markets and Institutions - Notes and Handouts	Fall 2013
2	4	Finance 451: Financial Markets and Institutions - Handouts	Fall 2013
2	5	Finance 451: Financial Institutions - Class Notes	Fall 2014
2	6	Finance 451: Financial Institutions - Class Notes	Fall 2015
2	7	Finance 451: Financial Markets and Institutions - Handouts	Fall 2015
2	8	Various Class Notes	Undated
<i>Office and Department Correspondence</i>			
2	9	Center for Financial and Policy Studies - List of Symposia	2003-2015
2	10	George Kaufman Comments on John Boatright Proposal to Chicago Fed for Business Ethics Talk	2015
2	11	Loyola Correspondence Regarding Temporary Office	July 2017



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*Business Cards*

2	12	George Kaufman Loyola University Chicago Business Cards - 820 N. Michigan Avenue	Undated
2	13	George Kaufman Loyola University Chicago Business Cards - Maguire Hall	Undated
2	14	George Kaufman Loyola University Chicago Business Cards - 25 E. Pearson Street	Undated
2	15	George Kaufman Speaker Name Tag - The Mixing of Banking and Commerce	Undated

**Series 2: Kaufman Publications and Papers, 1963-  
2017**

*Articles*

3	1	"Commercial Banks, Near-Banks, and Federal Reserve Control - A Review by George Kaufman" - <i>Iowa Business Digest</i> Vol. 32, No. 4	April 1963
3	2	"Factors Determining Bank Deposit Growth By State: An Empirical Analysis" - Bruce Cohen and George Kaufman - <i>The Journal of Finance</i> Vol. 20, No. 1	March 1965
3	3	"Is the Federal Reserve System Really Necessary?": Comment" - George Kaufman - <i>The Journal of Finance</i> Vol. 20, No. 3	September 1965
3	4	"Bank Market Structure and Performance: The Evidence From Iowa" - George Kaufman - <i>The Southern Economic Journal</i> Vol. 32, No. 4	April 1966
3	5	"Near Banks and Local Savings" - George Kaufman and Cynthia Latta - <i>The National Banking Review</i> Vol. 3, No. 4	June 1966
3	6	"The Demand for Money: Preliminary Evidence from Industrial Countries" - George Kaufman and Cynthia Latta - <i>Journal of Financial and Quantitative Analysis</i> Vol. 1, No. 3	September 1966
3	7	"Indicators of Monetary Policy: Theory and Evidence" - George Kaufman - <i>The National Banking Review</i> Vol. 4, No. 4	June 1967
3	8	"The Sensitivity of Interest Rates to Changes in Money and Income" - William E. Gibson and George Kaufman - <i>The Journal of Political Economy</i> Vol. 76, No. 3	May/June 1968
3	9	"Proposed Experiment in Monetary Policy" - George Kaufman - <i>Financial Analysts Journal</i>	November - December 1968



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3	10	"More on an Empirical Definition of Money" - George Kaufman - <i>The American Economic Review</i> Vol. 59, No. 1	March 1969
3	11	"Current Issues in Monetary Economics and Policy: A Review" - George Kaufman - New York University Graduate School of Business Administration Institute of Finance - <i>The Bulletin No. 57</i>	May 1969
3	12	"Financial Assets and Economic Activity" - George Kaufman - <i>The Southern Journal of Business</i> Vol. 4, No. 4	October 1969
3	13	"Bank Employment: A Cross-Section Analysis of the World's Largest Banks" - George Kaufman - <i>Journal of Money, Credit and Banking</i> Vol. 2, No. 1	February 1970
3	14	"An Empirical Study of Interest Rate Determination: A Comment" - William Gibson and George Kaufman - <i>The Review of Economics and Statistics</i> Vol. 52, No. 3	August 1970
3	15	"Implications of Federal Reserve Operations on Monetary Aggregates: The Evidence from the FRB-MIT Model" - George Kaufman, Anne Marie Laporte, and Robert Laurent - <i>Nebraska Journal of Economics and Business</i> Vol. 9, No. 4	Autumn 1970
3	16	"Simulating Policy Strategies Under Two Alternative Monetary Policy Regimes" - George Kaufman and Robert Laurent - <i>The Quarterly Review of Economics and Business</i> Vol. 10, No. 4	Winter 1970
4	1	"Modifying the Investment Powers of Savings and Loan Associations" - Peter Dietz and George Kaufman - College of Business Administration - University of Oregon, Eugene, Oregon	April 1971
4	2	"The Structure and Performance of Commercial Banking in Oregon" - George Kaufman - <i>Oregon Business Review</i> Vol. 30, No. 5	May 1971
4	3	"Bank Credit Cards in Oregon" - George Kaufman and Matthew Kingman - <i>Oregon Business Review</i> Vol. 30, No. 12	December 1971
4	4	"The Thrift Institution Problem Reconsidered" - George Kaufman - <i>Journal of Bank Research</i> Vol. 3, No. 1 (University of Oregon reprint)	Spring 1972



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4	5	"The Strange Journey of Monetary Indicators" - George Kaufman - <i>Journal of Financial and Quantitative Analysis</i> (University of Oregon reprint)	March 1972
4	6	"A Proposal for Eliminating Interest Rate Ceilings on Thrift Institutions" - George Kaufman - <i>Journal of Money, Credit, and Banking</i> (University of Oregon reprint)	August 1972
4	7	"Federal Reserve Inability to Control the Money Supply: A Self-Fulfilling Prophecy" - George Kaufman - <i>Financial Analysts Journal</i> (University of Oregon reprint)	September / October 1972
4	8	"Lowest Bond Bid Costs Minnesota Extra One Million Dollars" - Michael Hopewell, George Kaufman, and Richard West - College of Business Administration - University of Oregon	October 1972
4	9	"Deposit Variability and Bank Size" - George Kaufman - <i>Journal of Financial and Quantitative Analysis</i> and University of Oregon reprint	December 1972
4	10	"Cost Savings to State and Local Governments in the Selling of New Bonds" - George Kaufman and Michael Hopewell - Center for Capital Market Research - University of Oregon	April 9, 1973
4	11	"The Case for the Long-Term Zero-Coupon Treasury Bond" - George Kaufman - <i>The Bankers Magazine</i> - University of Oregon reprint	Autumn 1973
5	1	"The Questionable Benefit of Variable-Rate Mortgages" - George Kaufman - <i>Quarterly Review of Economics and Business</i> Vol. 13, No. 3	Autumn 1973
5	2	"Bond Price Volatility and Term to Maturity: A Generalized Respecification" - Michael Hopewell and George Kaufman - <i>American Economic Review</i> Vo. 63, No. 4 (1 of 2)	September 1973
5	3	"Bond Price Volatility and Term to Maturity: A Generalized Respecification" - Michael Hopewell and George Kaufman - <i>American Economic Review</i> Vo. 63, No. 4 (2 of 2)	September 1973
5	4	"The Cost of Inefficient Coupons on Municipal Bonds" - Michael Hopewell and George Kaufman - <i>Journal of Financial and Quantitative Analysis</i>	March 1974



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5	5	"Improving Competitive Bidding Procedures For Municipal Bonds" - George Kaufman - <i>Governmental Finance</i>	August 1974
5	6	"Costs to Municipalities of Selling Bonds by NIC" - Michael Hopewell and George Kaufman - <i>National Tax Journal</i> Vol. 27, No. 4 (1 of 2)	December 1974
5	7	"Costs to Municipalities of Selling Bonds by NIC" - Michael Hopewell and George Kaufman - <i>National Tax Journal</i> Vol. 27, No. 4 (2 of 2)	December 1974
5	8	"Inflation, Interest Rates, and Taxes: The Fisher Effect in a Taxing World" - George Kaufman - University of Oregon Center for Capital Markets Research	February 1975
6	1	"Improved Bidding Constraints on Municipal Bonds Sold Competitively by NIC" - George Kaufman - <i>Governmental Finance</i> Vol. 4, No. 1	February 1975
6	2	"Promised Yields, Realized Yields, and Bond Investment Strategy: An Elementary Exposition" - George Kaufman - Draft - (1 of 2)	June 1975
6	3	"Promised Yields, Realized Yields, and Bond Investment Strategy: An Elementary Exposition" - George Kaufman - Draft - (2 of 2)	June 1975
6	4	"Treasury Bill Auction Procedures: Comment" - Henry Goldstein and George Kaufman - <i>The Journal of Finance</i> Vol. 30, No. 3	June 1975
6	5	"The Case for Mortgage Rate Insurance" - George Kaufman - <i>Journal of Money, Credit, and Banking</i> reprint	November 1975
6	6	"The Municipal Bond Auction: Reply" - Michael Hopewell and George Kaufman - <i>National Tax Journal</i> Vol. 29, No. 1	March 1976
6	7	"State and Regional Effects on the Interest Cost of Municipal Bonds in the US" - George Kaufman - <i>Local Finance</i> reprint	June 1976
6	8	"The Municipal Bond Sale That Didn't A Case Study" - Earl Bensen and George Kaufman - University of Oregon Center for Capital Markets Research	November 1976



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6	9	"Teaching of the Basic Money and Financial Institutions Course" - George Kaufman - <i>Journal of Financial and Quantitative Analysis</i>	November 1976
6	10	"Variable Rate Residential Mortgage: Implications for Borrowers" - Gerald Albaum and George Kaufman - University of Oregon Center for Capital Market Research (1 of 3)	June 1977
6	11	"Variable Rate Residential Mortgage: Implications for Borrowers" - Gerald Albaum and George Kaufman - University of Oregon Center for Capital Market Research (2 of 3)	June 1977
7	1	"Variable Rate Residential Mortgage: Implications for Borrowers" - Gerald Albaum and George Kaufman - University of Oregon Center for Capital Market Research (3 of 3)	June 1977
7	2	"Coping with the Risk of Interest Rate Fluctuation: A Note" - G. O. Bierwag and George Kaufman - <i>Journal of Business of the University of Chicago</i> Vol. 50, No. 3 reprint	July 1977
7	3	"Financial Intermediaries and Variable Rate Mortgages" - George Kaufman - Federal Home Loan Bank Board	August 1977
7	4	"How Consumers See the VRM" - Gerald Albaum and George Kaufman - <i>Federal Home Loan Bank Board Journal</i> Vol. 10, No. 8	August 1977
7	5	"Commercial Bank Bidding on Municipal Revenue Bonds: New Evidence" - Michael Hopewell and George Kaufman - <i>The Journal of Finance</i> Vol. 32, No. 5	December 1977
7	6	"The Incidence of Excess Interest Costs Paid by Municipalities in the Competitive Sale of Bonds" - Michael Hopewell and George Kaufman - <i>Journal of Monetary Economics</i> 4	1978
7	7	"Variable Rate Mortgages" - George Kaufman - <i>Alternative Mortgage Instruments</i> reprint	1978
7	8	"Measuring Risk and Return for Bonds: A New Approach" - George Kaufman - <i>Journal of Bank Research</i> Vol. 9, No. 2	Summer 1978





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7	9	"Bond Portfolio Strategy Simulations: A Critique" - G. O. Bierwag and George Kaufman - <i>Journal of Financial and Quantitative Analysis</i>	September 1978
7	10	"A Critical Review of the Alternative Mortgage Instruments Research Study" - Gerald Albaum and George Kaufman - Federal Home Loan Bank Board Invited Research Working Paper No. 19	October 1978
7	11	"Duration of Bond Portfolio Analysis: An Overview" - G. O. Bierwag, George Kaufman, and Chulsoon Khang - <i>Journal of Financial and Quantitative Analysis</i>	November 1978
7	12	"Comment on PIC: An Alternative Approach" - Michael Hopewell and George Kaufman - <i>Journal of the Financial Management Association</i> Vol. 8, No. 2	Summer 1979
7	13	"The Mortgage Acquisition Process: A Comparison of VRM and FRM Borrowers" - George Kaufman - <i>Journal of American Real Estate and Urban Economics Association</i> Vol. 7, No. 2	Summer 1979
7	14	"Municipal Debt Management" - George Kaufman	August 1979
8	1	"Bank Eligibility to Underwrite Municipal Revenue Issues and the Cost of Borrowing: An Empirical Analysis" - G. O. Bierwag, Michael Hopewell, and George Kaufman - Securities Industry Association	August 1979
8	2	"Management Strategies for Savings and Loan Association to Reduce Interest Rate Risk" - G. O. Bierwag, George Kaufman, and Alden Toevs - New Sources of Capital for the Savings and Loan Industry - Federal Home Loan Bank of San Francisco	December 6-7, 1979
8	3	"Risk and Return for Active and Passive Bond Portfolio Management: Theory and Evidence" - G. O. Bierwag, George Kaufman, Robert Schweitzer, and Alden Toevs - <i>Journal of Portfolio Management</i>	January 1980
8	4	"Duration, Planning Period, and Tests of the Capital Asset Pricing Model" - George Kaufman - <i>Journal of Financial Research</i> Vol. 3, No. 1	Spring 1980
8	5	"Standardizing Yields on Mortgages and Other Securities" - George Kaufman and George Morgan - <i>Journal of American Real Estate and Urban Economics Association</i> Vol. 8, No. 2	Summer 1980



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8	6	"The Sensitivity of Immunization to Correctly and Incorrectly Identified Stochastic Processes" - G. O. Bierwag, George Kaufman, and Alden Toevs	August 1980
8	7	List of Center for Capital Market Research Publications - University of Oregon, 1972-1980	October 1980
8	8	"Competition in the Tax-Exempt Bond Market: Comment" - George Kaufman	1981
8	9	"The Art of Risk Management in Bond Portfolios" - G. O. Bierwag, George Kaufman, R. Schweitzer, and A. Toevs - <i>Journal of Portfolio Management</i> Vol. 7, No. 3 (1 of 2)	Spring 1981
8	10	"The Art of Risk Management in Bond Portfolios" - G. O. Bierwag, George Kaufman, R. Schweitzer, and A. Toevs - <i>Journal of Portfolio Management</i> Vol. 7, No. 3 (2 of 2)	Spring 1981
9	1	"Municipal Bond Underwriting: Market Structure" - George Kaufman - LUC Working Paper Series - Reprint from <i>Journal of Bank Research</i>	Spring 1981
9	2	"Managing Thrift Institutions in an Inflationary Environment" - George Kaufman - <i>Journal of Credit Union Management and Economics</i> Vol. 1, No. 1	Summer 1981
9	3	"Bond Portfolio Immunization and Stochastic Process Risk" - G. O. Bierwag, George Kaufman, and Alden Toevs - <i>Journal of Bank Research</i>	October 1981
9	4	"Single Factor Duration Models in a Discrete General Equilibrium Framework" - G. O. Bierwag, George Kaufman, and Alden Toevs - <i>The Journal of Finance</i> Vol. 37, No. 2 (1 of 2)	May 1982
9	5	"Single Factor Duration Models in a Discrete General Equilibrium Framework" - G. O. Bierwag, George Kaufman, and Alden Toevs - <i>The Journal of Finance</i> Vol. 37, No. 2 (2 of 2)	May 1982
9	6	"Immunization Strategies for Funding Multiple Liabilities" - G. O. Bierwag, George Kaufman, and Alden Toevs	June 1982
9	7	"The October 1979 Regime of Monetary Control and Behavior of the Money Supply in 1980: Comment" - George Kaufman	July 1982



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9	8	"Empirical Tests of Alternative Single Factor Duration Models" - G. O. Bierwag, George Kaufman, and Alden Toevs - Draft	August 1982
9	9	"Financing the National Debt: Time for Innovation" - George Kaufman - <i>Background</i> - The Heritage Foundation	August 9, 1982
9	10	"Search Theory and the Prohibition Against Commercial Bank Underwriting of Municipal Bonds" - George Kaufman - <i>Journal of Financial Research</i> Vol. 5, No. 3	Fall 1982
9	11	"Immunizing with Alternative Single Factor Duration Models" - Revised - G. O. Bierwag, George Kaufman, and Alden Toevs	October 1982
9	12	"The Tax Treatment of Municipal Discount Bonds: Rebuttal and Expansion" - George Kaufman - <i>Financial Management</i> Vol. 11	Winter 1982
9	13	"Bond Portfolio Immunization and Stochastic Process Risk" - G. O. Bierwag, George Kaufman, and Alden Toevs - <i>Journal of Bank Research</i> Vol. 13, No. 4	Winter 1983
10	1	"Monetarism at the Fed" - George Kaufman - <i>Journal of Contemporary Studies</i> Vol. 6, No. 1 (1 of 3)	Winter 1983
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10	4	"Home Equity Conversion: Increasing the Private Incomes of the Elderly" - George Kaufman and Jon Paulsen - <i>Real Estate Issues</i> Vol. 8, No. 1	Spring / Summer 1983
10	5	"Duration: Its Development and Use in Bond Portfolio Management" - G. O. Bierwag, George Kaufman, and Alden Toevs - <i>Financial Analysts Journal</i>	July / August 1983
10	6	"Bank Runs, Deposit Insurance and Liquidity: Comment" - George Kaufman	September 1983
10	7	"Duration Based Strategies for Managing Bank Interest Rate Risk" - George Kaufman and A. G. Malliaris - Drafts	November 1983



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10	8	"Duration Based Strategies for Managing Bank Interest Rate Risk" - George Kaufman and A. G. Malliaris - <i>Geld, Banken und Verisicherungen</i>	1984
11	1	"Interest Rate Effects of Commercial Bank Underwriting of Municipal Revenue Bonds" - G. O. Bierwag, George Kaufman, and Paul Leonard - <i>Journal of Banking and Finance</i> Vol. 8	1984
11	2	"The Securities Activities of Commercial Banks: A Legal and Economic Analysis" - Thomas Fischer, William Gram, George Kaufman, and Larry Mote - <i>Tennessee Law Review</i> Vol. 51, No. 3 (1 of 2)	Spring 1984
11	3	"The Securities Activities of Commercial Banks: A Legal and Economic Analysis" - Thomas Fischer, William Gram, George Kaufman, and Larry Mote - <i>Tennessee Law Review</i> Vol. 51, No. 3 (2 of 2)	Spring 1984
11	4	"Consequences of Deregulation for Commercial Banking" - George Kaufman, Larry Mote, and Harvey Rosenblum - <i>Journal of Finance</i> Vol. 39, No. 3	July 1984
11	5	"The Role of Traditional Mortgage Lenders in Future Mortgage Lending: Problems and Prospects" - George Kaufman - <i>Housing Finance Review</i> Vol. 3, No. 3	July 1984
11	6	"The Academic Preparation of Economists Employed by Commercial and Investment Banks" - George Kaufman - <i>Journal of Money, Credit, and Banking</i> Vol. 16, No. 3 (1 of 2)	August 1984
12	1	"The Academic Preparation of Economists Employed by Commercial and Investment Banks" - George Kaufman - <i>Journal of Money, Credit, and Banking</i> Vol. 16, No. 3 (2 of 2)	August 1984
12	2	"Rankings of Finance Departments by Faculty Presentation on Editorial Boards of Professional Journals: A Note" - George Kaufman - <i>Journal of Finance</i> Vol. 39, No. 4	September 1984
12	3	"Are 100 Percent Deposit Guarantees Needed to Combat Large Bank Failures?" - George Kaufman	October 10, 1984
12	4	"Integrating Asset/Liability Management to Finance Mortgage Portfolios" - George Kaufman - Federal Home Loan Bank of San Francisco 10th Annual Conference - Proceedings (1 of 2)	December 1984



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12	5	"Integrating Asset/Liability Management to Finance Mortgage Portfolios" - George Kaufman - Federal Home Loan Bank of San Francisco 10th Annual Conference - Proceedings (2 of 2)	December 1984
12	6	Chain Store Data, 1921-1985	1985
12	7	"Implications of Large Bank Problems and Insolvencies for the Banking Industry and Economic Policy" - George Kaufman - Issues in Bank Administration - Bank Administration Institute	Winter 1985
12	8	"Banking Deregulation: A Force for Good or Evil?" - George Kaufman	January 1985
13	1	"Duration Gap for Financial Institutions" - G. O. Bierwag and George Kaufman - <i>Financial Analysts Journal</i> (1 of 2)	March/April 1985
13	2	"Duration Gap for Financial Institutions" - G. O. Bierwag and George Kaufman - <i>Financial Analysts Journal</i> (2 of 2)	March/April 1985
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13	4	"Federal Bank Regulatory Policy: Comment on Kareken" - George Kaufman - <i>Journal of Business</i> Vol. 59, No. 1 (2 of 2)	January 1986
13	5	"The Bank As Grocery Store" - George Kaufman - <i>American Banker</i>	July 25, 1986
13	6	"Banking Deregulation in the United States" - George Kaufman - <i>The Irish Banking Review</i> (1 of 2)	Autumn 1986
13	7	"Banking Deregulation in the United States" - George Kaufman - <i>The Irish Banking Review</i> (2 of 2)	Autumn 1986
14	1	"The Usefulness of Duration: Responses to Critics" - G. O. Bierwag, George Kaufman, Cynthia Latta, and Gordon Roberts" - <i>The Journal of Portfolio Management</i> - Associated drafts and papers (1 of 2)	1985-1987 / Winter 1987
14	2	"The Usefulness of Duration: Responses to Critics" - G. O. Bierwag, George Kaufman, Cynthia Latta, and Gordon Roberts" - <i>The Journal of Portfolio Management</i> (2 of 2)	Winter 1987



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14	3	"Bank Capital Forbearance and Public Policy" - George Kaufman - <i>Contemporary Policy Issues</i> Vol. 5	January 1987
14	4	"Bond Portfolio Immunization: Tests of Maturity, One- and Two-Factor Duration Matching Strategies" - G. O. Bierwag, George Kaufman, and Cynthia Latta - drafts and final in <i>The Financial Review</i> Vol. 22, No. 2	February 12, 1987 / February 23, 1987 / May 1987
14	5	"Securities Activities of Commercial Banks: Recent Changes in the Economic and Legal Environments" - George Kaufman - Draft	May 12, 1987
14	6	"Risk and Solvency Regulation of Depository Institutions" - George Benston and George Kaufman - Draft for American Enterprise Institute	May 18, 1987
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14	8	"Durations of Nondefault-Free Securities" - G. O. Bierwag and George Kaufman - Draft - <i>Financial Analysts Journal</i> and Research Foundation of the Institute of Chartered Financial Analysts	December 22, 1987 / July/August 1988 / 1989
15	1	"Framework for the Future: Resurrecting and Legitimizing the Thrift Industry" - George Kaufman - Reprinted from <i>The Future of the Thrift Industry</i> - Federal Home Loan Bank of San Francisco	1988
15	2	"Risk and Solvency Regulation of Depository Institutions: Past Policies and Current Options" - George Benston and George Kaufman - Salomon Brothers Center for the Study of Financial Institutions - Graduate School of Business Administration - New York University (1 of 2)	1988
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15	4	"Bank Runs: Causes, Benefits, and Costs" - George Kaufman - <i>The Cato Journal</i> Vol. 7, No. 3	Winter 1988



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15	5	"Securities Activities of Commercial Banks: Recent Changes in the Economic and Legal Environments" - George Kaufman - <i>Midland Corporate Finance Journal</i>	Winter 1988
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15	7	"Securities Activities of Commercial Banks: Recent Changes in the Economic and Legal Environments" - George Kaufman - <i>Journal of Financial Services Research</i> Vol. 1, No. 2 (2 of 2)	January 1988
15	8	"A Modest Proposal for Stabilizing Banking" - George Benston and George Kaufman - Draft	March 15, 1988
16	1	"A Simple and Workable Reform for Stabilizing Banking: Adequate Capital and Timely Reorganization Before Insolvency" - George Kaufman - Draft	March 15 - May 25, 1988
16	2	"Duration Models: A Taxonomy" - G. O. Bierwag, George Kaufman, and Cynthia Latta - <i>Journal of Portfolio Management</i> Vol. 15, No. 1	Fall 1988
16	3	"Comments on 'Thrift Deregulation and Federal Deposit Insurance' by James R. Barth and Michael G. Bradley" - George Kaufman - <i>Journal of Financial Services Research</i>	1989
16	4	"Securities Activities of Commercial Banks: The Current Economic and Legal Environment" - Larry Mote and George Kaufman - <i>Research in Financial Services</i> Vol. 1	1989
16	5	"Commercial Bank Securities Activities: What Really Happened in 1902" - George Kaufman and Larry Mote - <i>Journal of Money, Credit, and Banking</i> Vol. 24, No. 3 - August 1992	1989-1992
16	6	"Durations as a measure of basic risk: The wrong answer at low cost - rejoinder" - G. O. Bierwag, George Kaufman, Cynthia Latta, and Gordon Roberts - <i>Journal of Portfolio Management</i> Vol. 15, No. 4	Summer 1989



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16	7	"Purpose and Operation of the Shadow Financial Regulatory Committee" - George Kaufman - reprinted from <i>Restructuring the American Financial System</i>	1990
16	8	"Make FDIC Insurance Redundant" - George Kaufman - <i>Challenge</i>	January / February 1990
16	9	"Understanding the Savings-and-Loan Debacle" - George Benston and George Kaufman - <i>The Public Interest</i> No. 99	Spring 1990
17	1	"Computing Durations for Bond Portfolios" - Gerald Bierwag, Charles Corrado, and George Kaufman - <i>Journal of Portfolio Management</i> Vol. 17, No. 1 (1 of 2)	Fall 1990
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17	3	"Glass-Steagall: Repeal by Regulatory and Judicial Reinterpretation" - George Kaufman and Larry Mote - <i>The Banking Law Journal</i> Vol. 107, No. 5	September - October 1990
17	4	"Are Some Banks Too Large to Fail? Myth and Reality" - George Kaufman - <i>Contemporary Policy Issues</i> reprint	October 1990
17	5	"Proposals for Deposit Insurance Reform" - George Kaufman - <i>Financial Managers' Statement</i>	November / December 1990
17	6	"The S&L Debacle: Who is to Blame - and where did all the money go?" - George Kaufman - <i>The Global Asset Manager</i>	Winter 1990/91
17	7	"'Too Big to Fail' Has Failed Big" - George Kaufman - Chicago Clearing House Association <i>BiLine</i> Issue 1	1991
17	8	"Capital in Banking: Past, Present, and Future" - George Kaufman - <i>Journal of Financial Services Research</i> 5	1991
18	1	"Duration Gaps with Futures and Swaps for Managing Interest Rate Risk at Depository Institutions" - Gerald Bierwag and George Kaufman - <i>Journal of Financial Services Research</i> 5	1991
18	2	"Lender of Last Resort: A Contemporary Perspective" - George Kaufman - <i>Journal of Financial Services Research</i> Vol. 5	1991





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18	3	"Expected Bond Returns and Durations: A General Model" - G. O. Bierwag and George Kaufman - <i>Financial Analysts Journal</i> (1 of 2)	January - February 1991
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18	5	"Too Large to Fail is Too Costly to Continue" - George Kaufman - <i>Consumer Finance Law Quarterly Report</i> Vol. 45, No. 2 (1 of 2)	Spring 1991
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18	7	"Analyzing Deposit Insurance as a Put Option: A Clarification" - George Kaufman - <i>Journal of Financial Engineering</i> Vol. 1, No. 3 - drafts and final	October 18, 1991 - December 1992
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19	1	"Incentive Conflict in Deposit-Institution Regulation: Evidence from Australia" - Edward Kane and George Kaufman - Deakin University Working Paper / Federal Reserve Bank of Chicago Working Paper (1 of 3)	May 1992 - 1993
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19	4	"Deposit Insurance Reform: A Functional Approach A Comment" - George Benston and George Kaufman - Carnegie-Rochester Conference Series on Public Policy 38	August 14, 1992 - 1993
19	5	"Revising Financial Sector Policy in Transitional Socialist Economies: Discussion" - George Kaufman	July 2, 1993
19	6	"Bank Failures in the United States in the 1980s" - George Kaufman - <i>Revue D'Economie Financière</i> - Draft	October 20, 1993
19	7	"Bank Contagion: A Review of the Theory and Evidence" - George Kaufman - <i>Journal of Financial Services Research</i> (1 of 2)	1994
19	8	"Bank Contagion: A Review of the Theory and Evidence" - George Kaufman - <i>Journal of Financial Services Research</i> (2 of 2)	1994
19	9	"Exploring the Real Interest Rate Puzzle" - Elijah Brewer III and George Kaufman - <i>The Quarterly Review of Economics and Finance</i> Vol. 34, No. 4	1994
20	1	"The Current State of Banking Reform" - George Kaufman - <i>Research in Financial Services</i> Vol. 6 (1 of 2)	1994
20	2	"The Current State of Banking Reform" - George Kaufman - <i>Research in Financial Services</i> Vol. 6 (2 of 2)	1994
20	3	"FDICIA: The Early Evidence" - George Kaufman - <i>Challenge</i> Vol. 37, No. 4	July / August 1994
20	4	"In Defense of FDICIA: Reply to Golembe" - George Benston and George Kaufman	July 26, 1994
20	5	"Comment On Systemic Risk" - George Kaufman - <i>Research in Financial Services Private and Public Policy</i> Vol. 7	1995
20	6	"FDICIA and Bank Capital" - George Kaufman - <i>Journal of Banking and Finance</i> 19	1995
20	7	"Is the Banking and Payments System Fragile?" - George Benston and George Kaufman - <i>Journal of Financial Services Research</i> 9 (1 of 3)	1995
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20	9	"Is the Banking and Payments System Fragile?" - George Benston and George Kaufman - <i>Journal of Financial Services Research</i> 9 (3 of 3)	1995
21	1	"The US Banking Debacle of the 1980s: A Lesson in Government Mismanagement" - George Kaufman - <i>The Freeman</i>	April 1995
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21	3	"The US Banking Debacle of the 1980s: A Lesson in Government Mismanagement" - George Kaufman - <i>The Financier</i> " ACMT Vol. 2, No. 2 (2 of 3)	May 1995
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21	5	"The Role of Economists in Public Policy" - George Kaufman - <i>The Quarterly Review of Economics and Finance</i> Vol. 35, No. 2	Summer 1995
21	6	"Systemic Risk in Banking and Finance: Myth or Reality?" - George Kaufman - F.A.S. Public Interest Report - <i>Journal of the Federation of American Scientists</i>	September / October 1995
21	7	"Commercial Banking and Securities Activities: A Survey of the Risks and Returns" - George Benston and George Kaufman - ABA Securities Association	October 1995
21	8	"What is Good and What is Bad in the National Commission Report" - George Kaufman - <i>Research in Financial Services Private and Public Policy</i> Vol. 8	1996
21	9	"Managing Bank Interest Rate Risk with Duration Gaps to Achieve Multiple Targets" - G. O. Bierwag and George Kaufman - <i>The Journal of Financial Engineering</i> Vol. 5, No. 1 (1 of 2)	March 1996
21	10	"Managing Bank Interest Rate Risk with Duration Gaps to Achieve Multiple Targets" - G. O. Bierwag and George Kaufman - <i>The Journal of Financial Engineering</i> Vol. 5, No. 1 (2 of 2)	March 1996
22	1	"Are Credit Card Banks Banks or Sales Finance Companies" - George Kaufman	April 4, 1996



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22	2	"The Appropriate Role of Bank Regulation" - George Benston and George Kaufman - <i>The Economic Journal</i>	May 1996
22	3	"Preventing Banking Crises in the Future: Lessons from Past Mistakes" - George Kaufman - <i>The Independent Review</i> Vol. 2, No. 1 - drafts and final (1 of 2)	May 28, 1996 - Summer 1997
22	4	"Preventing Banking Crises in the Future: Lessons from Past Mistakes" - George Kaufman - <i>The Independent Review</i> Vol. 2, No. 1 - drafts and final (2 of 2)	May 28, 1996 - Summer 1997
22	5	Bank Fragility Study - Bank Failure Statistics	1996
22	6	Bank Fragility Study - Capital-to-Asset Ratios	1996
22	7	Bank Fragility Study	1996
22	8	"Bank Failures , Systemic Risk, and Bank Regulation - George Kaufman - <i>The Cato Journal</i> Vol. 16, No. 1 (1 of 2)	Spring / Summer 1996
22	9	"Bank Failures , Systemic Risk, and Bank Regulation - George Kaufman - <i>The Cato Journal</i> Vol. 16, No. 1 (2 of 2)	Spring / Summer 1996
23	1	"Safe and Sound Financial Systems: What Works for Latin America?" (in Turkish) - George Kaufman and Randall Kroszner - "Finansal Piyasalarin Derinleşmesi" - <i>Uluslararası sempozyumu</i>	November 1996
23	2	"Comment on Financial Crises, Payment System Problems, and Discount Window Lending" - George Kaufman - <i>Journal of Money, Credit and Banking</i> Part 2 - (1 of 2)	November 1996
23	3	"Comment on Financial Crises, Payment System Problems, and Discount Window Lending" - George Kaufman - <i>Journal of Money, Credit and Banking</i> Part 2 - (2 of 2)	November 1996
23	4	Bank Fragility - research and drafts (1 of 3)	1997
23	5	Bank Fragility - research and drafts (2 of 3)	1997
23	6	Bank Fragility - research and drafts (3 of 3)	1997
23	7	"FDICIA After Five Years: What Has Worked and What Has Not?" - George Kaufman - <i>Research in Financial Services: Private and Public Policy</i> Vol. 9	1997



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24	1	"The New Depositor Preference Act: Time Inconsistency in Action" - George Kaufman - <i>Managerial Finance</i> Vol. 23, No. 11 (1 of 5)	1997
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24	3	"The New Depositor Preference Act: Time Inconsistency in Action" - George Kaufman - <i>Managerial Finance</i> Vol. 23, No. 11 (3 of 5)	1997
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24	6	Too Big to Fail research	1997
24	7	"FDICIA After Five Years" - George Benston and George Kaufman - <i>Journal of Economic Perspectives</i> Vol. 11, No. 3	Summer 1997
25	1	"Preventing Banking Crises in the Future: Lessons from Past Mistakes" - George Kaufman - <i>Review of Monetary and Financial Studies</i> Vol. 13/14	November 1997
25	2	"Central Banks, Asset Bubbles, and Financial Stability" - George Kaufman - <i>Research in Financial Services: Private and Public Policy</i> Vol. 10	1998
25	3	"Designing an Efficient and Incentive Compatible Government - Provided Deposit Insurance Program for Developing Transitional Economies" - George Kaufman - <i>Review of Pacific Basin Financial Markets and Policies</i> Vol. 1, No. 1	1998
25	4	"Securities Activities of Commercial Banks: Recent Changes in the Economic and Legal Environments" - George Kaufman - <i>Midland Corporate Finance Journal</i> Vol. 5, No. 4	Winter 1988
25	5	"The Problem in Russia and Asia: Transforming State Banks into Private Banks" - George Kaufman	September 9, 1998
25	6	"Helping to Prevent Banking Crises: Taking the 'State' Out of State Banks" - George Kaufman - <i>Review of Pacific Basin Financial Markets and Policies</i> Vol. 2, No. 1	1999



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25	7	"The Merton and Scholes Nobel Prize Acceptance Lectures: The Sources Cited" - George Kaufman - <i>Financial Practice and Education</i>	December 1998 - Fall/Winter 1999
25	8	"Comments on 'The Subsidy Provided by the Federal Safety Net: Theory and Measurement'" - George Kaufman - <i>Journal of Financial Services Research</i> 17:1	2000
25	9	"Banking and Currency Crises and Systemic Risk: A Taxonomy and Review" - George Kaufman - <i>Financial Markets, Institutions, and Instruments</i> Vol. 9, No. 2 (1 of 3)	2000
26	1	"Banking and Currency Crises and Systemic Risk: A Taxonomy and Review" - George Kaufman - <i>Financial Markets, Institutions, and Instruments</i> Vol. 9, No. 2 (2 of 3)	2000
26	2	"Banking and Currency Crises and Systemic Risk: A Taxonomy and Review" - George Kaufman - <i>Financial Markets, Institutions, and Instruments</i> Vol. 9, No. 2 (3 of 3)	2000
26	3	"Comment on Benston and Wood" - George Kaufman - <i>Journal of Financial Services Research</i> 18: 2/3	2000
26	4	"How Real is the Risk of a Massive Banking Collapse?" - George Kaufman - <i>Regulation</i> Vol. 23, No. 1	2000
26	5	"Macroeconomic Stability, Bank Soundness, and Designing Optimum Regulatory Structures" - George Kaufman - <i>Multinational Finance Journal</i> - Draft	January 30, 2000
26	6	Fed research	2000
26	7	"Banking Reform to Prevent Future Crises" - George Kaufman - <i>Asymmetries in Financial Globalization</i> - Draft	November 28, 2000
27	1	"What is Systemic Risk and Do Bank Regulators Retard or Contribute to it?" - George Kaufman and Kenneth Scott - Drafts and research (1 of 2)	2000-2001
27	2	"What is Systemic Risk and Do Bank Regulators Retard or Contribute to it?" - George Kaufman and Kenneth Scott - Drafts and research (2 of 2)	2000-2001
27	3	"Comment and Discussion" - George Kaufman - Brookings-Wharton Papers on Financial Services	2001



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31	2	Capital Measures Research	2003
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39	5	Industrial Loan Company Research (1 of 3)	2006
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40	1	Financial Centers Research	2007
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43	4	"The Financial Crisis of 2007-09: Missing Financial Regulation or Absentee Regulators?" - George Kaufman and A. G. Malliaris	June 25, 2009
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45	6	Duration rates and Asset price bubble notes	2016
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45	8	"Not All Financial Crises are Alike!" - Robert Eisenbeis and George Kaufman - <i>Atlantic Economic Journal</i>	2016
45	9	"Will DFA End TBTF?" - Richard Nelson and George Kaufman - Draft	February 29, 2016
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49	3	"Basel II: The Roar that Moused" - George Kaufman - chapter in <i>New Basel Capital Accord</i> (2004)	October 6, 2003 - 2004
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50	5	"Deposit Insurance" - Robert Eisenbeis and George Kaufman - chapter in <i>The Oxford Handbook of Banking</i> - draft and final	December 16, 2008 - 2010
50	6	"Bank Fragility: Perception and Historical Evidence" - George Kaufman - chapter in <i>Towards a Framework for Financial Stability</i> (1 of 2)	2009
50	7	"Bank Fragility: Perception and Historical Evidence" - George Kaufman - chapter in <i>Towards a Framework for Financial Stability</i> (2 of 2)	2009



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- |    |   |  |                           |
|----|---|--|---------------------------|
| 50 | 8 | "Lessons from the Demise of the UK's Northern Rock and the US's Countrywide and IndyMac" - Robert Eisenbeis and George Kaufman - chapter in <i>The Failure of Northern Rock</i> (1 of 2)   | 2009                      |
| 50 | 9 | "Lessons from the Demise of the UK's Northern Rock and the US's Countrywide and IndyMac" - Robert Eisenbeis and George Kaufman - chapter in <i>The Failure of Northern Rock</i> (2 of 2)   | 2009                      |
| 51 | 1 | "The Financial Turmoil of 2007-20XX: Causes, Culprits, and Bank Resolution" - George Kaufman - chapter in <i>Financial Crisis Management and Bank Resolution</i>   | 2009                      |
| 51 | 2 | "The World of Unintended Consequences: A Post-Mortem on Regulation Q and Prologue for the Future" - Robert Eisenbeis and George Kaufman - chapter in <i>The Financial Crisis and the Regulation of Finance</i> - draft and final | June 15, 2009 - 2011      |
| 51 | 3 | "Basel II Has Been a Costly Distraction on the Road to Minimizing the Societal Cost of Bank Failures and Financial Crises" - Robert Bliss and George Kaufman - chapter in <i>Financial Institutions and Markets</i>              | 2010                      |
| 51 | 4 | "Resolving Large Complex Financial Institutions Within and Across Jurisdictions" - Robert Bliss and George Kaufman - chapter in <i>Managing Risk in the Financial System</i> - draft and final (1 of 2)                          | February 26, 2010 - 2011  |
| 51 | 5 | "Resolving Large Complex Financial Institutions Within and Across Jurisdictions" - Robert Bliss and George Kaufman - chapter in <i>Managing Risk in the Financial System</i> - draft and final (2 of 2)                          | February 26, 2010 - 2011  |
| 51 | 6 | "'Living Wills': Putting the Caboose Before the Engine and Designing a Better Engine" - George Kaufman - chapter in <i>Managing Risk in the Financial System</i> - draft and final   | May 4, 2010 - 2011        |
| 51 | 7 | "Runs on Chartered and Shadow Banks: The Mechanics and Implications" - Robert Bliss and George Kaufman - chapter in <i>Financial Contagion: The Viral Threat to the Wealth of Nations</i> - draft and final                      | September 20, 2010 - 2011 |



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51	8	"A Program for Minimizing the Private and Public Costs of Bank Failures" - George Kaufman - chapter in <i>Financial Market Regulation: Legislation and Implications</i>	2011
51	9	"Commentary on Randall S. Kroszner and Robert J. Shiller" - George Kaufman - published in <i>Reforming U.S. Financial Markets: Reflections Before and Beyond Dodd-Frank</i>	2011
51	10	"Deposit Insurance Issues in the Post-2008 Crisis World" - Robert Eisenbeis and George Kaufman - chapter in <i>The Oxford Handbook of Banking Second Edition</i> - proofs, correspondence	2014
52	1	"Deposit Insurance Issues in the Post-2008 Crisis World" - Robert Eisenbeis and George Kaufman - chapter in <i>The Oxford Handbook</i>	2015
52	2	"The Dodd-Frank Act: Systemic Risk, Enhanced Prudential Regulation, and Orderly Liquidation" - George Kaufman and R. W. Nelson - chapter in <i>The First Great Financial Crisis of the 21st Century: A Retrospective</i>	April 8, 2015
<i>Books, Pamphlets, Studies, and Unpublished Papers</i>			
52	3	"Improving Bidding Rules to Reduce Interest Costs in the Competitive Sale of Municipal Bonds" - Center for Capital Market Research - University of Oregon	1977
52	4	Double Liability Research (including letter from Anna Schwartz)	1985-1987
52	5	"Durations of Nondefault-Free Securities" - G. O. Bierwag and George Kaufman - The Research Foundation of the Institute of Chartered Financial Analysts	1989
52	6	"Blueprint for Restructuring America's Financial Institutions Report of a Task Force" - George Kaufman (member of a seven person task force) - The Brookings Institute	1989
52	7	"Banking and the Illinois Economy: Recommendations for Improving the Performance of Both" - George Kaufman - draft (1 of 2)	June 12, 1989



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52	8	"Banking and the Illinois Economy: Recommendations for Improving the Performance of Both" - George Kaufman - final (2 of 2)	July 1989
52	9	Bank Crises Research - Bank Failure Data	c. 1990s
52	10	Bank Crises Research	c. 1990s
53	1	Bank Crises Research - Failure Study	c. 1990s
53	2	Bank Crises Research - Capital Asset Ratios	c. 1990s
53	3	"The Intellectual History of the Federal Deposit Insurance Corporation Improvement Act of 1991" - George Benston and George Kaufman - chapter in <i>Reforming Financial Institutions and Markets in the United States</i> - George Kaufman ed.	1994
53	4	"The Problem in Russia and Asia: Transforming State Banks into Private Banks" - George Kaufman	September 9, 1998
53	5	"The Demise of UK's Northern Rock and Large U.S. Financial Institutions: Public Policy Lessons" - Robert Eisenbeis and George Kaufman	July 3, 2009
53	6	"The Financial Crisis of 2007-09: Missing Financial Regulation or Absentee Regulators?" - George Kaufman and A. G. Malliaris	June 25, 2009
53	7	"The First Great Financial Crisis of the 21st Century: A Retrospective" - George Kaufman co-editor	2014
53	8	"Innovative Federal Reserve Policies During the Great Financial Crisis" - George Kaufman editor - Publisher agreement, drafts, correspondence (1 of 3)	2016-2017
53	9	"Innovative Federal Reserve Policies During the Great Financial Crisis" - George Kaufman editor - Publisher agreement, drafts, correspondence (2 of 3)	2016-2017
54	1	"Innovative Federal Reserve Policies During the Great Financial Crisis" - George Kaufman editor - Publisher agreement, drafts, correspondence (3 of 3)	2016-2017
54	2	George Kaufman graduate assistant instructions and correspondence regarding "Innovative Federal Reserve Policies During the Great Financial Crisis"	2013-2017
<i>Publishing Contracts and Royalty Statements</i>			
54	3	Book royalties - McGraw Hill Book Company - <i>Current Issues in Monetary Economics</i> - William Gibson and George Kaufman	1970-1983



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54	4	Book contract and copyright agreement - <i>The Financial System: Money, Markets, and Institutions</i> - George Kaufman	1977-2004
54	5	Elsevier Royalty statements - <i>Research in Financial Services</i> - George Kaufman	1991-2010
54	6	"Bank Runs" contract - <i>The Concise Encyclopedia of Economics</i>	January 3, 2005
54	7	Palgrave royalty statement - <i>Financial Institutions and Markets</i> - George Kaufman	2009-2014
54	8	Emerald royalty statement	2011-2014
54	9	Oxford University Press royalty statement, permissions, and overview draft - <i>New Perspectives on Asset Price Bubbles</i> - George Kaufman editor	2011-2014
54	10	Book contract - <i>The Great Financial Crisis of the 21st Century: A Retrospective</i>	February 4, 2014
54	11	<i>Journal of Financial Economic Policy</i> vol. 7, no. purchase request	2015
<i>Opinion Editorials</i>			
54	12	"Bank Failure Fears Are Overdrawn" - George Kaufman - <i>Wall Street Journal</i> opinion editorial	October 12, 1984
55	1	"Banking Without the Barriers" - George Kaufman - <i>Chicago Tribune</i> opinion editorial	January 15, 1985
55	2	"Bank Reform Could Be Bargain" - George Kaufman - <i>Chicago Tribune</i> opinion editorial	April 15, 1985
55	3	"Exorcising the Myth of Banking the Old Way" - George Kaufman - <i>Chicago Tribune</i> opinion editorial	April 24, 1995
55	4	"Credit to the Needy Needn't Be Predatory" - George Kaufman - <i>Chicago Tribune</i> opinion editorial	August 16, 2000
55	5	"Congress Should Not Monkey with Deposit Insurance System" - George Kaufman - <i>American Banker</i>	August 10, 2001
55	6	"Prompt Closures Better than Deposit Insurance" - George Kaufman - <i>American Banker</i> opinion editorial	July 28, 2006
55	7	"Why a Run on UK's Northern Rock and Not on US's Countrywide?" - Robert Eisenbeis and George Kaufman - <i>Cumberland Advisors and Wall Street Journal Economics Blog</i> opinion editorial	October 16 and 26, 2007





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55	8	"If Northern Rock Had Been in the U.S." - Robert Eisenbeis and George Kaufman - <i>American Banker</i> opinion editorial	December 14, 2007
55	9	"In Banking, 'Too Big to Fail' is Not What it Says" - George Kaufman	February 20, 2008
55	10	"Turmoil Reveals the Inadequacy of Basel II" - Harald Benink and George Kaufman - <i>Financial Times</i> opinion editorial	February 28, 2008
55	11	"Bank Regulatory Reform: Back to the Future" - George Kaufman - opinion editorial	August 16, 2013
55	12	"One Cheer for Bank Regulators" - Robert Eisenbeis and George Kaufman - <i>American Banker</i> opinion editorial	August 19, 2013
<i>Book Reviews</i>			
55	13	Book Reviews	1966-1982
55	14	Book review - "The Structure of Monetarism" - George Kaufman - <i>Journal of Money, Credit, and Banking</i>	February 1980
55	15	Book review - <i>The Big Fix: Inside the S&amp;L Scandal...</i> by James Ring Adams - George Kaufman - <i>Journal of Economic Literature</i>	March 1991
55	16	Book review - <i>The Collapse of Federally Insured Depositories: The Savings and Loans as Precursor</i> R. Dan Brumbaugh Jr. - George Kaufman - <i>The Journal of Finance</i> Vol. 49, No. 2	1993
55	17	Book review - <i>Bank Deregulation and Monetary Order</i> George Selgin - George Kaufman - <i>Journal of Banking and Finance</i> 22	1998
55	18	Review of <i>Financial Crisis in Emerging Markets</i> (2001) - George Kaufman - <i>Journal of Economic Literature</i> Vol. 42	June 24, 2003 - March 2004
55	19	Book review - <i>Size, Risk, and Governance in European Banking</i> Jens Hagendorff, Kevin Keasey, and Francesco Vallascas - George Kaufman - <i>Journal of Economic Literature</i> Vol. 52, No. 3	September 2014
<i>Computer Programs</i>			
56	1	"Bank Interest Rate Risk Management Game (D Game)" - G. O. Bierwag and George Kaufman	January 25, 1984



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56 2 DGAME 2.0 Bank Interest Rate Risk Management 1989  
Tutorial with Futures Module Enhancement - IBM  
software - G. Bierwag and George Kaufman

**Series 3: Shadow Financial Regulatory Committee  
(SFRC), 1985-2017**

*U.S. Shadow Financial Regulatory Committee  
Meetings*

56 3 Shadow Financial Institutions Regulation Agency 1986  
Meeting - Chicago, Illinois

56 4 Shadow Financial Institutions Regulation Agency 1986  
Meeting - Washington, D.C. (1 of 2)

56 5 Shadow Financial Institutions Regulation Agency 1986  
Meeting - Washington, D.C. (2 of 2)

56 6 Shadow Financial Regulatory Committee Meeting - 1986  
Washington, D.C.

56 7 Shadow Financial Regulatory Committee Meeting - 1986  
Washington, D.C.

56 8 Shadow Financial Regulatory Committee Meeting - 1986  
Chicago, Illinois

56 9 Shadow Financial Regulatory Committee Meeting - 1987  
Washington, D.C.

56 10 Shadow Financial Regulatory Committee Meeting - 1987  
Washington, D.C.

56 11 Shadow Financial Regulatory Committee Meeting - 1987  
Washington, D.C.

57 1 Shadow Financial Regulatory Committee Meeting - 1987  
Chapel Hill, North Carolina

57 2 Shadow Financial Regulatory Committee Meeting - 1988  
Washington, D.C.

57 3 Shadow Financial Regulatory Committee Meeting - 1988  
Washington, D.C.

57 4 Shadow Financial Regulatory Committee Meeting - 1988  
Washington, D.C.

57 5 Shadow Financial Regulatory Committee Meeting - 1988  
Washington, D.C.

57 6 Shadow Financial Regulatory Committee Meeting - 1989  
Washington, D.C.



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57	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 14-15, 1989
57	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 17-18, 1989
57	9	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 3-4, 1989
57	10	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 25-26, 1990
58	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 6-7, 1990
58	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 23-24, 1990
58	3	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 9-10, 1990
58	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 10-11, 1991
58	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 19-20, 1991
58	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 15-16, 1991
58	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 15-16, 1991
58	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 16-17, 1992
58	9	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 31 - June 1, 1992
58	10	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 13-14, 1992
59	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 13-14, 1992
59	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 28-March 1, 1993
59	3	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 23-24, 1993
59	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 19-20, 1993
59	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C. (1 of 2)	December 12-13, 1993



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59	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C. (2 of 2)	December 12-13, 1993
59	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 13-14, 1994
59	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 22-23, 1994
60	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 25-26, 1994
60	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 11-12, 1994
60	3	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 12-13, 1995
60	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C. (1 of 2)	May 22-23, 1995
60	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C. (2 of 2)	May 22-23, 1995
60	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 17-18, 1995
60	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 11-12, 1995
60	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 11-12, 1996
60	9	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 5-6, 1996
60	10	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 29-30, 1996
61	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 8-9, 1996
61	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	March 2-3, 1997
61	3	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 4-5, 1997
61	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C. (1 of 2)	September 21-22, 1997
61	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C. (2 of 2)	September 21-22, 1997
61	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 7-8, 1997



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61	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	March 1998
61	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 3-4, 1998
62	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 27-28, 1998
62	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 6-7, 1998
62	3	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 21-22, 1999
62	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	April 25-26, 1999
62	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 26-27, 1999
62	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 12-13, 1999
62	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 20-21, 2000
62	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 7-8, 2000
62	9	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 24-25, 2000
63	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 3-4, 2000
63	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 25-26, 2001
63	3	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 6-7, 2001
63	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 23-24, 2001
63	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 2-3, 2001
63	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C. (1 of 2)	February 24-25, 2002
63	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C. (2 of 2)	February 24-25, 2002
63	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 5-6, 2002



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63	9	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 22-23, 2002
64	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 7-8, 2002
64	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 24-25, 2003
64	3	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 4-5, 2003
64	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 21-22, 2003
64	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 7-8, 2003
64	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 8-9, 2004
64	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 23-24, 2004
64	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 19-20, 2004
64	9	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 5-6, 2004
64	10	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 13-14, 2005
65	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 15-16, 2005
65	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 11-12, 2005
65	3	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 4-5, 2005
65	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 12-13, 2006
65	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 7-8, 2006
65	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 17-18, 2006
65	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	October 11, 2006
65	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 3-4, 2006



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65	9	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 10-12, 2007
66	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 5-7, 2007
66	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 16-17, 2007
66	3	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 9-10, 2007
66	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 10-11, 2008
66	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 4-5, 2008
66	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 14-15, 2008
66	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 7-8, 2008
66	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 8-9, 2009
66	9	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 2-4, 2009
66	10	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 13-14, 2009
67	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 13-14, 2009
67	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 21-22, 2010
67	3	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	April 25-26, 2010
67	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 12-13, 2010
67	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 12-13, 2010
67	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 13-14, 2011
67	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 1-2, 2011
67	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 11-12, 2011



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67	9	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 4-5, 2011
67	10	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 11-13, 2012
68	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 5-7, 2012
68	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 23-24, 2012
68	3	Shadow Financial Regulatory Committee Statements correspondence	October 1, 2012
68	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 8-10, 2012
68	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 10-11, 2013
68	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 12-13, 2013
68	7	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 22-23, 2013
68	8	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 8-9, 2013
68	9	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 9-10, 2014
69	1	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	May 18-19, 2014
69	2	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 14-15, 2014
69	3	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 7-8, 2014
69	4	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	February 8-9, 2015
69	5	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	September 18-21, 2015
69	6	Shadow Financial Regulatory Committee Meeting - Washington, D.C.	December 6-7, 2015
69	7	Shadow Financial Regulatory Committee List of Guests 1986-1996	1986-1996
69	8	Shadow Financial Regulatory Committee List of Guests 1986-1997	1986-1997





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69	9	Shadow Financial Regulatory Committee List of Guests 1986-1998	1986-1998
69	10	Shadow Financial Regulatory Committee List of Guests 1986-1999	1986-1999
69	11	US Shadow Financial Regulatory Committee Member List	September 3, 2002
69	12	Shadow Financial Regulatory Committee List of Guests 1986-2003	1986-2003
69	13	Shadow Financial Regulatory Committee List of Guests 1986-2004	1986-2004
69	14	Shadow Financial Regulatory Committee Meeting Agendas	1986-2015
69	15	Shadow Financial Regulatory Committee Meeting Agendas	1986-2015
70	1	Shadow Financial Regulatory Committee Meeting Minutes	1986-1998
70	2	Shadow Financial Regulatory Committee Meeting Minutes	1999-2015
70	3	Shadow Financial Regulatory Committee Meeting Minutes	2002-2015
70	4	Shadow Financial Regulatory Committee Agendas, Minutes, Correspondence (1 of 2)	1986-1992
70	5	Shadow Financial Regulatory Committee Agendas, Minutes, Correspondence (2 of 2)	1986-1992
70	6	Shadow Financial Regulatory Committee Agendas, Minutes, Correspondence (1 of 2)	1993-1997
71	1	Shadow Financial Regulatory Committee Agendas, Minutes, Correspondence (2 of 2)	1993-1997
71	2	Shadow Financial Regulatory Committee Meeting Minutes	1986-2001
71	3	Shadow Financial Regulatory Committee Agendas, Minutes, purpose statement, announcements	1993-1997
71	4	Shadow Financial Regulatory Committee Agendas, Minutes, Correspondence	1998-2000
71	5	Shadow Financial Regulatory Committee Policy statements, minutes, and correspondence	2001-2012
71	6	Shadow Financial Regulatory Committee Press releases and associated policy statements	1992-1993



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*Joint International Shadow Financial Regulatory  
Committee Meetings*

71	7	European Shadow Financial Regulatory Committee	1997-2003
72	1	Joint Shadow Financial Regulatory Committee Meeting - Columbia University	October 2-3, 1998
72	2	Japan Shadow Financial Regulatory Committee Policy Statements	December 8, 1998
72	3	Joint Shadow Financial Regulatory Committee Meeting Statements	1999-2009
72	4	Joint Shadow Financial Regulatory Committee Meeting Statements	1999-2009
72	5	European Shadow Financial Regulatory Committee Statements and press release	March 1, 1999; April 27 - March, 2006
72	6	Joint Shadow Financial Regulatory Committee Meeting - New York	June 13-14, 1999
72	7	Joint Shadow Financial Regulatory Committee Statement - New York	June 14, 1999
72	8	Joint Shadow Financial Regulatory Committee Statement - Tokyo, Japan	October 16, 2000
72	9	Joint Shadow Financial Regulatory Committee Meeting - Tokyo, Japan	October 15-16, 2000
72	10	Latin American Shadow Financial Regulatory Committee Press release and policy statement	December 2000
72	11	Joint Shadow Financial Regulatory Committee Meeting - draft proposal	May 14, 2001
72	12	Bank for International Settlements - The Future of Bank Capital Standards - Erasmus University Rotterdam - Amsterdam (1 of 5)	June 18, 2001
72	13	Bank for International Settlements - The Future of Bank Capital Standards - Erasmus University Rotterdam - Amsterdam (2 of 5)	June 18, 2001
73	1	Bank for International Settlements - The Future of Bank Capital Standards - Erasmus University Rotterdam - photos - Amsterdam (3 of 5)	June 18, 2001
73	2	Bank for International Settlements - The Future of Bank Capital Standards - Erasmus University Rotterdam - Amsterdam (4 of 5)	June 18, 2001



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73	3	Bank for International Settlements - Joint Shadow Financial Regulatory Committee Statement - Amsterdam (5 of 5)	June 18, 2001
73	4	Joint Shadow Financial Regulatory Committee Statement - Washington, D. C.	October 7, 2002
73	5	Asian Shadow Financial Regulatory Committee Member List - Asian SFRC establishment memo	June 2, 2003
73	6	Joint Shadow Financial Regulatory Committee Meeting - Washington, D.C.	November 13-15, 2004
73	7	Various International Shadow Financial Regulatory Committee Policy Statements	2005-2012
73	8	Joint Shadow Financial Regulatory Committee Meeting - Seoul, South Korea	October 2005
73	9	Joint Shadow Financial Regulatory Committee Meeting	September 7-10, 2007
73	10	Joint Shadow Financial Regulatory Committee - Smith Richardson Foundation Grant	2010
73	11	Joint Shadow Financial Regulatory Committee Meeting - Santiago, Chile	August 15-16, 2009
73	12	Joint Shadow Financial Regulatory Committee - Smith Richardson Grant Proposal preliminary materials	December 7, 2009
74	1	Joint Shadow Financial Regulatory Committee - Smith Richardson Foundation Grant - preliminary proposals	December 7, 2009 - September 22, 2010
74	2	Joint Shadow Financial Regulatory Committee - Smith Richardson Foundation Grant - final proposal	August 13, 2010
74	3	Joint Shadow Financial Regulatory Committee - Smith Richardson Foundation, Inc. Grant - proposal and acceptance	August 13 - October 22, 2010
74	4	Joint Shadow Financial Regulatory Committee Meeting reimbursements - Washington, D.C.	October 2011
74	5	Joint Shadow Financial Regulatory Committee Meeting - Washington, D.C.	October 22-24, 2011
74	6	Joint Shadow Financial Regulatory Committee Meeting - Washington, D.C. - Attendee Expenses	October 22-24, 2011
74	7	Joint Shadow Financial Regulatory Committee - Smith Richardson Foundation Grant - Progress Report	November 4, 2011



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74	8	Joint Shadow Financial Regulatory Committee - Smith Richardson Foundation Grant Report	September 26, 2012
74	9	Joint Shadow Financial Regulatory Committee Meeting - Policy Statement - Tokyo, Japan	October 26-28, 2013
74	10	Joint Shadow Financial Regulatory Committee Meeting - Expenses and related event materials - Tokyo, Japan	October 26-28, 2013
75	1	Joint Shadow Financial Regulatory Committee Meeting - Travel Arrangement Correspondence - Tokyo, Japan	October 26-28, 2013
75	2	Joint Shadow Financial Regulatory Committee Public Conference Brochure - Tokyo, Japan	October 28, 2013
75	3	Joint Shadow Financial Regulatory Committee - Smith Richardson Grant update - Tokyo joint meeting	December 23, 2013
75	4	Joint Shadow Financial Regulatory Committee - Smith Richardson Foundation grant final report (1 of 2)	February 26, 2014
75	5	Joint Shadow Financial Regulatory Committee - Smith Richardson Foundation grant final report (2 of 2)	February 26, 2014
75	6	Joint Shadow Financial Regulatory Committee Meeting - Tokyo, Japan - Smith Richardson Foundation grant extension	September 2012 - February 2014
75	7	Joint Shadow Financial Regulatory Committee Smith Richardson Grant summary	2016
<i>Shadow Financial Regulatory Committee Publications</i>			
75	8	Shadow Financial Regulatory Committee List of Policy Statements 1986-2015	1986-2015
75	9	Shadow Financial Regulatory Committee List of Policy Statements 1986-2015	1986-2015
75	10	List of Shadow Financial Regulatory Committee Policy Statements 1986-2015	June 27, 2016
75	11	Shadow Financial Regulatory Committee Policy Statements 1-41	1986-1989
76	1	Shadow Financial Regulatory Committee Policy Statements 42-75	1989-1991



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76	2	Shadow Financial Regulatory Committee Policy Statements 76-123	1991-1995
76	3	Shadow Financial Regulatory Committee Policy Statements 124-174	1995-2001
76	4	Shadow Financial Regulatory Committee Policy Statements 175-213	2002-2004
76	5	Shadow Financial Regulatory Committee Policy Statements 214-253	2005-2007
76	6	Shadow Financial Regulatory Committee Policy Statements 254-296	2008-2010
76	7	Shadow Financial Regulatory Committee Policy Statements 297-357	2010-2015
77	1	Shadow Financial Regulatory Committee Policy Statements 1-73	1986-1991
77	2	Shadow Financial Regulatory Committee Policy Statements 74-164	1991-2000
77	3	Shadow Financial Regulatory Committee Policy Statements 165-220	2000-2005
77	4	Shadow Financial Regulatory Committee Policy Statements 135-195	1996-2003
77	5	Shadow Financial Regulatory Committee Policy Statements 1-13	1986
77	6	Shadow Financial Regulatory Committee Policy Statements 14-32	1986-1988
78	1	Shadow Financial Regulatory Committee Policy Statements 33-63	1988-1990
78	2	Shadow Financial Regulatory Committee Policy Statements 64-89	1990-1992
78	3	Shadow Financial Regulatory Committee Policy Statements 30-123	1992-1995
78	4	Shadow Financial Regulatory Committee Policy Statements 124-155	1995-1999
78	5	Shadow Financial Regulatory Committee Policy Statements 156-164	1999-2000
78	6	Shadow Financial Regulatory Committee Policy Statements 165-177	2000-2002
78	7	Shadow Financial Regulatory Committee Policy Statements 178-202	2002-2003



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79	1	Shadow Financial Regulatory Committee Policy Statements 203-223	2004-2005
79	2	Shadow Financial Regulatory Committee Policy Statements 224-242	2005-2007
79	3	Shadow Financial Regulatory Committee Policy Statements 243-260	2007-2008
79	4	Shadow Financial Regulatory Committee Policy Statements 261-292	2008-2010
79	5	Shadow Financial Regulatory Committee Policy Statements 293-362	2010-2015
79	6	Shadow Financial Regulatory Committee Policy Statements 352-362	2014-2015
79	7	Shadow Financial Regulatory Committee Policy Statements 196-247	2003-2007
79	8	"Safety and Soundness Regulatory Philosophy The Shadow Talks About the Future" - Shadow Financial Regulatory Committee - George Kaufman and Robert Eisenbeis - <i>Financial Managers' Statement</i>	January / February 1990
79	9	"Introduction: Purpose and Operation of the Shadow Financial Regulatory Committee" - George Kaufman - <i>Journal of Financial Services Research</i>	1992
80	1	"Shadow Financial Regulatory Committee Statements Numbers 70-134" - <i>Journal of Financial Services Research</i> Vol. 10 No. 4 Supplement	1996
80	2	"Reforming Bank Capital Regulation: A Proposal By the U.S. Shadow Financial Regulatory Committee" - American Enterprise Institute	2000
80	3	"The World in Crisis: Insights from Six Shadow Financial Regulatory Committees from Around the World" - Robert Litan, editor	November 2011
80	4	"The Global Financial Crisis: a retrospective look" - <i>Journal of Financial Economic Policy</i> Vol. 17, No. 1	2015
80	5	"Financial Crises and Policy Responses: A Market-Based View From the Shadow Financial Regulatory Committee, 1986-2015" - Robert Litan - drafts	November 2016
80	6	"Financial Crises and Policy Responses: A Market-Based View From the Shadow Financial Regulatory Committee, 1986-2015" - Robert Litan - American Enterprise Institute (1 of 4)	November 2016



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80	7	"Financial Crises and Policy Responses: A Market-Based View From the Shadow Financial Regulatory Committee, 1986-2015" - Robert Litan - American Enterprise Institute (2 of 4)	November 2016
81	1	"Financial Crises and Policy Responses: A Market-Based View From the Shadow Financial Regulatory Committee, 1986-2015" - Robert Litan - American Enterprise Institute (3 of 4)	November 2016
81	2	"Financial Crises and Policy Responses: A Market-Based View From the Shadow Financial Regulatory Committee, 1986-2015" - Robert Litan - American Enterprise Institute (4 of 4)	November 2016
		<i>Shadow Financial Regulatory Committee Administrative Documents</i>	
81	3	Shadow Financial Regulatory Committee founding proposals, purpose statements, and correspondence	1985-1986
81	4	Shadow Financial Regulatory Committee List of Working Papers	1987-1990
81	5	Shadow Financial Regulatory Committee By-Laws	September 16, 1988
81	6	Shadow Financial Regulatory Committee 501(c)3 status information	1989-1995
81	7	Shadow Financial Regulatory Committee Annual Report (1 of 2)	1989
81	8	Shadow Financial Regulatory Committee Annual Report (2 of 2)	1989
81	9	Shadow Financial Regulatory Committee Mailing List	June 6, 1989
81	10	Shadow Financial Regulatory Committee Annual Report (1 of 2)	1990
82	1	Shadow Financial Regulatory Committee Annual Report (2 of 2)	1990
82	2	Shadow Financial Regulatory Committee Statement of Purpose	1990-2000
82	3	Shadow Financial Regulatory Committee Annual Report (1 of 2)	1991
82	4	Shadow Financial Regulatory Committee Annual Report (2 of 2)	1991



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82	5	Shadow Financial Regulatory Committee Annual Report	1992
82	6	Shadow Financial Regulatory Committee Annual Report	1993
82	7	Shadow Financial Regulatory Committee Annual Report	1994
82	8	Shadow Financial Regulatory Committee Annual Report	1995
83	1	Shadow Financial Regulatory Committee Statement of Purpose and Membership	May 1995
83	2	Shadow Financial Regulatory Committee Annual Report	1996
83	3	Shadow Financial Regulatory Committee 10 Year Evaluation - Financial Management Association Meeting	October 12, 1996
83	4	Shadow Financial Regulatory Committee Annual Report (1 of 2)	1997
83	5	Shadow Financial Regulatory Committee Annual Report (2 of 2)	1997
83	6	Shadow Financial Regulatory Committee Annual Report	1998
83	7	Shadow Financial Regulatory Committee - American Enterprise Institute Affiliation letter	November 24, 1998
83	8	Shadow Financial Regulatory Committee Annual Report (1 of 2)	1999
83	9	Shadow Financial Regulatory Committee Annual Report (2 of 2)	1999
83	10	Shadow Financial Regulatory Committee - Cassette tape - WBEZ - Odyssey	October 26, 1999
83	11	Shadow Financial Regulatory Committee Annual Report	2000
83	12	Shadow Financial Regulatory Committee Annual Report	2001
84	1	Shadow Financial Regulatory Committee Annual Report	2002
84	2	Shadow Financial Regulatory Committee Annual Report	2003
84	3	Shadow Financial Regulatory Committee Tax Return	2011





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84	4	Shadow Financial Regulatory Committee - IRS Form W-9 - legal structure certification	2011-2012
84	5	Shadow Financial Regulatory Committee - Loyola Donation Receipts	September 12, 2012; May 13, 2015
84	6	Robert Litan report on Shadow Financial Regulatory Committee Future	2013
84	7	Hamilton Place Strategies Consultant Contract and Correspondence - Shadow Financial Regulatory Committee	2014
84	8	Shadow Financial Regulatory Committee restructuring discussions	2014
84	9	Shadow Financial Regulatory Committee 2015 Tax Return	2015
84	10	Correspondence related to ending of AEI sponsorship of Shadow Financial Regulatory Committee	2015
84	11	Shadow Financial Regulatory Committee - future discussions	2015

*Shadow Financial Regulatory Committee  
Presentations*

84	12	"Restructuring the Financial System: Recommendations from the SFRC" - George Benston, Franklin Edwards, Robert Eisenbeis, Edward Kane, and George Kaufman - Presentation at Western Economic Association International (1 of 2)	July 1989
84	13	"Restructuring the Financial System: Recommendations from the SFRC" - George Benston, Franklin Edwards, Robert Eisenbeis, Edward Kane, and George Kaufman - Presentation at Western Economic Association International (2 of 2)	July 1989
84	14	"What is Systemic Risk and Do Bank Regulators Retard or Contribute to It?" - George Kaufman and Kenneth Scott - Presented at conference after Joint Shadow Financial Regulatory Committee Meeting - Amsterdam - June 2001 - Draft	June 28, 2002



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84	15	"The Use of Economic Analysis and Principles to Affect Public Economic Policy: The Case of the Shadow Financial Regulatory Committee" - George Kaufman - Presented at annual meeting of National Association for Business Economics	September 30, 2002
84	16	Shadow Financial Regulatory Committee: Statement 296 "Missed Opportunities in the Dodd-Frank Act" - Financial Management Association meeting - Porto, Portugal	June 10, 2011
84	17	"Shadow Financial Regulatory Committee: Who, Why, and How" - George Kaufman - Financial Management Association - Denver - Colorado	October 20, 2011
84	18	"Shadow Financial Regulatory Committee: Who, Why, and How" - George Kaufman - Midwest Finance Association - New Orleans, Louisiana	February 24, 2012
85	1	"Shadow Financial Regulatory Committee: Who, Why, and How" - George Kaufman - Financial Management Association - Istanbul, Turkey	June 8, 2012
85	2	Shadow Financial Regulatory Committee Panel - "The D(Dracula) F(Frankenstein) Act of 2010: Complexity, Transparency and TBTF(Vampires)" - George Kaufman - Financial Management Association - Atlanta, Georgia (1 of 2)	October 19, 2012
85	3	Shadow Financial Regulatory Committee Panel - "The D(Dracula) F(Frankenstein) Act of 2010: Complexity, Transparency and TBTF(Vampires)" - George Kaufman - Financial Management Association - Atlanta, Georgia (2 of 2)	October 19, 2012
85	4	"Monitoring the Monitors: The First 30 Years of the Shadow Financial Regulatory Committee, 1986-2016" - George Kaufman - Presented at Western Economic Association Meeting - Portland, Oregon	June 30, 2016
85	5	Shadow Update 2012 / Western Economic Association 2017 - San Diego	2012-2017

#### **Series 4: Federal Reserve Bank, 1963-2016**

##### *Federal Reserve Bank Internal and Occasional Papers*

85	6	"Business Conditions" - A review by the Federal Reserve Bank of Chicago	February 1963
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85	7	"A Reexamination of the Significance and Role of Member Bank Borrowings from the Federal Reserve" - Draft - George Kaufman	February 1965
85	8	"The Demand for Currency" - George Kaufman - Board of Governors of the Federal Reserve System	1966
86	1	"Critique, Reply and Rejoinder to <i>Time Deposits in Monetary Analysis</i> " - George Kaufman, Lyle Gramley, and Samuel Chase - Federal Reserve Bank of Chicago	May 1966
86	2	"A Profile of Banking in Chicago" - George Kaufman and Roberta Detz - Federal Reserve Bank of Chicago staff memoranda	November 1970
86	3	"Financial Intermediaries And Variable Rate Mortgages" - Draft - George Kaufman - Federal Reserve Bank of San Francisco	March 24, 1976
86	4	"Variable Rate Residential Mortgages: The Early Experience from California" - George Kaufman - Federal Reserve Bank of San Francisco <i>Economic Review</i>	Summer 1976
86	5	"Impact of Deregulation on the Mortgage Market" - George Kaufman - Federal Reserve Bank of Chicago Occasional Paper / LUC Working Paper series (1 of 2)	February 1981
86	6	"Impact of Deregulation on the Mortgage Market" - George Kaufman - Federal Reserve Bank of Chicago Occasional Paper / LUC Working Paper series (2 of 2)	February 1981
86	7	"Banking as a Line of Commerce: The Changing Competitive Environment" - George Kaufman - Federal Reserve Bank of Chicago Occasional Paper	June 1981
86	8	"Implications of Deregulation for Product Lines and Geographical Markets of Financial Institutions" - George Kaufman, Larry Mote, and Harvey Rosenblum - Federal Reserve Bank of Chicago Occasional Paper	April 13, 1982
87	1	"The FED's Post-October 1979 Technical Operating Procedures: Reduced Ability to Control Money" - George Kaufman - Federal Reserve Bank of Chicago Occasional Paper	November 1982



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87	2	"A Proposal for Federal Deposit Insurance with Risk Sensitive Premiums" - G. O. Bierwag and George Kaufman - Federal Reserve Bank of Chicago Occasional Paper	March - May 1983
87	3	"The Future of Commercial Banks in the Financial Services Industry" - George Kaufman, Larry Mote, and Harvey Rosenblum - Federal Reserve Bank of Chicago Occasional Paper	April 1983
87	4	"The Securities Activities of Commercial Banks: A Legal and Economic Analysis" - Thomas Fischer, William Gram, George Kaufman, and Larry Mote - Federal Reserve Bank of Chicago Occasional Paper reprint - Tennessee Law Review Association	Spring 1984
87	5	"The Securities of Commercial Banks" - George Kaufman - Federal Reserve Bank of Chicago Occasional Paper (1 of 2)	January 1984
87	6	"The Securities of Commercial Banks" - George Kaufman - Federal Reserve Bank of Chicago Occasional Paper (2 of 2)	January 1984
88	1	"The Role of Traditional Mortgage Lenders in Future Mortgage Lending: Problems and Prospects" - George Kaufman - Federal Reserve Bank of Chicago Occasional Paper	April 1984
88	2	"Consequences of Deregulation for Commercial Banking" - George Kaufman, Larry Mote, and Harvey Rosenblum - Federal Reserve Bank of Chicago Occasional Paper	May 1984
88	3	"Comments on Governor Wallich's paper, 'Recent Techniques of Monetary Policy'" - George Kaufman	June 1984
88	4	"Implications of Large Bank Problems and Insolvencies for the Banking System and Economic Policy" - George Kaufman - Federal Reserve Bank of Chicago Occasional Paper (1 of 2)	March 1985
88	5	"Implications of Large Bank Problems and Insolvencies for the Banking System and Economic Policy" - George Kaufman - Federal Reserve Bank of Chicago Occasional Paper (2 of 2)	March 1985
88	6	"Risks and Failures in Banking: Overview, History, and Evaluation" - Revised Draft - George Benston and George Kaufman - Federal Reserve Bank of Chicago Staff Memoranda (1 of 2)	December 11, 1985



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88	7	"Risks and Failures in Banking: Overview, History, and Evaluation" - Revised Draft - George Benston and George Kaufman - Federal Reserve Bank of Chicago Staff Memoranda/Occasional Paper (2 of 2)	1986
88	8	"Banking Risk in Historical Perspective" - George Kaufman - Federal Reserve Bank of Chicago Occasional Paper	June 1986
88	9	"The Truth About Bank Runs" - George Kaufman - Federal Reserve Bank of Chicago Occasional Paper	1987
89	1	"Risk and Solvency Regulation of Depository Institutions: Past Policies and Current Options" - George Benston and George Kaufman - Federal Reserve Bank of Chicago Occasional Paper (1 of 2)	1988
89	2	"Risk and Solvency Regulation of Depository Institutions: Past Policies and Current Options" - George Benston and George Kaufman - Federal Reserve Bank of Chicago Occasional Paper (2 of 2)	1988
89	3	"Securities Activities of Commercial Banks: The Current Economic and Legal Environment" - George Kaufman and Larry Mote - Federal Reserve Bank of Chicago Occasional Paper	1988
89	4	"The Savings and Loan Rescue of 1989: Causes and Perspective" - George Kaufman - Federal Reserve Bank of Chicago Working Paper Series	November 1989
89	5	"The Diminishing Role of Commercial Banking in the U.S. Economy" - George Kaufman - Federal Reserve Bank of Chicago Working Paper Series	1991
89	6	"Bank Contagion: Theory and Evidence" - George Kaufman - Federal Reserve Bank of Chicago Working Paper Series	June 1992
89	7	"Bank Fragility: Perception and Historical Evidence" - George Kaufman - Federal Reserve Bank of Chicago Working Paper Series	September 1996
89	8	"FDICIA After Five Years: A Review and Evaluation" - George Benston and George Kaufman - Federal Reserve Bank of Chicago Working Paper Series	July 1997



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89	9	"How Should Financial Institutions and Markets Be Structured? Analysis and Options for Financial System Design" - George Kaufman and Randall Kroszner - Federal Reserve Bank of Chicago Working Paper Series	December 1996
90	1	"Central Banks, Asset Bubbles, and Financial Stability" - George Kaufman - Federal Reserve Bank of Chicago Working Paper Series (1 of 2)	November 1998
90	2	"Central Banks, Asset Bubbles, and Financial Stability" - George Kaufman - Federal Reserve Bank of Chicago Working Paper Series (2 of 2)	November 1998
90	3	"Banking and Currency Crises and Systemic Risk: A Taxonomy and Review" - George Kaufman - Federal Reserve Bank of Chicago Working Paper Series (1 of 2)	August 1999
90	4	"Banking and Currency Crises and Systemic Risk: A Taxonomy and Review" - George Kaufman - Federal Reserve Bank of Chicago Working Paper Series (2 of 2)	August 1999
90	5	"Does the Japanese Stock Market Price Bank Risk? Evidence from Financial Firm Failures" - George Kaufman et. al. - Federal Reserve Bank of Chicago Working Paper Series	December 1999
90	6	"Post-resolution treatment of depositors at failed banks: Implications for the severity of banking crises, systemic risk, and too big to fail" - George Kaufman and Steven Seelig - Federal Reserve Bank of Chicago <i>Economic Perspectives</i> drafts (1 of 3)	May 25, 2000 - 2002
90	7	"Post-resolution treatment of depositors at failed banks: Implications for the severity of banking crises, systemic risk, and too big to fail" - George Kaufman and Steven Seelig - Federal Reserve Bank of Chicago <i>Economic Perspectives</i> and Working Paper Series (2 of 3)	May 25, 2000 - 2002
90	8	"Post-resolution treatment of depositors at failed banks: Implications for the severity of banking crises, systemic risk, and too big to fail" - George Kaufman and Steven Seelig - Federal Reserve Bank of Chicago <i>Economic Perspectives</i> (3 of 3)	May 25, 2000 - 2002
90	9	George Kaufman Federal Reserve Bank of Chicago memo	December 12, 2001



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91	1	"The Fed Today" VHS tape	circa early 2000s
91	2	"Do Markets Discipline Banks and Bank Holding Companies? Evidence from Debt Pricing" - Julapa Jagtiani, George Kaufman, Catherine Lemieux - Federal Reserve Bank of Chicago Emerging Issues Series	June 2000
91	3	"A Proposal for Efficiently Resolving Out-of-the-money Swap Positions at Large Insolvent Banks" - George Kaufman - Federal Reserve Bank of Chicago (1 of 3)	November 10, 2003 - June 22, 2004
91	4	"A Proposal for Efficiently Resolving Out-of-the-money Swap Positions at Large Insolvent Banks" - George Kaufman - Federal Reserve Bank of Chicago (2 of 3)	November 10, 2003 - June 22, 2004
91	5	"A Proposal for Efficiently Resolving Out-of-the-money Swap Positions at Large Insolvent Banks" - George Kaufman - Federal Reserve Bank of Chicago (3 of 3)	November 10, 2003 - June 22, 2004
91	6	Federal Reserve Dynamic Operations	April 2005
91	7	"U.S. Corporate and Bank Insolvency Regimes: An Economic Comparison and Evaluation" - Robert Bliss and George Kaufman - Federal Reserve Bank of Chicago	November 26, 2005 - January 10, 2006
92	1	"Cross-Border Banking: Challenges for Deposit Insurance and Financial Stability in the European Union" - Robert Eisenbeis and George Kaufman - Federal Reserve Bank of Chicago Working Paper Series	October 2006
<i>Federal Reserve Bank Journal Articles</i>			
92	2	"The Depository Institutions Deregulation and Monetary Control Act of 1980: What Has Congress Wrought?" - George Kaufman - Federal Reserve Bank of San Francisco - <i>Economic Review</i> Conference supplement	Fall 1980
92	3	"Improving housing finance in an inflationary environment: alternative residential mortgage instruments" - George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i>	July / August 1981



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92	4	"Measuring and Managing Interest Risk" - George Kaufman - and related Duration gap derivatives correspondence - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> (1 of 2)	January / February 1984; February 2006
92	5	"Measuring and Managing Interest Risk" - George Kaufman - and related Duration gap derivatives correspondence - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> (2 of 2)	January / February 1984; February 2006
92	6	"The federal safety net: Not for banks only" - George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i>	November / December 1987
92	7	"A note on the increase in noninsured commercial banks" - Nancy Andrews, George Kaufman, and Larry Mote - Reprint <i>Economic Perspectives</i> - Federal Reserve Bank of Chicago	November / December 1988
92	8	"The Incredible Shrinking S&L Industry" - George Kaufman - Federal Reserve Bank of Chicago - Chicago Fed Letter No. 40	December 1990
92	9	"FDICIA: A Renaissance of Requiem?" - George Kaufman - Federal Reserve Bank of Chicago - <i>FDICIA: An Appraisal</i>	May 1993
93	1	"The Geographic Distribution of Financial Institutions in Chicago" - George Kaufman and Larry Mote - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i>	January / February 1994
93	2	"Is Banking a Declining Industry? A Historical Perspective" - George Kaufman and Larry Mote - Federal Reserve Bank of Chicago <i>Economic Perspectives</i>	May / June 1994
93	3	"Deposit Insurance reform in the FDIC Improvement Act: The Experience to Date" - George Benston and George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> second quarter (1 of 2)	1998
93	4	"Deposit Insurance reform in the FDIC Improvement Act: The Experience to Date" - George Benston and George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> second quarter (2 of 2)	1998





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93	5	"Banking and Currency Crises and Systemic Risk: Lessons from Recent Events" - George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> - Third quarter	2000
93	6	"Reforming Deposit Insurance - Once Again" - George Kaufman - Federal Reserve Bank of Chicago - Chicago Fed Letter No. 171 (1 of 2)	November 2001
93	7	"Reforming Deposit Insurance - Once Again" - George Kaufman - Federal Reserve Bank of Chicago - Chicago Fed Letter No. 171 (2 of 2)	November 2001
94	1	"Post Resolution treatment of depositors at failed banks: Implications for the severity of banking crises, systemic risk, and too big to fail" - George Kaufman and Steven Seelig - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> Second Quarter	2002
94	2	"Explaining bank credit crunches and procyclicality" - Robert Bliss and George Kaufman - Federal Reserve Bank of Chicago - Chicago Fed Letter No. 179 (1 of 2)	July 2002
94	3	"Explaining bank credit crunches and procyclicality" - Robert Bliss and George Kaufman - Federal Reserve Bank of Chicago - Chicago Fed Letter No. 179 (2 of 2)	July 2002
94	4	Discount Window Lending Programs letter to Fed - George Kaufman	August 7, 2002
94	5	"Banking relationships during financial distress: The evidence from Japan" - Elijah Brewer III, Hesna Genay, and George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> Third Quarter	2003
94	6	"FDIC losses in bank failures: Has FDICIA made a difference?" - George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> Third Quarter (1 of 4)	2004
94	7	"FDIC losses in bank failures: Has FDICIA made a difference?" - George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> Third Quarter (2 of 4)	2004
94	8	"FDIC losses in bank failures: Has FDICIA made a difference?" - George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> Third Quarter (3 of 4)	2004



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94	9	"FDIC losses in bank failures: Has FDICIA made a difference?" - George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> Third Quarter (4 of 4)	2004
95	1	"Bank Crisis Resolution and Foreign-Owned Banks" - Robert Eisenbeis and George Kaufman - Federal Reserve Bank of Atlanta - <i>Economic Review</i> Fourth Quarter (1 of 2)	2005
95	2	"Bank Crisis Resolution and Foreign-Owned Banks" - Robert Eisenbeis and George Kaufman - Federal Reserve Bank of Atlanta - <i>Economic Review</i> Fourth Quarter (2 of 2)	2005
95	3	"A Comparison of U.S. Corporate and Bank Insolvency Resolution" - Robert Bliss and George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> Second Quarter	2006
95	4	"US Corporate and Bank Insolvency Regimes: A Comparison and Evaluation" - Robert Bliss and George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> (1 of 5)	2006
95	5	"US Corporate and Bank Insolvency Regimes: A Comparison and Evaluation" - Robert Bliss and George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> (2 of 5)	2006
95	6	"US Corporate and Bank Insolvency Regimes: A Comparison and Evaluation" - Robert Bliss and George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> (3 of 5)	2006
96	1	"US Corporate and Bank Insolvency Regimes: A Comparison and Evaluation" - Robert Bliss and George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> (4 of 5)	2006
96	2	"US Corporate and Bank Insolvency Regimes: A Comparison and Evaluation" - Robert Bliss and George Kaufman - Federal Reserve Bank of Chicago - <i>Economic Perspectives</i> (5 of 5)	2006
96	3	"Roundtable Discussion: Reflection on Twenty Years of Bank Regulatory Reform" - George Benston, Robert Eisenbeis, Paul Horvitz, Edward Kane, and George Kaufman - Federal Reserve Bank of Atlanta - <i>Economic Review</i> - First and Second Quarters	2007



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- 96 4 "Some Further Thoughts About the Road to Safer Banking" - George Kaufman - Federal Reserve Bank of Atlanta - *Economic Review* - First and Second Quarters 2007
- 96 5 "A bank by any other name..." - Christian Johnson and George Kaufman - Federal Reserve Bank of Chicago - *Economic Perspectives* Fourth Quarter (1 of 2) 2007
- 96 6 "A bank by any other name..." - Christian Johnson and George Kaufman - Federal Reserve Bank of Chicago - *Economic Perspectives* Fourth Quarter (2 of 2) 2007
- 96 7 "Asset Price Bubbles: What are the causes, consequences, and public policy options?" - Douglas Evanoff, George Kaufman, and Anastasios Malliaris - Federal Reserve Bank of Chicago - Chicago Fed Letter No. 304 November 2012

*Federal Reserve Bank Conferences*

- 96 8 "DIDMCA: Impact on the Mortgage Market" - George Kaufman - Presentation at the Bank Structure Conference - Federal Reserve Bank of Chicago May 14, 1981
- 96 9 "The Changing Competitive Environment" - George Kaufman - Federal Reserve Bank of Atlanta - Conference Proceedings June 3-4, 1981
- 97 1 "The Securities Activities of Banks: What Has Been Done and What Could Have Been Done" - George Kaufman - Proceedings from a conference on Bank Structure and Competition - Federal Reserve Bank of Chicago April 23-25, 1984
- 97 2 "Management vs. Economic Condition as Contributors to the Recent Increase in Bank Failures: Discussion" - George Kaufman - Federal Reserve Bank of St. Louis Conference on Financial Risk: Theory, Evidence, and Implications November 14-15, 1986
- 97 3 Federal Reserve Bank of Chicago - Chicago's Global Economic Connections and Challenges January 19, 2001
- 97 4 "Resolving Large Complex Banking Organizations" - Federal Reserve Bank of Chicago workshop (1 of 2) November 16, 2001



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97	5	"Resolving Large Complex Banking Organizations" - Federal Reserve Bank of Chicago workshop (2 of 2)	November 16, 2001
97	6	"Post-Resolution Treatment of Depositors at Failed Banks" - George Kaufman and Steven Seelig - Federal Reserve Bank of Chicago	April 10, 2002
97	7	"The Value of Banking Relationships During a Financial Crisis" - Elijah Brewer III, Hesna Genay, William Curt Hunter, and George Kaufman - Federal Reserve Bank of San Francisco conference	September 26-27, 2002
97	8	"A Proposal for Efficiently Resolving Out-of-the-Money Swap Positions at Large Insolvent Banks" - George Kaufman - Federal Reserve Bank of Chicago seminar	December 2, 2002
97	9	"Challenges for Deposit Insurance and Financial Stability in Cross-Border Banking Environments with Emphasis on the European Union" - Robert Eisenbeis and George Kaufman - Federal Reserve Bank of Chicago conference	October 2005
98	1	Federal Reserve Bank of Atlanta - Debate and Confirm A Supervisory Discussion Series - 2007 Banking Industry Outlook (1 of 2)	February 21, 2007
98	2	Federal Reserve Bank of Atlanta - Debate and Confirm A Supervisory Discussion Series - 2007 Banking Industry Outlook (2 of 2)	February 21, 2007
98	3	Federal Reserve Bank of Chicago Conference - The Credit Market Turmoil of 2007-09: Implications for Public Policy"	September 25-26, 2008
98	4	Federal Reserve Bank of Chicago conference - The International Financial Crisis	September 24-25, 2009
98	5	"The Federal Reserve and the Financial Crisis" - slides - author unknown	circa 2010
98	6	Federal Reserve Bank of Chicago - International Banking Conference - Macroprudential Regulatory Policies	September 23-24, 2010
98	7	"Financial Regulation: How Far will the Pendulum Swing?" - Federal Reserve Bank of Chicago - 16th Annual Capital Markets Conference	December 13-16, 2010
98	8	Federal Reserve Bank of Atlanta - Navigating the New Financial Landscape Conference	April 4-6, 2011



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98	9	"Commentary on 'Banking at the Crossroads' by Arnoud Boot" - George Kaufman - Navigating the New Financial Landscape - Federal Reserve Bank of Atlanta conference - Stone Mountain, Georgia	April 4-6, 2011
98	10	"Impact of New Capital Rules" - George Kaufman - Federal Reserve Bank of Chicago	April 12, 2011
98	11	Federal Reserve Bank of Chicago Conference - The Role of Central Banks in Financial Stability: How Has it Changed?	November 10-11, 2011
99	1	Federal Reserve 100th Anniversary Advisory Council	December 2011
99	2	Federal Reserve Bank of Chicago - Bank Structure and Competition Conference	May 9-11, 2012
99	3	Federal Reserve Bank 100th Anniversary Advisory Council	August 3, 2012
99	4	Federal Reserve Bank of Chicago Conference - The Social Value of the Financial Sector: Too Big to Fail or Just Too Big"	November 15-16, 2012
99	5	Federal Reserve 100th Anniversary Advisory Council Meeting - Washington, D.C.	March 4, 2013
99	6	Federal Reserve Bank of Chicago Conference - Shadow Banking Within and Across National Borders	November 7-8, 2013
99	7	Chicago Fed Insurance Symposium: Macroprudential Policy for Systematically Important Insurers - Federal Reserve Bank of Chicago	November 21, 2013
99	8	Enhancing Prudential Standards in Financial Regulations - Joint Conference - Federal Reserve Bank of Philadelphia, Wharton Financial Institutions Center, and Journal of Financial Services Research	April 8-9, 2014
99	9	International Banking Conference - Federal Reserve Bank of Chicago	November 6-7, 2014
99	10	International Banking Conference - Federal Reserve Bank of Chicago	November 5-6, 2015
99	11	Federal Reserve Bank of Chicago - International Banking Conference	November 3-4, 2016



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<i>Federal Reserve Bank Conference Comments</i>			
99	12	"Comments on 'Thrift Deregulation and Federal Deposit Insurance' by James R. Barth and Michael G. Bradley" - George Kaufman - Prepared for Conference on Perspectives on Banking Regulation - Federal Reserve Bank of Cleveland	November 3-4, 1988
100	1	George Kaufman comments on "Issues in Implementing Deposit Insurance Systems" - Federal Reserve Bank of Chicago Conference - Designing an Effective Deposit Insurance Structure: An International Perspective (1 of 2)	December 12, 2000
100	2	George Kaufman comments on "Issues in Implementing Deposit Insurance Systems" - Federal Reserve Bank of Chicago Conference - Designing an Effective Deposit Insurance Structure: An International Perspective (2 of 2)	December 12, 2000
100	3	George Kaufman Conference Comments - Federal Reserve Bank of Boston - "The Impact of Economic Slowdowns on Financial Institutions and their Regulators"	April 17-19, 2002
100	4	George Kaufman Conference Comments - Federal Reserve Bank of Chicago	March 13-14, 2003

**Series 5: Professional Organizations and Committees, 1979-2017**

*Meetings*

100	5	Financial Economists Roundtable - Description and Statement of Purpose	September 1994
100	6	Midwest Finance Association 50th Annual Meeting - Cleveland, Ohio	March 29-31, 2001
100	7	"Asset Price Bubbles: Implications for Monetary and Regulatory Policies" - Western Economic Association	July 7, 2001
100	8	"Depositor Liquidity and Loss-Sharing in Bank Failure Resolutions" - George Kaufman - Western Economic Association	June 30, 2002
100	9	George Kaufman Discussant notes - Western Economic Association	July 2002
100	10	"Too Big to Fail in Banking: Then and Now" - George Kaufman - Western Economic Association	July 2, 2002



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101	1	Financial Economists Roundtable Meeting	September 13, 2002
101	2	"Too Big to Fail in Banking: Quo Vadis?" - George Kaufman - Financial Management Association	October 17, 2002
101	3	Financial Economists Roundtable 2003 Meeting Attendance	2003
101	4	"Basel II: The Roar that Moused" - George Kaufman - Financial Management Association Annual Meeting - Denver, Colorado	October 8-11, 2003
101	5	Financial Economists Roundtable New Members	2004-2005
101	6	"Basel II: The Roar that Moused" - George Kaufman - North American Economic and Finance Association Annual Meeting	January 4, 2004
101	7	Financial Economists Roundtable Annual Meeting - Niagara-on-the-Lake - Ontario, Canada	July 11-12, 2004
101	8	Federal Deposit Insurance Corporation Visit	June 28, 2005
101	9	Western Economic Association International 80th Annual Conference	July 4-8, 2005
101	10	Financial Economists Roundtable Meeting (1 of 3)	July 8-12, 2005
101	11	Financial Economists Roundtable Meeting (2 of 3)	July 8-12, 2005
101	12	Financial Economists Roundtable Meeting (3 of 3)	July 8-12, 2005
102	1	Financial Economists Roundtable Statement - Hedge Funds	November 3, 2005
102	2	Financial Economists Roundtable Meeting - Mt. Washington Hotle, Bretton Woods, NH (1 of 2)	July 9-10, 2006
102	3	Financial Economists Roundtable Meeting - Mt. Washington Hotle, Bretton Woods, NH (2 of 2)	July 9-10, 2006
102	4	"Cross-Border Branch Banking in the EU: The Dark Side" - George Kaufman - Financial Management Association	October 13, 2006
102	5	"Using Efficient Bank Insolvency Resolution to Solve the Deposit Insurance Problem" - George Kaufman - Presented at Athenian forum - Chicago, Illinois	January 4, 2007
102	6	Allied Social Science Associations NAEFA Sessions - Chicago, Illinois	January 5-7, 2007
102	7	Three Meetings: Financial Management Association - Barcelona, Spain; Bank of Estonia - Tallinn, Estonia; Bank of Finland/Journal of Financial Stability - Helsinki, Finland (1 of 2)	May 30 - June 8, 2007



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102	8	Three Meetings: Financial Management Association - Barcelona, Spain; Bank of Estonia - Tallinn, Estonia; Bank of Finalnad/Journal of Financial Stability - Helsinki, Finland (2 of 2)	May 30 - June 8, 2007
102	9	Financial Economists Roundtable Dinner Reception - San Diego Yacht Club	July 15, 2007
102	10	Financial Economists Roundtable - San Diego, California	July 15-16, 2007
103	1	Financial Economists Roundtable Meeting Attendance - San Diego, California	July 15-16, 2007
103	2	Financial Economists Roundtable Meeting - Hotel - San Diego, California	July 15-16, 2007
103	3	Financial Economists Roundtable Statement on the International Competitiveness of U.S. Capital Markets	September 7, 2007
103	4	Financial Economists Roundtable new membership	November 21, 2007
103	5	Allied Social Sciences Association Annual Meeting - New Orleans, Louisiana	January 4-6, 2008
103	6	Financial Management Association International - European Conference - Prague, Czech Republic	June 4-6, 2008
103	7	Western Economic Association International 83rd Annual Conference - Honolulu, Hawaii	June 29 - July 3, 2008
103	8	Financial Economists Roundtable Meeting - Long Island, New York (1 of 2)	July 12-14, 2008
103	9	Financial Economists Roundtable Meeting - Long Island, New York (2 of 2)	July 12-14, 2008
103	10	"Memorial for George S. Benston: A Renaissance Financial Economist" - Financial Management Association Annual Meeting Special Session - Dallas, Texas	October 10, 2008
103	11	Allied Social Sciences Association Annual Meeting - San Francisco, California	January 2-5, 2009
103	12	Financial Management Association Annual Meeting - Turin, Italy	June 2-5, 2009
104	1	"The Financial Turmoil of 2007-0X: Causes, Culprits, and Consequences" - George Kaufman - International Banking, Economics, and Finance Association - Vancouver, Canada	June 30, 2009





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104	2	Financial Economists Roundtable Meeting - Portland, Oregon	July 28-29, 2009
104	3	Chicago Quantitative Work Alliance for Applied Finance, Education, and Wisdom Meeting	October 8, 2009
104	4	Property Casualty Insurers Association of America Legislative Planning Conference	October 11-14, 2009
104	5	Financial Management Association Annual Meeting - Reno, Nevada	October 22-24, 2009
104	6	American Bankers Association Meeting - Chicago, Illinois	October 26, 2009
104	7	Allied Social Sciences Association Annual Meeting - Atlanta, Georgia	January 2-5, 2010
104	8	"Bank Resolution and TBTF in Financial 'Reform' Proposals" - George Kaufman - Financial Management Association meeting - Hamburg, Germany	June 10-11, 2010
104	9	Financial Economists Roundtable Meeting - Newport, Rhode Island	July 17-19, 2010
104	10	Financial Economists Roundtable Attendance 1993-2009	July 20, 2010
104	11	"The Dodd-Frank Wall Street Reform and Consumer Protection Act" - George Kaufman - Financial Management Association Meeting - New York	October 22, 2010
104	12	"Resolving Insolvent Large Complex Financial Institutions: A Better Way" - Robert Bliss and George Kaufman - International Banking, Economics, and Financial Association - Denver, Colorado (1 of 2)	January 8, 2011
105	1	"Resolving Insolvent Large Complex Financial Institutions: A Better Way" - Robert Bliss and George Kaufman - International Banking, Economics, and Financial Association - Denver, Colorado (2 of 2)	January 8, 2011
105	2	"The Dodd-Frank Wall Street Reform and Consumer Protection Act" (Update) - Midwest Finance Association Meeting - Chicago, Illinois (1 of 2)	March 3, 2011
105	3	"The Dodd-Frank Wall Street Reform and Consumer Protection Act" (Update) - Midwest Finance Association Meeting - Chicago, Illinois (2 of 2)	March 3, 2011



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105	4	Western Economic Association International 86th Annual Conference - San Diego, California	June 29 - July 3, 2011
105	5	Western Economic Association International Regular Executive Board Meeting Minutes	June 29, 2011
105	6	Western Economic Association International Executive Board Annual Meeting	June 29, 2011
105	7	Financial Economists Roundtable Annual Report Registration	July 11, 2011
105	8	Financial Economists Roundtable Attendance 1993-2011	July 15, 2011
105	9	Financial Economists Roundtable Meeting - Monterey, California	July 16-18, 2011
105	10	Western Economic Association International - Proposals to be editor of <i>Economic Inquiry</i>	December 2011
106	1	"Title II Resolution Strategy Overview" - Loyola/FDIC Meeting	June 25, 2012
106	2	Western Economic Association International Graduate Student Dissertation Workshop	June 28 - July 1, 2012
106	3	Western Economic Association International Executive Board Annual Meeting	June 29, 2012
106	4	Financial Economists Roundtable Meeting	July 21-23, 2012
106	5	"Too Big to Fail: The Vampire the Never Dies" - George Kaufman - International Banking, Economics, and Finance Association - San Francisco, California	July 1, 2012
106	6	"Financial Reform: An Update" - George Kaufman - Heartland Institute Talk - Chicago, Illinois	July 18, 2012
106	7	Tribute to Governor Andrew Brimmer - Allied Social Sciences Association	January 5, 2013
106	8	10th Biennial Pacific Rim Conference - Tokyo, Japan - Western Economic Association International	March 14-17, 2013
106	9	88th Annual Conference Preliminary Program - Western Economic Association International	June 28 - July 2, 2013
107	1	Western Economic Association International Executive Board Annual Meeting	June 28, 2013
107	2	Western Economic Association International Annual Conference - Seattle, Washington (1 of 3)	June 30, 2013



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107	3	Western Economic Association International Annual Conference - Seattle, Washington (2 of 3)	June 30, 2013
107	4	Western Economic Association International Annual Conference - Seattle, Washington (3 of 3)	June 30, 2013
107	5	Financial Economists Roundtable Executive Committee and Steering Committee Meeting - Napa Valley, California	July 20, 2013
107	6	Financial Economists Roundtable Meeting - Napa Valley, California	July 20-22, 2013
107	7	Financial Economists Roundtable potential candidates	2014
107	8	Western Economic Association International Executive Board Annual Meeting	June 27, 2014
107	9	"Banking and Public Policy: Too Big to Fail" - George Kaufman - Presidential Address - Western Economic Association International - Denver, Colorado	June 29, 2014
108	1	"U.S. Financial Crises: How Similar and How Different?" - Robert Eisenbeis and George Kaufman - Western Economic Association - Hawaii	2015
108	2	Western Economic Association Nominating Committee correspondence	May 2015
108	3	Western Economic Association International Executive Board Meeting (1 of 2)	June 28, 2015
108	4	Western Economic Association International Executive Board Meeting (2 of 2)	June 28, 2015
108	5	Allied Social Sciences Association Annual Meeting - San Francisco, California	January 2-5, 2016
108	6	Financial Economists Roundtable meeting preparation correspondence	May 2016
108	7	"Bank Capital as a Substitute for Prudential Regulation" - Financial Economists Roundtable statement	September 20, 2017
<i>Paper Presentations</i>			
108	8	"CAPM Betas in a Multi-Period Framework" - G. O. Bierwag and George Kaufman - Paper Presentation - Financial Management Association Meeting (October 11-13, 1979)	September 11, 1979



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108	9	"Managing Savings and Loan Associations in an Inflationary Environment" - George Kaufman - Prepared for Presentation at American Real Estate and Urban Economics Association Midyear Meeting	May 27-28, 1980
108	10	"The Sensitivity of Immunization to Bond Portfolio Composition" - G. O. Bierwag, George Kaufman, and Alden Toevs - Prepared for Western Economic Association Annual Meeting	June 15-20, 1980
108	11	"Immunization for Multiple Planning Periods" - G. O. Bierwag, George Kaufman, and Alden Toevs - Prepared for Presentation at Western Finance Association Annual Meeting	June 19-21, 1980
108	12	"The Cost of Borrowing and Commercial Bank Eligibility to Underwrite Municipal Revenue Bonds: Additional Evidence for 1979" - G. O. Bierwag, Michael Hopewell, George Kaufman, and Paul Leonard - Presentation at Midwest Economic Association Meetings April 1-3, 1982	March 1982
108	13	"Single Factor Duration Models and Active Bond Portfolio Management" - G. O. Bierwag, George Kaufman, and Alden Toevs - Presentation at Western Economic Association Annual Meeting	July 15-19, 1982
108	14	"Use of Single-Factor Duration Models with Nondefault-Free Bonds" - G. O. Bierwag, George Kaufman, and Alden Toevs - Presented at Western Finance Association Annual Meetings	June 5, 1983
108	15	"Impact of Major Banking Events on Bank Stock Returns: Preliminary Evidence from Multifactor Models" - George Kaufman and Steven Strongin - Presentation at Midwest Finance Association (April 7-9, 1983) - Draft	March 1983
109	1	"Stability of Commercial Bank Stock Betas: Preliminary Analysis" - George Kaufman and Steven Strongin - Presentation at Midwest Economic Association (April 7-9, 1983)	March 1983
109	2	"Use of Single Factor Duration Models with Nondefault-Free Bonds" - G. O. Bierwag, George Kaufman, and Alden Toevs - Presentation for Western Finance Association Annual Meeting	June 5, 1983



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109	3	"Risk-Sensitive Federal Deposit Insurance Premiums and Deregulation: A Contingency Claim Model" - G. O. Bierwag and George Kaufman - Presented at Southern Finance Association meeting	November 2, 1983
109	4	"What Can the Stock Market Tell Us About the Riskiness of Commercial Banks" - George Kaufman and Steven Strongin - Presented at Southern Economic Association Annual Meeting	November 20-22, 1983
109	5	"The Decline in Income Velocity in 1982: Is There a Mystery?" - George Kaufman and Steven Strongin - Presentation at Midwest Economics Association meeting	April 5-7, 1984
109	6	"Monetary Policy in 1985: A Repeat of 1983 or a Return to Earlier Times?" - Thomas Gittings and George Kaufman - Presentation at Annual Meeting of Illinois Economic Association	October 25, 1985
109	7	"The Truth About Bank Runs" - George Kaufman - Presentation at CATO conference on Financial Services Revolution: Policy Directions for the Future - Washington, D.C. (February 26-27, 1987) - Draft	January 13, 1987
109	8	"Duration Models for Interest Rate Risk Management: An Integrated Approach" - G. O. Bierwag, George Kaufman, and Cynthia Latta - Presented at Financial Management Association Annual Meeting	October 21, 1988
109	9	"FDICIA: The Ongoing Evidence" - George Kaufman - various conferences (1 of 2)	October 7, 1994 - May 29, 1996
109	10	"FDICIA: The Ongoing Evidence" - George Kaufman - various conferences (2 of 2)	October 7, 1994 - May 29, 1996
109	11	"Bank Fragility, Systemic Risk and Efficient Deposit Insurance Reform" - George Kaufman - Presented at Bank for International Settlements	June 1996
109	12	"Bank Fragility: Perception and Historical Evidence" - George Kaufman - Presentation at Annual Meeting of Academy of Economics and Finance - Lafayette, Louisiana - Draft	February 4, 1997
110	1	"Do Lender of Last Resort Operations Require Bank Regulation?" - George Kaufman - Presented at American Enterprise Institute conference - "Is Bank Regulation Necessary?" - Washington, D.C. - October 27, 1999 - Draft	November 19, 1999



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110	2	Paper Presentation - "Minimizing Post-Resolution Costs in Bank Failures" - World Bank Seminar - "Challenges for the Financial Sector in the Context of Globalization" (1 of 2)	June 5-7, 2002
110	3	Paper Presentation - "Minimizing Post-Resolution Costs in Bank Failures" - World Bank Seminar - "Challenges for the Financial Sector in the Context of Globalization" (2 of 2)	June 5-7, 2002
110	4	"Banks, Too Big to Fail?" - George Kaufman - Paper Presentation at Chicago Association for Business Economics meeting	June 19, 2002
110	5	"Basel II: The Roar that Moused" - George Kaufman - Presented at Financial Management Association annual meeting - Denver, Colorado	October 10, 2003
110	6	American Institute for Economic Research seminar presentations	August 1-2, 2005
110	7	"Using Efficient Bank Insolvency Resolution to Solve the Deposit Insurance Problem" - George Kaufman - Presented at International Association of Deposit Insurers Annual meeting - Taipei, Taiwan (1 of 3)	September 28-29, 2005
110	8	"Using Efficient Bank Insolvency Resolution to Solve the Deposit Insurance Problem" - George Kaufman - Presented at International Association of Deposit Insurers Annual meeting - Taipei, Taiwan (2 of 3)	September 28-29, 2005
111	1	"Using Efficient Bank Insolvency Resolution to Solve the Deposit Insurance Problem" - George Kaufman - Presented at International Association of Deposit Insurers Annual meeting - Taipei, Taiwan (3 of 3)	September 28-29, 2005
111	2	"Using Efficient Bank Insolvency Resolution to Solve the Deposit Insurance Problem" - George Kaufman - Presented at Midwest Finance Association - Chicago, Illinois	March 23, 2006
111	3	"Basel II Has Been a Costly Distraction on the Road to Minimizing the Societal Cost of Bank Failures" - George Kaufman - Presented at FDIC	September 13, 2006



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|-----|---|--|-----------------------|
| 111 | 4 | "Basel II Has Been a Costly Distraction on the Road to Minimizing the Societal Cost of Bank Failures" - George Kaufman - Presented at FDIC Bank Research Conference (1 of 2)                                     | September 13-15, 2006 |
| 111 | 5 | "Basel II Has Been a Costly Distraction on the Road to Minimizing the Societal Cost of Bank Failures" - George Kaufman - Presented at FDIC Bank Research Conference (2 of 2)                                     | September 13-15, 2006 |
| 111 | 6 | "Regulation Q: A Retrospective Twenty Years After Its Demise" - George Kaufman - American Enterprise Institute Conference - Rate Deregulation and Consumers - Washington, D.C.                                   | September 21, 2006    |
| 111 | 7 | "Basel II is a Costly Distraction on the Road to Minimizing the Societal Cost of Bank Failures" - George Kaufman - drafts  | November 2006         |
| 111 | 8 | "Too Big to Fail in Banking: What Does it Mean?" - George Kaufman - International Association of Deposit Insurers - Basel, Switzerland (1 of 2)  | April 9-10, 2013      |
| 112 | 1 | "Too Big to Fail in Banking: What Does it Mean?" - George Kaufman - International Association of Deposit Insurers - Basel, Switzerland (2 of 2)  | April 9-10, 2013      |
| 112 | 2 | "Housing and Other Price Bubbles: The Buildup, the Burst, and the Impact" - George Kaufman, A. G. Malliaris, and Richard Nelson - Presented at annual meeting of Western Economic Association - Portland, Oregon | June 30, 2016         |

*Conferences*

- |     |   |   |                  |
|-----|---|---|------------------|
| 112 | 3 | "E-Finance in Today's Economy" - Public Symposium - Loyola University Chicago   | December 1, 2000 |
| 112 | 4 | "Regulatory Infrastructure Covering Financial Institutions" - Gerry Caprio and George Kaufman - Brookings-Wharton Conference - Washington, D.C. | January 11, 2001 |
| 112 | 5 | Brookings-Wharton - conference - Washington, D.C. - "Integrating Emerging Market Countries into the Global Financial System" (1 of 3)           | January 11, 2001 |
| 112 | 6 | Brookings-Wharton - conference - Washington, D.C. - "Integrating Emerging Market Countries into the Global Financial System" (2 of 3)           | January 11, 2001 |



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112	7	Brookings-Wharton - conference - Washington, D.C. - "Integrating Emerging Market Countries into the Global Financial System" (3 of 3)	January 11, 2001
113	1	Chicago's Global Economic Connections and Challenges	January 19, 2001
113	2	Allied Social Sciences Association Annual Convention - Atlanta, Georgia	January 4-6, 2002
113	3	"Changes in Risk Through Time: Measurement and Policy Responses" - Conference - Bank for International Settlements - Basel, Switzerland	March 6, 2002
113	4	"Colloquium on Risk Based Capital Agenda" - Harvard Law School	June 26, 2002
113	5	"Too Big to Fail in Banking: Quo Vadis?" - George Kaufman - Allied Social Sciences Association	January 2003
113	6	Loyola University Chicago Public Symposium - "Ronald Reagan: A New View" - Peter Wallison (1 of 2)	February 17, 2003
113	7	Loyola University Chicago Public Symposium - "Ronald Reagan: A New View" - Peter Wallison (2 of 2) - photos	February 17, 2003
113	8	Claremont Conference on Global Political Economy Data	April 11, 2003
113	9	International Monetary Fund - Economic Forum - Washington, D.C. (1 of 2)	December 16, 2003
113	10	International Monetary Fund - Economic Forum - Washington, D.C. (2 of 2)	December 16, 2003
113	11	Conference on Derivatives and Financial Stability - Rome, Italy (1 of 3)	October 25, 2004
114	1	Conference on Derivatives and Financial Stability - Rome, Italy (2 of 3)	October 25, 2004
114	2	Conference on Derivatives and Financial Stability - Rome Italy (3 of 3)	October 25, 2004
114	3	"Basel II is a Costly Distraction on the Road to Minimizing the Societal Cost of Bank Failures" - George Kaufman - Financial Management Association European Conference - Stockholm, Sweden	June 8-9, 2006





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114	4	Financial Markets Group Conference - London School of Economics - "Prompt Corrective Action and Cross-Border Supervisory Issues in Europe" (1 of 2)	November 20, 2006
114	5	Financial Markets Group Conference - London School of Economics - "Prompt Corrective Action and Cross-Border Supervisory Issues in Europe" (2 of 2)	November 20, 2006
114	6	Financial Institutions, Systems, and Markets Conference - Loughborough University - letter	February 6, 2008
114	7	"The Financial Turmoil of 2007-09: Sinners and Their Sins" - George Kaufman - Presentation at Illinois CPA Fraud Conference	November 11-12, 2009
114	8	"The Financial Turmoil of 2007-09: Sinners and Their Sins" - George Kaufman - The Athenian Forum 10th Biennial conference on "Regulatory Responses to the Financial Crisis"	July 28-31, 2010
115	1	International Association of Deposit Insurers Research Conference - "Financial Crisis: The Role of Deposit Insurance" - Basel, Switzerland (1 of 2)	June 8-9, 2011
115	2	International Association of Deposit Insurers Research Conference - "Financial Crisis: The Role of Deposit Insurance" - Basel, Switzerland (2 of 2)	June 8-9, 2011
115	3	Dodd-Frank slides	circa 2012
<i>Conference and Meeting Comments</i>			
115	4	"Could Thrifts Be Profitable? Theoretical and Empirical Evidence: Comment on the Paper by Alan Hess" - George Kaufman - Carnegie-Rochester Conference series	July 28, 1986
115	5	"Comments on Professor Edward J. Kane's Paper 'Interaction of Financial and Regulatory Innovation" - George Kaufman - Annual Meeting - American Economic Association	December 28, 1987
115	6	"Long-Run Benefits in Financial Regulation From Increased Accountability and Privatization: A Comment" - George Kaufman - Conference on Rebuilding Public Confidence Through Financial Reform - The Ohio State University	June 25, 1992
115	7	European Financial Management Association Conference - Lugano, Italy	June 27-30, 2001



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| 115 | 8  | George Kaufman comments at AFA/NAEFA Session on "Global Financial Infrastructure"  | January 2002           |
| 115 | 9  | George Kaufman comments - Financial Management Association - European Conference - Dublin, Ireland   | June 4-6, 2003         |
| 115 | 10 | George Kaufman comments - Western Economics Association meeting - Vancouver, B.C. , Canada   | July 3, 2004           |
| 115 | 11 | George Kaufman comments on "Causes of US Bank Distress During the Depression" by Charles Calomiris and Joseph Mason - Allied Social Sciences Association Conference - New Orleans, Louisiana | January 7, 2010        |
| 115 | 12 | George Kaufman comments on "The US Financial Safety Net" Before and After Bear Stearns" - Gillian Garcia - Western Economic Association International 85th Conference - Portland, Oregon     | June 29 - July 3, 2010 |

*Reports*

- |     |    |  |           |
|-----|----|--|-----------|
| 115 | 13 | "A Progress Report for Submission to Western Finance Association" - G. O. Bierwag, George Kaufman, and Alden Toevs | June 1983 |
|-----|----|--|-----------|

**Series 6: Presentations, Lectures, and Panel Participation, 1980-2016**

*Conferences*

- |     |   |   |                     |
|-----|---|---|---------------------|
| 116 | 1 | "The 'Oregon' Bond Project: Review and Evaluation: - George Kaufman - Presentation to Conference on Efficiency in the Municipal Bond Market - Published in <i>Municipal Financial Journal</i> Vol. 1, No. 3 | January 24-25, 1980 |
| 116 | 2 | "Simulating Immunization for Multiple Planning Periods" - G. O. Bierwag, George Kaufman, and Alden Toevs - Paper prepared for presentation at The Econometric Society - September 5-7, 1980                 | September 3, 1980   |
| 116 | 3 | "Public Policy Toward failing Institutions: The Lessons From the Thrift Industry" - George Kaufman - Introduction of panel members - Conference on bank structure and competition - Chicago, Illinois       | May 7, 1987         |
| 116 | 4 | "Deposit Insurance Reform: A Functional Approach, A Comment" - George Benston and George Kaufman - Carnegie-Rochester Conference Series on Public Policy - Proceedings Vol. 38                              | June 1993           |



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116	5	"Banking Reform: The Whys and How Tos" - George Kaufman - Presented at Conference on Restructuring the National Economy - East-West Center - Honolulu, Hawaii (1 of 2)	August 7-8, 1997
116	6	"Banking Reform: The Whys and How Tos" - George Kaufman - Presented at Conference on Restructuring the National Economy - East-West Center - Honolulu, Hawaii (2 of 2)	August 7-8, 1997
116	7	"Central Banks, Asset Bubbles, and Financial Stability" - George Kaufman - Presentation at the Fourth Dubrovnik Conference on Transitional Economies - Dubrovnik, Croatia	June 24-26, 1998
116	8	"Taiwan and International Financial Centers" - George Kaufman - Presented at 7th Conference on Pacific Basin Finance, Economics, and Accounting - Taipei, Taiwan	May 28, 1999
116	9	"Do Lender of Last Resort Operations Require Bank Regulation? - George Kaufman - Presented at American Enterprise Institute Conference "Is Banking Necessary" - Washington, D.C.	October 27, 1999
116	10	Athenian Conference Paper - George Kaufman - "Banking Reform to Prevent Future Crises"	2000
117	1	"Macro-Economic Stability and Bank Soundness" - George Kaufman - Conference on Financial Reform and Stability: Systemic Issues - Hyderabad, India (1 of 4)	March 29-30, 2001
117	2	"Macro-Economic Stability and Bank Soundness" - George Kaufman - Conference on Financial Reform and Stability: Systemic Issues - Hyderabad, India (2 of 4)	March 29-30, 2001
117	3	"Macro-Economic Stability and Bank Soundness" - George Kaufman - Conference on Financial Reform and Stability: Systemic Issues - Hyderabad, India (3 of 4)	March 29-30, 2001
117	4	"Macro-Economic Stability and Bank Soundness" - George Kaufman - Conference on Financial Reform and Stability: Systemic Issues - Hyderabad, India (4 of 4)	March 29-30, 2001



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117	5	"Savings and Loan Retrospective Roundtable" - Milken Institute Research Roundtable - Correspondence and research for book chapter after roundtable	2002
117	6	"Savings and Loan Retrospective Roundtable" - Milken Institute Research Roundtable	January 25, 2002
118	1	"What Have We Learned From the Thrift and Banking Crises of the 1980s?" - George Kaufman - chapter in <i>The Savings and Loan Crisis: Lessons from a Regulatory Failure</i> - based upon "Savings and Loan Retrospective Roundtable" - Milken Institute (1 of 2)	2002-2004
118	2	"What Have We Learned From the Thrift and Banking Crises of the 1980s?" - George Kaufman - chapter in <i>The Savings and Loan Crisis: Lessons from a Regulatory Failure</i> - based upon "Savings and Loan Retrospective Roundtable" - Milken Institute (2 of 2)	2002-2004
118	3	American Institute for Economic Research - Summer Fellows Presentation - Great Barrington, MA	July 2004
118	4	"FDIC Losses in Bank Failures: Has FDICIA Made a Difference" - George Kaufman - American Institute for Economic Research	July 2004
118	5	"Basel II: The Roar that Moused" - George Kaufman - American Institute for Economic Research	July 2004
118	6	"Too Big to Fail" - George Kaufman - American Institute for Economic Research	July 2004
118	7	Bank Safety and Soundness Conference - San Francisco and Napa, California (1 of 2)	August 17-19, 2006
119	1	Bank Safety and Soundness Conference - San Francisco and Napa, California (2 of 2)	August 17-19, 2006
119	2	"Cross Border Banking: Challenges for Deposit Insurance and Financial Stability in the European Union" - Robert Eisenbeis and George Kaufman - European commission conference - "Adjustment under monetary unions: financial market issues"	September 7-8, 2006
119	3	"Regulation Q: A Retrospective Twenty Years After Its Demise" - George Kaufman - Presented at American Enterprise Institute Conference on "Rate Deregulation and Consumers" - Washington, D.C. - September 21, 2006 - Draft	October 10, 2006



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119	4	"Basel II is a Costly Distraction on the Road to Minimizing the Societal Cost of Bank Failures" - George Kaufman - Initially presented at "Symposium on the US Implementation of Basel II" - FDIC and <i>Journal of Financial Services Research</i> - September 13, 2006 - Draft	July 10, 2007; Update September 9, 2009
119	5	"Deposit Insurance Reform and the Need for a Special Bank Insolvency Regime" - George Kaufman - London Financial Regulation Seminar - "The Regulatory Response to the Financial Crisis - Northern Rock"	January 30, 2008
119	6	"Bank Risk and Insolvency Resolution Regime" - George Kaufman - Presentation at Enterprise Risk Management Symposium - "Risk and Return in the Age of Turbulence"	April 14-16, 2008
119	7	International Association of Deposit Insurance Annual Conference - Washington, D.C. (1 of 2)	October 29, 2008
119	8	International Association of Deposit Insurance Annual Conference - Washington, D.C. (2 of 2)	October 29, 2008
120	1	"The Financial Turmoil of 2007-08: Causes, Culprits, and Consequences" - George Kaufman - Swiss Coatings Industry Federation Conference - Zurich, Switzerland	November 13, 2008
120	2	Financial Services and the Emerging Regulatory Landscape - Arditti Center for Risk Management	February 25, 2010
<i>Universities</i>			
120	3	"Who and What is the Federal Reserve?" - George Kaufman - Public lecture at Northern Illinois University	March 26, 1984
120	4	"Banking and Financial Intermediary Markets in the United States Where From, Where To?" - George Kaufman - Presented at Conference on Regulating International Financial Markets: Issues and Policies - Columbia University	May 14-15, 1990
120	5	George Kaufman Panel comments on 'Long-Run Benefits in Financial Regulation from Increased Accountability and Privatization' by Ed Kane - Rebuilding Public Confidence Through Financial Reform - Conference Proceedings - The Ohio State College of Business	June 25, 1992



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120	6	"International Banking: The Next Frontier" - George Kaufman, editor - Center for Financial and Policy Studies - Loyola University Chicago	September 1995
120	7	"NASDAQ: Market Efficiency and the Public Interest" - George Kaufman, editor - Center for Financial and Policy Studies - Loyola University Chicago	March 1997
120	8	"Post-Resolution Treatment of Depositors at Failed Banks: Implications for the Severity of Banking Crises, Systemic Risk, and Too-Big-to-Fail" - George Kaufman and Steven Seelig - Concordia University Presentation	March 2001
120	9	"Does the Economy Require New Finance?" - The University of the South Symposium - Sewanee, Tennessee	September 28, 2001
120	10	"Post-Resolution Treatment of Depositors at Failed Banks: Implications for the Severity of Banking Crises, Systemic Risk, and Too-Big-to-Fail" - George Kaufman - York University Seminar Presentation	November 9, 2001
121	1	"Post-Resolution Treatment of Depositors at Failed Banks" - George Kaufman - Dalhousie University - Halifax, Canada	January 11, 2002
121	2	"International Banking Regulation" - Maximilian Hall and George Kaufman - "Structural Foundations of International Finance" - Conference - St. Mary's University, Halifax, Canada (1 of 2)	May 10-11, 2002
121	3	"International Banking Regulation" - Maximilian Hall and George Kaufman - "Structural Foundations of International Finance" - Conference - St. Mary's University, Halifax, Canada (2 of 2)	May 10-11, 2002
121	4	Saint Mary's University Conference - "Alliance Capitalism for the New Transatlantic Economy" - Halifax, Canada	September 26-27, 2003
121	5	"The Future of Banking Regulation" - Conference - London School of Economics (1 of 4)	April 7-8, 2005
121	6	"The Future of Banking Regulation" - Conference - London School of Economics (2 of 4)	April 7-8, 2005
121	7	"The Future of Banking Regulation" - Conference - London School of Economics (3 of 4)	April 7-8, 2005
121	8	"The Future of Banking Regulation" - Conference - London School of Economics (4 of 4)	April 7-8, 2005



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122	1	Anna Schwartz honorary Loyola degree - correspondence, flyers, and email from Milton Friedman	May 2003
122	2	"Systemic Risk and Too Big to Fail in Banking" - George Kaufman - Harvard Law School	November 3, 2003
122	3	"Basel II: Quo Vadis?" - George Kaufman - Presented at Thirteenth Annual Conference on Pacific Basin Finance, Economics, and Accounting - Rutgers University	June 10-11, 2005
122	4	Finlawmetrics: Finance, Law and Data - Bocconi University, Milan, Italy	June 18-19, 2007
122	5	"Program for Minimizing the Private and Public Cost of Bank Failures" - George Kaufman - presented at Indiana State University	October 8, 2007
122	6	"Program for Minimizing the Private and Public Cost of Bank Failures" - George Kaufman - presented at University of New Mexico	November 9, 2007
123	1	"Deposit Insurance and Bank Insolvency Regime Reform" - George Kaufman - Cass Business School (London)	April 7, 2008
123	2	2nd Center of International Banking, Insurance, and Finance Conference - "Integrating micro- and macroeconomic perspectives on financial stability" - University of Gronigen, De Nederlandsche Bank, and <i>Journal of Financial Stability</i>	May 26-27, 2008
123	3	Finlawmetrics: 2008 - Bocconi University, Milan, Italy	June 12-13, 2008
123	4	"How We Got Into the Mess and Now What?" - George Kaufman - Loyola University Chicago Public Forum - "Subprime Mortgage Crisis and the Big Bailout: What Does it Mean? How Did We Get Here?"	September 24, 2008
123	5	"Deposit Insurance Reform and the Need for a Special Bank Insolvency Regime" - George Kaufman - University of Zurich	November 13, 2008
123	6	"The Financial Turmoil of 2007-0X: Causes, Culprits, and Consequences" - George Kaufman - University of Warwick Law School Symposium on Financial Crisis Management and Bank Resolution	April 16-17, 2009



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123	7	"The Financial Turmoil of 2007-0X: Causes, Culprits, and Consequences" - George Kaufman - Loyola University Chicago symposium - "The Big Bailouts"	April 20, 2009
123	8	George Kaufman Commentary - Harvard University - Alvin Hansen Symposium on Public Policy (1 of 2)	April 30, 2009
123	9	George Kaufman Commentary - Harvard University - Alvin Hansen Symposium on Public Policy (2 of 2)	April 30, 2009
124	1	"Comments on Papers by Robert Shiller and Randall Kroszner" - George Kaufman - Presented at Alvin Hansen Symposium on "Public Policy: Regulating US Financial Markets" - Harvard University - April 30, 2009	May 27, 2009
124	2	"The Financial Turmoil of 2007-0X: Causes, Culprits, and Consequences" - George Kaufman - Finlawmetrics 2009 - Bocconi University, Milan, Italy	June 18-19, 2009
124	3	"The Financial Turmoil of 2007-09: Sinners and Their Sins" - George Kaufman - University of New Mexico, Albuquerque, New Mexico	November 13, 2009
124	4	"Pending Financial Reforms: A Critical Review" - George Kaufman - Business-to-Business Breakfast Series - University of New Mexico	November 13, 2009
124	5	"The Economic and Financial Outlook" - George Kaufman - Loyola School of Business Administration Talk	December 10, 2009
124	6	Cross-Border Issues in Resolving Systemically Important Financial Institutions - Wharton Financial Institutions Center Workshop (1 of 3)	February 12, 2010
124	7	Cross-Border Issues in Resolving Systemically Important Financial Institutions - Wharton Financial Institutions Center Workshop (2 of 3)	February 12, 2010
124	8	Cross-Border Issues in Resolving Systemically Important Financial Institutions - Wharton Financial Institutions Center Workshop (3 of 3)	February 12, 2010
124	9	"The Financial Turmoil of 2007-09: Causes and Implications for Regulation" - George Kaufman - Oberlin College	March 3, 2010
124	10	"The Financial Turmoil of 2007-09: Causes and Implications for Regulation" - George Kaufman - Indiana State University	March 24, 2010





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125	1	"Living Wills: Putting the Engine Before the Caboose" - George Kaufman - Symposium on Managing Systemic Risk - Warwick University, Coventry, UK (1 of 3)	April 7-9, 2010
125	2	"Living Wills: Putting the Engine Before the Caboose" - George Kaufman - Symposium on Managing Systemic Risk - Warwick University, Coventry, UK (2 of 3)	April 7-9, 2010
125	3	"Living Wills: Putting the Engine Before the Caboose" - George Kaufman - Symposium on Managing Systemic Risk - Warwick University, Coventry, UK (3 of 3)	April 7-9, 2010
125	4	Finlawmetrics 2010 - Bacconi University, Milan, Italy	June 24-25, 2010
125	5	Loyola University Chicago Conference - "Risk and Rewards of Financial Innovation: Creative Destruction or Destructive Creation"	October 4, 2010
125	6	"The New Design of Monetary Policy and Financial Regulation" - Finlawmetrics 2011 - Bocconi University, Milan, Italy	June 23-24, 2011
125	7	"Grading the Fed" - Loyola University Chicago - "The Financial Crisis of 2007-2009"	April 2, 2012
125	8	"More than the Taylor Rule: Monetary Policy, Prudential issues and central banking" - Finlawmetrics 2012 - Bocconi University, Milan, Italy	June 21-22, 2012
125	9	"Why 30 Years After the Failure of the Continental Illinois We Still Have Not Solved TBTF" - George Kaufman - Stigler Conference - Booth School University of Chicago (1 of 2)	May 15, 2014
125	10	"Why 30 Years After the Failure of the Continental Illinois We Still Have Not Solved TBTF" - George Kaufman - Stigler Conference - Booth School University of Chicago (2 of 2)	May 15, 2014
126	1	"Public Policy and Financial Economics: Conference in Honor of George G. Kaufman for His Lifelong Contributions and Forthcoming Retirement" - Loyola University Chicago - conference registrant list and correspondence	November 2016



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126	2	"Public Policy and Financial Economics: Conference in Honor of George G. Kaufman for His Lifelong Contributions and Forthcoming Retirement" - Center for Financial and Policy Studies - Loyola University Chicago (1 of 3)	November 17-18, 2016
126	3	"Public Policy and Financial Economics: Conference in Honor of George G. Kaufman for His Lifelong Contributions and Forthcoming Retirement" - Center for Financial and Policy Studies - Loyola University Chicago (2 of 3)	November 17-18, 2016
126	4	"Public Policy and Financial Economics: Conference in Honor of George G. Kaufman for His Lifelong Contributions and Forthcoming Retirement" - Center for Financial and Policy Studies - Loyola University Chicago (3 of 3)	November 17-18, 2016
126	5	"Public Policy and Financial Economics: Essays in Honor of Dr. George G. Kaufman" - Loyola University Chicago Conference	November 17-18, 2016

#### *Banks*

126	6	Banks and Systemic Risk Conference - Bank of England - London	May 23-25, 2001
126	7	"Individual failures of large banks - how to avoid systemic crises?" - workshop - Sveriges Riksbank - Stockholm, Sweden	September 11-12, 2003
126	8	Reserve Bank of New Zealand Papers	2004
127	1	Reserve Bank of New Zealand - Basel II	2004
127	2	Reserve Bank of New Zealand - Too Big to Fail	2004
127	3	Reserve Bank of New Zealand - Swap Resolution	2004
127	4	Reserve Bank of New Zealand - Depositor Liquidity	2004
127	5	Reserve Bank of New Zealand - Victoria University Professorial Fellowship Program (1 of 3)	2004
127	6	Reserve Bank of New Zealand - Victoria University Professorial Fellowship Program (2 of 3)	2004
127	7	Reserve Bank of New Zealand - Victoria University Professorial Fellowship Program (3 of 3)	2004
128	1	Reserve Bank of New Zealand - Research Materials (1 of 2)	2004



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128	2	Reserve Bank of New Zealand - Research Materials (2 of 2)	2004
128	3	Bank of Mexico visit	February 2005
128	4	Institute for the Protection of Bank Savings Conference - Mexico (1 of 2)	March 7-8, 2005
128	5	Institute for the Protection of Bank Savings Conference - Mexico (2 of 2)	March 7-8, 2005
128	6	Norges Bank Conference on Banking Crisis Resolution - Theory and Policy - Oslo, Norway	June 16-17, 2005
129	1	"Designing Bank Insolvency Resolution Procedures to Minimize Costs and Systemic Risk" - George Kaufman - Bank of Guatemala XIV Cycle of Economic Lectures	June 23-24, 2005
129	2	"Getting Bank Insolvency Resolution Right is Key to Banking Stability in the EU" - George Kaufman - Presented at ECB-Bank of Spain Conference - Madrid, Spain	December 1, 2006
129	3	Special Resolution Regime Workshop - Financial Stability and Depositor Protection - Bank of England	April 8, 2008
129	4	"The Financial Turmoil of 2007-09: Causes and Implications for Regulation" - George Kaufman - Bank of Spain - Madrid, Spain	January 20-23, 2010
129	5	"The Financial Turmoil of 2007-09: Causes and Implications for Regulation" - George Kaufman - Athenian Policy Forum - Deutsche Bundesbank - Frankfurt, Germany	July 29-31, 2010
129	6	Bank of Japan Western Economic Association	March 2013

**Series 7: Congressional Statements and Government Reports, 1975-2005**

129	7	"Preventing Bank Failures" - George Kaufman - Senate Committee on Banking, Housing, and Urban Affairs	May 1975
129	8	"Implications of Financial Deregulation For Residential Housing" - George Kaufman - Testimony before House Subcommittee on Housing and Community Development	October 15, 1981



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129	9	"Review and Critique of Carter Golumbe's and Almarin Phillip's Papers on Deregulation of Financial Institutions, Markets, and Additional Thoughts on Deregulation" - George Kaufman - Report Prepared for the U.S. Treasury Department	October 19, 1981
130	1	"Implications of Financial Deregulation for Residential Housing Finance" - George Kaufman - Testimony before the President's Commission on Housing	November 6, 1981
130	2	"Testimony before the Committee on Banking, Housing, and Urban Affairs" - George Kaufman - U.S. Senate	June 21, 1983
130	3	"Financial Deregulation and Public Policy" - George Kaufman - Prepared statement to Committee on Banking, Housing, and Urban Affairs - US Senate	March 13, 1984
130	4	"Monetary Policy, Federal Reserve Accountability, and Alternative Institutional Arrangements" - George Kaufman - Statement prepared for hearings by Joint Economic Committee - U.S. Congress	June 5, 1984
130	5	"Stability of the Banking System: Suggestions for Reform" - George Kaufman - Statement prepared for hearings by Subcommittee on Financial Institutions, Regulation, and Insurance of the Committee on Banking, Finance, and Urban Affairs - U.S. House of Representatives	September 19, 1985
130	6	"Statement to Federal Home Loan Bank Board" - George Kaufman - Washington, D.C.	January 28, 1987
130	7	"Securities Activities of Commercial Banks: Recent Changes in the Economic and Legal Environments" - George Kaufman - Paper prepared to accompany statement before US Senate Committee on Banking, Housing, and Urban Affairs - August 6, 1987	July 31, 1987
130	8	"Securities Powers of Commercial Banks" - George Kaufman - Statement before US Senate Committee on Banking, Housing, and Urban Affairs	August 6, 1987
130	9	"The Condition of the Commercial Banking Industry" - George Kaufman - Statement before Committee on Banking, Housing, and Urban Affairs - US Senate	October 5, 1989
130	10	"Deposit Insurance Reform" - George Kaufman - Statement before US Senate Committee on Banking, Housing, and Urban Affairs	May 22, 1990



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130	11	"Implications of 'Too Big to Fail' For the Safety of the Banking Industry and the Protection of the Public" - George Kaufman - Statement before US House Subcommittee on Economic Stabilization of the Committee on Banking, Finance, and Urban Affairs	May 9, 1991
130	12	"Expanded Commercial Bank Powers and the Treasury's Proposal for Restructuring Banking" - George Kaufman - Oral Statement before US House Subcommittee on Telecommunications and Finance	April 11, 1991
131	1	"Modernizing Financial Services: Combining Banking and Commerce" - George Kaufman - Statement before US House Subcommittee on Capital Markets, Securities, and GSEs of the Committee on Banking and Financial Services	March 12, 1997
131	2	"The Failure of the Superior Federal Bank, FSB: Implications and Lessons" - George Kaufman - written statement before US Senate Committee on Banking, Housing, and Urban Development - postponed	September 11, 2001
131	3	"The Failure of the Superior Federal Bank, FSB: Implications and Lessons" - George Kaufman - Oral statement before US Senate Committee on Banking, Housing, and Urban Development	October 16, 2001
131	4	"The Failure of the Superior Federal Bank, FSB: Implications and Lessons" - George Kaufman - written statement before US Senate Committee on Banking, Housing, and Urban Development	October 16, 2001
131	5	"The Failure of the Superior Federal Bank, FSB: Implications and Lessons" - George Kaufman - Oral and written statements before the Committee on Banking, Housing, and Urban Affairs - US Senate - statements and research (1 of 3)	October 16, 2001
131	6	"The Failure of the Superior Federal Bank, FSB: Implications and Lessons" - George Kaufman - Oral and written statements before the Committee on Banking, Housing, and Urban Affairs - US Senate - statements and research (2 of 3)	October 16, 2001



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131	7	"The Failure of the Superior Federal Bank, FSB: Implications and Lessons" - George Kaufman - Oral and written statements before the Committee on Banking, Housing, and Urban Affairs - US Senate - statements and research (3 of 3)	October 16, 2001
131	8	"The Failure of the Superior Federal Bank, FSB: Implications and Lessons" - George Kaufman - Testimony before US Senate - letters, drafts, research	October 16, 2001
131	9	"The Failure of the Superior Federal Bank, FSB: Implications and Lessons" - George Kaufman - US Senate Testimony and followup research (1 of 2)	October 16, 2001
132	1	"The Failure of the Superior Federal Bank, FSB: Implications and Lessons" - George Kaufman - US Senate Testimony and followup research (2 of 2)	October 16, 2001
132	2	"Hearing on 'The Failure of Superior Bank, FSB, Hinsdale, Illinois'" - US Senate Transcript	October 16, 2001
132	3	Superior Bank Failure continued research (1 of 2)	2001-2002
132	4	Superior Bank Failure continued research (2 of 2)	2001-2002
132	5	"Basel II Capital Standards: Appropriate for the United States?" - George Kaufman - Statement before U.S. Senate Committee on Banking, Housing, and Urban Affairs (1 of 5)	November 10, 2005
132	6	"Basel II Capital Standards: Appropriate for the United States?" - George Kaufman - Statement before U.S. Senate Committee on Banking, Housing, and Urban Affairs (2 of 5)	November 10, 2005
132	7	"Basel II Capital Standards: Appropriate for the United States?" - George Kaufman - Statement before U.S. Senate Committee on Banking, Housing, and Urban Affairs (3 of 5)	November 10, 2005
133	1	"Basel II Capital Standards: Appropriate for the United States?" - George Kaufman - Statement before U.S. Senate Committee on Banking, Housing, and Urban Affairs (4 of 5)	November 10, 2005
133	2	"Basel II Capital Standards: Appropriate for the United States?" - George Kaufman - Statement before U.S. Senate Committee on Banking, Housing, and Urban Affairs (5 of 5)	November 10, 2005



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**Series 8: Publications (Other Authors), 1966-2017**

133	3	"The National Income and Product Accounts of the United States, 1929-1965 Statistical Tables" - United States Department of Commerce Office of Business Economics	August 1966
133	4	"Long Term Economic Growth 1860-1965" - U.S Department of Commerce Bureau of the Census	October 1966
133	5	"Flow of Funds Accounts 1945-1968" - Board of Governors of the Federal Reserve System	March 1970
133	6	"Historical Overview of Financial Institutions in the United States" - Ronald Paul Auerbach - Federal Deposit Insurance Corporation	circa 1977
133	7	"Duration Tables for Bond and Mortgage Portfolio Management" - Alfred Weinberger, Henry Norhof, and Kenneth Scott - <i>Financial Publishing Company</i> Publication No. 761	1979
133	8	"Duration Tables for Bond and Mortgage Portfolio Management" - Alfred Weinberger and Kenneth Scott - <i>Financial Publishing Company</i> Publication No. 561	1980
134	1	"Deposit Insurance in a Changing Environment" - Federal Deposit Insurance Corporation	April 15, 1983
134	2	"The Evolution of Central Banks" - Charles Goodheart - London School of Economics and Political Science	October 1985
134	3	"FDIC Symbol of Confidence" - Federal Deposit Insurance Corporation	1986
134	4	Review of <i>Perspectives on Safe and Sound Banking: Past, Present, and Future</i> - Charles Goodheart	1986
141		Bank for International Settlements Annual Reports 59, 61, 62, 63, 64, 65, 66, 67, and 68	1988-1997
134	5	<i>Financial Analysts Journal</i>	January / February 1991
134	6	"Modernizing the Financial System" - Department of the Treasury	February 1991
134	7	"The Cost of Forbearance During the Thrift Crisis" - Congressional Budget Office Staff Memorandum	June 1991
134	8	"The Economic Effects of the Savings and Loan Crisis" - Congressional Budget Office	January 1992



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134	9	"The Comptroller and the Transformation of American Banking, 1960-1990" - Comptroller of the Currency - Washington, D.C.	October 1992
134	10	"Resolving the Thrift Crisis" - Congressional Budget Office	April 1993
134	11	"Bank Receivership and Conservatorship" - Walker Todd - Federal Reserve Bank of Cleveland <i>Economic Commentary</i>	October 1, 1994
134	12	"The Federal Reserve System Purposes and Functions" - Board of Governors of the Federal Reserve System	December 1994
134	13	<i>The Community Banker</i> Vol. 1, Issue 2 First Quarter	1995
134	14	Bank Failure materials	1915 / 1996
142		Bank for International Settlements Annual Reports 69, 70, 71, 72, 73, and 74; Bank for International Settlements Papers No. 1 (March 2001)	1998-2004
134	15	"A Brief History of Deposit Insurance in the United States" - FDIC - Prepared for the International Conference on Deposit Insurance - Washington, D.C.	September 1998
134	16	"The Economic Rationale for Financial Regulation" - David Llewellyn - Financial Services Authority	April 1999
135	1	Bank Runs and Deposit Insurance Publications	circa 2000s
135	2	"Regulation Y Bank Holding Companies and Change in Bank Control" - Board of Governors of the Federal Reserve System	July 1, 2002
135	3	Federal Reserve and Bank Failure Articles	2004, 2012
135	4	Mizuho Pension Report No. 62 - Mizuho Pension Research Institute	2005
135	5	"Decomposing the Effects of Financial Liberalization: Crises vs. Growth" - Romain Ranciere, Aaron Tornell, and Frank Westermann - National Bureau of Economic Research	December 2006
135	6	Bank Failure Articles	2007-2015
135	7	Federal Reserve Bank 2006 Supervisory Letter	April 4, 2007
135	8	Bank Run Articles	2008, 2014
135	9	FDIC Press Releases	2008
135	10	The Department of the Treasury Blueprint for a Modernized Financial Regulatory Structure	March 2008





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136	1	"Wages and Human Capital in the U.S. Financial Industry: 1909-2006" - Thomas Philippon and Ariell Reshef	December 2008
136	2	2007 Financial Crisis Articles	2009-2012
136	3	Financial Regulatory Reform A New Foundation: Rebuilding Financial Supervision and Regulation" - Department of the Treasury	June 17, 2009
136	4	Cato Policy Report Vol. 31, No. 4	July / August 2009
136	5	Banking Articles - research	2010
136	6	"Bank Lending During the Financial Crisis of 2008" - Victoria Ivashina and David Scharfstein - <i>Journal of Financial Economics</i> 97	2010
136	7	<i>Entrepreneurial Business Law Journal</i> Vol. 4, No. 2	2010
136	8	Financial Crisis Articles	2010-2014
136	9	"When Safe Proved Risky: Commercial Paper During the Financial Crisis of 2007-2009" - Marcin Kacperczyk and Philipp Schnabl - <i>Journal of Economic Perspectives</i> Vol. 24, No. 1	Winter 2010
136	10	"Federal Deposit Insurance Act Regulator's Use of Systemic Risk Exception Raises Moral Hazard Concerns and Opportunities Exist to Clarify the Provision" - Government Accountability Office Report to Congressional Committees	April 2010
136	11	"The Budgetary Impact and Subsidy Costs of the Federal Reserve's Actions During the Financial Crisis" - Congressional Budget Office Study	May 2010
136	12	Federal Reserve Bank of St. Louis <i>Review</i> Vol. 92, No. 6	November / December 2010
136	13	"IMF Performance in the Run-Up to the Financial and Economic Crisis" - Independent Evaluation Office of the International Monetary Fund	2011
136	14	"Industrial Loan Companies Supporting America's Financial System" - James Barth and Tong Li - Milken Institute	April 2011
137	1	Banking Articles	2012-2015
137	2	Money Market Fund Articles	2012
137	3	"Securities Lending and Repos: Market Overview and Financial Stability Issues" - Financial Stability Board	April 27, 2012



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137	4	Executive Profile: Barney Harford - <i>Chicago Tribune</i>	June 3, 2013
137	5	"Key Aspects of Macroprudential Policy" - International Monetary Fund	June 10, 2013
137	6	Government Support for Bank Holding Companies Statutory Changes to Limit Future Support Are Not Yet Fully Implemented - US Government Accountability Office Report to Congressional Requesters	November 2013
137	7	Financial Crisis Articles	2013-2014
137	8	<i>Business Review</i> Federal Reserve Bank of Philadelphia Vol. 97, Issue 2	2014
137	9	Financial Stability Oversight Council Annual Report	2014
137	10	Financial Reform Articles	2014
137	11	Photocopied pages from Robert Litan's <i>Trillion Dollar Economists</i>	2014
137	12	Financial Crisis Articles	2014-2016
138	1	"Components of U.S. Financial Sector Growth, 1950- 2013" Samuel Antill, David Hou, and Asani Sarkar - Federal Reserve Bank of New York <i>Economic Policy Review</i>	March 5, 2014
138	2	"Failing to End 'Too Big to Fail': An Assessment of the Dodd-Frank Act Four Years Later" - Report Prepared by the Republican Staff of the Committee on Financial Services - U.S. House of Representatives	July 2014
138	3	"Global Shadow Banking Monitoring Report 2014" - Financial Stability Board	October 30, 2014
138	4	"Sizing Up Repo" - Arvind Krishnamurthy, Stefan Nagel, and Dmitry Orlov - <i>The Journal of Finance</i> Vol. 69, No. 6	December 2014
138	5	"Repo Runs: Evidence from the Tri-Party Repo Market" - Adam Copeland, Antoine Martin, and Michael Walker - <i>The Journal of Finance</i> Vol. 69, No. 6	December 2014
138	6	Dodd-Frank Articles	2015
138	7	Dodd-Frank and risk management articles	2015
138	8	<i>FDIC Quarterly</i> Vol. 9, No. 1	2015
138	9	"Evaluation of Bank Systemic Risk" - Harald Benink, Namwon Hyung, and Casper D. de Vries	January 23, 2015



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138	10	"Systemic Importance Indicators for 33 U.S. Bank Holding Companies" - Meraj Allahrkha, Paul Glasserman, and H. Peyton Young - Office of Financial Research	February 12, 2015
138	11	"Regulators Call for Short-Term Loan Changes to Handle 'Too-Big-to-Fail'" - Katy Burne - <i>The Wall Street Journal</i>	April 5, 2015
138	12	"International Banking and Financial Market Developments" - Bank for International Settlements <i>Quarterly Review</i>	June 2015
138	13	"Getting the Sequence Right: Fix the Banks, Then Proceed with Monetary Policy" - Harvey Rosenblum - Western Economic Association International - Honolulu, Hawaii	June 29, 2015
138	14	<i>The American Economic Review</i> Vol. 105, No. 7	July 2015
138	15	Bank research articles	2016
138	16	"International Coordination of Financial Supervision: Why Has It Grown? Will It Be Sustained?" - Richard Herring	2017
<b><u>Series 9: Awards, 1983-2003</u></b>			
139		Graham and Dodd Award - George Kaufman - The Financial Analysts Federation - plaque	1983
139		Northern Michigan University School of Business and Management Award - George Kaufman - plaque	February 11, 1986
139		Midwest Finance Association Certificate of Appreciation for service as President - George Kaufman - plaque	1986-1987
139		Stetson University Roland George Investments Program Guest Speaker plaque - George Kaufman	Spring 1998
138	17	Adam Smith Address Award - George Kaufman, Edward Kane, and George Benston - National Association for Business Economics	September 28-30, 2000
138	18	North American Economics and Finance Association Award - George Kaufman - certificate	January 4, 2003
<b><u>Series 10: Office Materials, 2008, Undated</u></b>			
139		"The Ant and the Grasshopper, Updated" - political cartoon	2008
139		Table of Excuses - wall decoration	undated



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139	Producer's Circle WTTW commemorative clock	undated
139	Dalhousie University desk clock	undated
140	Nine briefcases, bags, and folders	undated