

Steven A. Ramirez
Abner J. Mikva Professor of Law
Loyola University Chicago
School of Law
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CURRENT POSITION:

Abner J. Mikva Professor of Law
Director, Center for Business Law
Loyola University Chicago School of Law

Courses:

Business Organizations (2006-2019 (fall and spring))
Corporate Governance Law and Practice (2011, 2012, 2014-2019)
Law & Accounting (2009, 2013-2017, 2019)
Environmental Justice (2018)
International Human Rights (2018 (Rome Program))
Law & the Subprime Debacle (2009-2017)
International Financial Transactions (2015 (Rome Program))
International Business Transactions (2011 (Beijing Program))
Critical Legal Studies (2010)
International Financial Regulation (2008 (Rome Program))
Comparative Economic Human Rights (2008 (Rome Program))
Law & Economics (2007)
Securities Litigation Seminar (2006, 2007)
Race & Law (2006, 2007)

Significant Service Obligations:

Appointments Committee (2019 (chair), 2018, 2006); LLSA Faculty Advisor (2006-present); AALS Committee on Recruitment and Retention of Minority Law Teachers and Students (2015-2018), Chair Scholarship Subcommittee (2015-2018); Associate Dean for Research and Faculty Development (2014-2017); Dean's Advisory Committee (2014-2017); Dean Search Committee (2017); University Rank and Tenure Committee (2012-2015); Academic Director of Online MJ Program in Business and Corporate Governance Law (2012-2014); Wang Tat Lee International Law Chair Search Committee (2011); Chair Search Committee (2008, 2009); Simon Constitutional Law Chair Search Committee (2007); AALS Planning Committee, Mid-Year Meeting, Section on Business Associations (2008-2009); Expert Contributing Expert, Rule of Law Index, World Justice Project (2016-present).

PRIOR POSITION:

Professor of Law
Founding Director, Business & Transactional Law Center
Washburn University School of Law

Courses:

Business Associations (1996-2004)
Law & Economics (1999-2002, 2003 (London Program), 2003-2006)
Evidence (1995-2001, 2005)
Financial Institution Regulation (1998, 1999, 2005)
Banking Law (1996, 1997)
Federal Corporate Law (2004s, 2004f)
Corporate Counseling (1999, 2003)
Agency, Partnerships & LLCs (2005, as visitor at William Mitchell College of Law)
Legal Research & Writing (1995, 1996)

Significant Service Obligations:

Chair, Scholarship Subcommittee of Accreditation Self-Study Committee (1999); Admissions Committee (1996, 1997); Faculty Recruitment Committee (1998); Moot Court Chair (2000, 2001); Trial Advocacy Coach (1995, 1996, 1997); Student Honor Code Prosecutor (1997); Chair, Continuing Legal Education Committee (2003); Faculty Advisor, HALSA (2003-2005); *Brown v. Board of Education* Fiftieth Anniversary Committee (2004).

PUBLICATIONS:**Books:**

THE CASE FOR THE CORPORATE DEATH PENALTY: RESTORING LAW AND ORDER ON WALL STREET (NYU Press 2017) (with Mary K. Ramirez).

LAWLESS CAPITALISM: THE SUBPRIME DEBACLE AND THE CASE FOR AN ECONOMIC RULE OF LAW (NYU Press 2013) (paperback ed. 2014).

Editor, KANSAS CORPORATION LAW & PRACTICE (1998).

Law Review Articles and Chapters:

Deracialization and Democracy, 70 CASE WESTERN RES. L. REV. __ (forthcoming 2019) (with Neil G. Williams).

On the Permanence of Racial Injustice and the Possibility of Deracialization, 69 CASE WESTERN RES. L. REV. 265 (2018) (with Neil G. Williams).

Diversity and Ethics: Toward an Objective Business Compliance Function, 49 LOYOLA U. CHI. L. J. 581 (2018) (symposium organizer).

Foreword: Diversity in the Legal Academy after Fisher II, 51 U.C. DAVIS L. REV. 979 (2018) (symposium organizer).

Diversity, Compliance, Ethics & In-House Counsel, 47 TOLEDO L. REV. 465 (2017) (symposium).

Social Justice and Capitalism: An Assessment of the Teachings of Pope Francis from a Law and Macroeconomics Perspective, 40 SEATTLE L. REV. 1229 (2017) (symposium co-organizer).

Diversifying to Mitigate Risk: Can Dodd–Frank Section 342 Help Stabilize the Financial Sector?, 73 WASH. & LEE L. REV. 1795 (2016) (with Kristin N. Johnson and Cary Martin Shelby).

Rodrigo’s Abstraction: Capitalism, Inequality and Reform Across Time and Space, 50 WAKE FOREST L. REV. 187 (2015).

Toward A Critical Corporate Law Pedagogy and Scholarship, 92 WASH. U. L. REV. 397 (2014) (with andre douglas pond cummings and Cheryl L. Wade) (symposium).

A New Set of Guiding Principles: Macroprudential Solutions to Risk Management Oversight and Systemic Risk Concerns, 11 ST. THOMAS L. J. 386 (2014) (with Kristin N. Johnson) (symposium).

The Virtues of Private Securities Litigation: An Historic and Macroeconomic Perspective, 45 LOYOLA U. CHI. L. J. 669 (2014) (symposium), *reprinted in* 2015 SEC. L. REV. §3.3 (D. Langevoort, ed.).

In Defense of the GSEs, 35 HAMLIN L. REV. 461 (2012) (published remarks from symposium).

Dodd-Frank as Maginot Line, 15 CHAPMAN L. REV. 109 (2011) (symposium).

Taking Economic Human Rights Seriously After the Debt Crisis, 42 LOY. U. CHI. L. J. 713 (2011).

Legal Risk Post-SOX and the Subprime Fiasco: Back to the Drawing Board, in ENTERPRISE RISK MANAGEMENT 351 (2010) (B. Simkins & J. Fraser, eds.).

Subprime Bailouts and the Predator State, 35 DAYTON L. REV. 81 (2009) (symposium).

Lessons from the Subprime Debacle: Stress Testing CEO Autonomy, 54 ST. LOUIS U. L. J. 1 (2009).

Enterprise-Wide Risk Management and Corporate Governance, 39 LOYOLA U. CHI. L. J. 571 (2008) (with Betty Simkins) (symposium).

The Special Interest Race to CEO Primacy and the End of Corporate Governance Law, 32 DEL. J. CORP. L. 345 (2007), *reprinted in* THE HISTORY OF MODERN U.S. CORPORATE GOVERNANCE (2011) (B. R. Cheffins, ed.).

The End of Corporate Governance Law: Optimizing Regulatory Structures for a Race to the Top, 24 YALE J. REG. 313 (2007).

American Corporate Governance and Globalization, 18 BERKELEY LA RAZA L. J. 47 (2007).

Taking Voting Rights Seriously: Race and the Integrity of Democracy in America, 27 N. ILL. U. L. REV. 427 (2007) (with Aliza Organick) (symposium).

Endogenous Growth Theory, Status Quo Efficiency, and Globalization, 17 BERKELEY LA RAZA L. J. 1 (2006).

The Chaos of Smith, 45 WASHBURN L. J. 343 (2006) (symposium).

Rethinking the Corporation (and Race) in America: Can Law (and Professionalization) Fix Minor Problems of Externalization, Internalization and Governance?, 79 ST. JOHN’S L. REV. 977 (2005) (symposium).

Bearing the Costs of Racial Inequality: Brown and the Myth of the Equality/Efficiency Trade-Off, 44 WASHBURN L. J. 87 (2004) (invited response).

Games CEOs Play and Convergence Theory: Why Diversity Lags in America's Boardrooms and What to Do About It, 61 WASH. & LEE L. REV. 1583 (2004) (symposium), reprinted in CORPORATE PRACTICE COMMENTATOR (2006) (R. B. Thompson, ed.).

What We Teach About When We Teach About Race: The Problem of Law and Pseudo-Economics, 54 J. LEG. EDU. 365 (2004), reprinted in JUAN F. PEREA ET AL., RACE AND RACES, CASES AND RESOURCES FOR A DIVERSE AMERICA (2nd ed. 2007 and 3rd ed. 2015).

The Law and Macroeconomics of the New Deal at 70, 62 MARYLAND L. REV. 515 (2003).

A Flaw in the Sarbanes-Oxley Reform: Can Diversity in the Boardroom Quell Corporate Corruption?, 77 ST. JOHN'S L. REV. 837 (2003) (symposium).

Market Fundamentalism's New Fiasco: Globalization as Exhibit B in the Case for a New Law and Economics, 24 MICH. J. INT'L L. 831 (2003) (book review of JOSEPH E. STIGLITZ, GLOBALIZATION & ITS DISCONTENTS (2002)).

Fear and Social Capitalism: The Law and Macroeconomics of Investor Confidence, 42 WASHBURN L. J. 31 (2002) (invited response).

The Professional Obligations of Securities Brokers Under Federal Law: An Antidote for Bubbles?, 70 U. CIN. L. REV. 527 (2002).

A General Theory of Cultural Diversity, 7 MICH. J. OF RACE & L. 33 (2001).

Depoliticizing Financial Regulation, 41 WM. & MARY L. REV. 503 (2000).

Diversity and the Boardroom, 6 STANFORD J. OF L. BUS. & FIN. 85 (2000).

The New Cultural Diversity and Title VII, 6 MICH. J. OF RACE & L. 127 (2000).

Arbitration and Reform in Private Securities Litigation: Dealing with the Meritorious as Well as the Frivolous, 40 WM. & MARY L. REV. 1055 (1999), reprinted in CORPORATE GOVERNANCE: LAW, THEORY, & POLICY (2004 and 2nd ed. 2009) (T. Joo, ed.).

The Misappropriation Theory of Insider Trading under United States v. O'Hagan: Why Its Bark is Worse than Its Bite, 26 SEC. REG. L. J. 162 (1998) (with Christopher M. Gilbert).

The Chaos of 12 U.S.C. Section 1821(k): Congressional Subsidizing of Negligent Bank Directors and Officers?, 65 FORDHAM L. REV. 625 (1996).

Other Publications:

Globalization and Transnationalism, in THE OXFORD ENCYCLOPEDIA OF LATINOS AND LATINAS IN CONTEMPORARY POLITICS, LAW, AND SOCIAL MOVEMENTS (2015).

The Case for an Economic Rule of Law, Fall 2012, LOYOLA LAW, at 30.

The Law and Economics of Discrimination, in THE ENCYCLOPEDIA OF LAW & SOCIETY 416 (David S. Clark, ed. 2007).

When Does the Securities Litigation Uniform Standards Act of 1998 Preempt State Law Claims?, ABA PREVIEW OF UNITED STATES SUPREME COURT CASES, Jan. 9, 2006, at 215.

Federal Deposit Insurance Act,s in II MAJOR ACTS OF CONGRESS 31 (Brian K. Landsberg, ed. 2004).

Federal Home Loan Bank Act (1932) in II MAJOR ACTS OF CONGRESS 46 (Brian K. Landsberg, ed. 2004).

Securities Act of 1933 in III MAJOR ACTS OF CONGRESS 170 (Brian K. Landsberg, ed. 2004).

Securities Exchange Act of 1934 in III MAJOR ACTS OF CONGRESS 174 (Brian K. Landsberg, ed. 2004).

Corporate Corruption and Wall Street Finagling: How Will it Play in Kansas After SLUSA?, KANSAS BAR J., Sept. 2003, at 14 (with Jeffrey S. Kruske).

Caveat Plaintiff: Congress has Defederalized Private Securities Litigation, KANSAS BAR J., Nov. 1998, at 16.

Numerous blog postings at <http://corporatejusticeblog.blogspot.com/> (2009-present).

HONORS AND AWARDS:

William O. Douglas Outstanding Professor of the Year, Class of 1998

Member, American Law Institute (2006)

Fellow, American Bar Foundation (2012)

Academic Visitor, Oxford University, Harris-Manchester College, Summer Research Institute (2018)

Outstanding Service Award, Fourth National People of Color Legal Scholarship Conference (2019)

SIGNIFICANT SCHOLARLY PRESENTATIONS, SYMPOSIA AND CONFERENCES:

Panelist, Fourth National People of Color Legal Scholarship Conference 2019, George Washington University, Washington College of Law, *Race and Democracy in Chains*, Washington, DC, Mar. 21-24, 2019.

Panelist, AALS Annual Meeting, Section on Socio-Economics, Gender, *Race and Competition in the New Economy*, New Orleans, LA, Jan. 4, 2019.

Panelist & Co-organizer, Loyola University Chicago, School of Law, Institute for Investor Protection Symposium, *Lehman 10 Years Later: Lessons Learned?*, *The Costs of Inequality*, Sept. 14, 2018.

Academic Visitor, Oxford University, Harris-Manchester College, Summer Research Institute, *Inclusion and Capitalism: The Rise and Fall of Western Liberalism*, June 30-July 6, 2018.

Panelist, Honoring Dr. King and His Legacy: Redoubling the Struggle for Equality and Inclusion in the Wake of the Social Legitimization of Racism, Midwestern People of Color Legal Scholarship Conference, Case Western Reserve University School of Law, *King versus the Critics*, Cleveland, OH, April 7, 2018.

Panelist, AALS Annual Meeting, Section on Socio-Economics, *The Financial Crisis 10 Years On*, San Diego, CA, Jan. 6, 2018.

Panelist, AALS Annual Meeting, Section on Socio-Economics, *Socio-Economics and the Critical Schools*, San Diego, CA, Jan. 6, 2018.

Roundtable Participant, AALS Annual Meeting, Section on Socio-Economics, *Teaching and Scholarship in a Polarized Society*, San Diego, CA, Jan. 4, 2018.

Faculty Workshop Presenter, Marquette University College of Law, *The Law & Macroeconomics of Climate Change*, Milwaukee, WI, Oct. 10, 2017.

Panelist & Co-organizer, Loyola University Chicago, School of Law, Institute for Investor Protection Symposium, *Corporate Ethics and Compliance in the Era of Re-deregulation*, Oct. 20, 2017.

Invited Participant, Institute for New Economic Thinking, *Reawakening: From the Origins of Economic Ideas to the Challenges of Our Time*, Edinburgh, Scotland, Oct. 21-23, 2017.

Panelist, LatCrit 2017, 2016 Election: What Next?, *The Case for the Corporate Death Penalty*, Orlando, FL, Sept. 29-30, 2017

Speaker & Co-organizer, Loyola University Chicago, School of Law, Norman C. Amaker Social Justice Retreat, *Law, Resistance, and Social Justice*, Mar. 31, 2017.

Speaker and Symposium Co-organizer, Seattle University, School of Law, *The Teachings of Pope Francis: Toward a Vision of Social Justice and Sustainable Capitalism?*, Feb. 4, 2017.

Panelist & Organizer, AALS Annual Meeting, Committee on Recruitment and Retention of Minority Law Teachers and Students, *Making Room for More: Theorizing Educational Diversity and Identifying Best Practices in the Age of Fisher*, San Francisco, CA, Jan. 5, 2017.

Panelist, AALS Annual Meeting, Section on Socio-Economics, *The Future of Corporate Law*, San Francisco, CA, Jan. 3, 2017.

Panelist and Planning Chair, Loyola University Chicago, ClassCrits IX: The New Corporatocracy and Election 2016, *Inequality and Subversion of Law*, Oct. 21-22, 2016.

Invited Speaker, Loyola University Chicago, Joan and Bill Hank Center for the Catholic Intellectual Heritage, Ignatian Pedagogy, *Teaching Social Justice*, Oct. 14, 2016.

Speaker, University of Toledo, College of Law, Creating Compliance Symposium, *Diversity, Compliance, Ethics & In-House Counsel*, Oct. 7, 2016.

Panelist & Co-organizer, Loyola University Chicago, Institute for Investor Protection Symposium, The New Normal of Financial Fraud, *The Role of Lawyers, Regulation, and Litigation*, Oct. 7, 2016.

Keynote Speaker, University of Notre Dame Law School, Journal of Legislation Symposium, *20 Years Later: Reflections on Securities Litigation Reform*, South Bend, IN, Sept. 30, 2016.

Panelist, University of Chicago School of Law, National Business Law Scholars Conference, *Diverse and Inclusive Leadership in Business: What's Happening in the Academy, the C-Suite, and the Boardroom?*, June 24, 2016.

Invited Speaker, Washburn University, School of Law, Diversity Symposium, *Diversity, Dodd-Frank, and Business: An Empirical Analysis*, Topeka, KS, Mar. 9, 2016.

Invited Speaker, Loyola University Chicago School of Law, Norman C. Amaker Social Justice Retreat, *The Case for the Corporate Death Penalty*, Mar. 5, 2016.

Panelist, Florida A&M University, College of Law, SE/SW People of Color Legal Scholarship Conference, *Corporate Law: A Critical Perspective*, Orlando, FL, Feb. 28, 2016.

Panelist, AALS, The Dodd-Frank Act's Fifth Anniversary, Section on Minority Groups, *Diversity and Compliance*, New York, NY, Jan. 8, 2016.

Faculty Workshop Presenter, Florida A&M University, College of Law, *Corrupted Justice and the Dodd-Frank Act*, Nov. 2, 2015.

Invited Speaker, American Constitution Society, *Corrupted Justice and the Dodd-Frank Act*, Orlando, FL, Oct. 30, 2015.

Faculty Workshop Presenter, Case Western Reserve University School of Law, *Corrupted Justice and the Dodd-Frank Act*, Cleveland, OH, Oct. 15, 2015.

Panelist, Loyola University Chicago, Pope Francis's Moral Message: Care for the Environment, Care for the Poor, *Social Justice and Growth*, Oct. 13, 2015 (responding to an address by Rev. Michael Garanzini, S.J., Chancellor).

Panelist, LatCrit 2015, Critical Constitutionalism, *Corrupted Justice*, Orange County, CA, October 1-3, 2015.

Roundtable Participant, Southeastern Association of Law Schools, Hot Topics in Financial Institutions Law and Regulation, *Corrupted Justice*, Boca Raton, FL, Aug. 1, 2015.

Invited Speaker, Liberty Fund, *Responsibility and the Financial Crisis*, Jekyll Island, GA, Apr. 30 - May 3, 2015.

Invited Participant, Institute for New Economic Thinking, INET 2015 Annual Conference: "*Liberté, égalité, fragilité*," Paris, France, Apr. 8-11, 2015.

Panelist & Co-organizer, Loyola University Chicago School of Law, *The New ERM: New Legal and Regulatory Terrain for ERM after Dodd-Frank: Outlook for Companies and Risk Managers*, Mar. 20, 2015.

Panelist, Loyola University Chicago School of Law, Norman Amaker Public Interest Law & Social Justice Retreat, *Corrupted Justice*, Mar. 1, 2015.

Invited Speaker, Illinois Holocaust Museum and Loyola University Chicago, The Legal Construction of Race, Race: Are We So Different?, *The Social Construction of Race*, Nov. 19, 2014.

Panelist, Loyola University Chicago, School of Law, Institute for Investor Protection Symposium, The New Landscape of Securities Fraud Class Actions, *The Virtues of Private Securities Litigation*, Oct. 24, 2014.

Invited Speaker, Liberty Fund, *Liberty, Prosperity and the Rule of Law in Smith, Bastiat, and Hayek*, Park City, UT, Aug. 10-16, 2014.

Panelist, AALS Mid-Year Meeting, Section on Business Associations, Workshop on Blurring Boundaries in Financial and Corporate Law, Political Dynamics of Corporate Governance Panel, *CEO Primacy Everywhere*, Washington, DC, June 7-9, 2014.

Panelist, University of Chicago, Booth School of Business, Ethics and ERM, Navigating the Grey: Tone at the Top, *Ethics, Diversity & Risk Management*, Apr. 16, 2014.

Plenary Speaker, Indiana Tech Law School, Midwestern People of Color Legal Scholarship Conference, Rethinking Critical Scholarship, *Toward a Critical Corporate Law Pedagogy and Scholarship*, Fort Wayne, IN, Apr. 3-5, 2014.

Invited Participant, Institute for New Economic Thinking, INET 2014 Annual Meeting, *Human After All*, Toronto, CA, Apr.10-12, 2014.

Krinock Lecturer, Thomas Cooley Law School, *Lawless Capitalism*, Lansing, MI, Oct. 9, 2013.

Panelist, LatCrit 2013, Resistance Rising: Theorizing and Building Cross-Sector Movements, *Law and Capitalism*, Chicago, IL, Oct. 5-6, 2013.

Speaker, Law and Society, Author Meets Readers, *Lawless Capitalism*, Boston, MA, May 30, 2013.

Panelist & Organizer, Loyola University Chicago School of Law, Midwestern People of Color Legal Scholarship Conference, The Re-education of Barack Obama, *Economic and Financial Regulation under Obama*, Apr. 20, 2013.

Invited Speaker, University of Kansas, School of Law, American Constitution Society, *Lawless Capitalism*, Apr. 17, 2013.

Invited Speaker, University of Missouri, School of Law, American Constitution Society, *Lawless Capitalism*, Apr. 11, 2013.

Co-speaker, Loyola University of Chicago, School of Law, Center for Business and Corporate Governance Law and Institute for Investor Protection, *Lawless Devils: Corruption, Politics and the Law*, Apr. 8, 2013 (with Bethany McLean).

Faculty Workshop Presenter, Saint Louis University School of Law, *Lawless Capitalism*, Mar. 21, 2013.

Invited Speaker, DePaul University College of Law, Economic Justice Series, *Lawless Capitalism*, Mar. 6, 2013.

Panelist, University of Utah, S.J. Quinney College of Law, Austerity vs. Growth: Lessons for the US Economy, *Government Spending and Growth*, Salt Lake City, UT, Mar. 1, 2013.

Faculty Workshop Presenter, Northeastern University School of Law, *Lawless Capitalism*, Boston, MA, Feb. 13, 2013.

Panelist, Loyola University, School of Law, Norman Amaker Public Interest & Social Justice Retreat, *Lawless Capitalism*, Feb. 9, 2013.

Panelist, University of Pennsylvania Law School, Mid-Atlantic People of Color Legal Scholarship Conference, President Lincoln's Emancipation Proclamation: On Questions, Doubts, and the Problems of Full Citizenship, *Corporate Boards and Interest Convergence*, Jan. 25, 2013.

Invited Speaker, Washburn University School of Law, Business and Transactional Law Center, *Lawless Capitalism*, Jan. 24, 2013.

Panelist, AALS Annual Meeting, Section on Socio-Economics, Corporate Personhood, Fiduciary Duties, Social Responsibility and Governance, *Lawless Capitalism*, New Orleans, LA, Jan. 4, 2013.

Keynote Speaker, Society of Socio-Economists, Annual Meeting, *Lawless Capitalism*, New Orleans, LA, Jan. 3, 2013.

Panelist, Suffolk University Law School, Northeast People of Color Legal Scholarship Conference, *The Invisible Costs of Economic Oppression*, Boston, MA, Oct. 26, 2012.

Panelist, University of Washington School of Law, The New ERM, Managing Risk in a Complex World, *ERM and Diversity*, Seattle, WA, Oct. 5, 2012.

Dorothy L. Thompson Civil Rights Lecturer, Kansas State University, *Affirmative Action at the Crossroads: The Uncertain Future of Race-Conscious College Admissions*, Manhattan, KS, Sept. 19, 2012.

Keynote Speaker, Marquette University Law School, Midwestern People of Color Legal Scholarship Conference, *Remembering Derrick Bell*, Milwaukee, WI, Apr. 14, 2012.

Panelist, University of Utah, S.J. Quinney College of Law, Three Years after Lehman: Assessing the Regulatory Reforms on the Next Financial Crisis, *Dodd-Frank's Delays*, Feb. 24, 2012.

Faculty Workshop Presenter, Capital University Law School, *Law and Subprime Debacle*, Columbus, OH, Feb. 13, 2012.

Faculty Workshop Presenter, University of Oregon, School of Law, *Law and the Subprime Debacle*, Eugene, OR, Feb. 6, 2012.

Invited Speaker, University of Oregon, Wayne Morse Center of Law and Politics, *Law and the Subprime Debacle*, Eugene, OR, Feb. 6, 2012.

Panelist, Howard University School of Law, Mid-Atlantic People of Color Legal Scholarship Conference, The Response of Contemplative Lawyering to the Economic Dominance of the Corporate Structure, *The Power Structure of Law*, Washington, DC, Jan. 27, 2012.

Panelist, AALS Annual Meeting, Section on Socio-Economics, Corporate Fiduciary Duties, Social Responsibility and Governance, *Law and the Subprime Debacle*, Washington, DC, Jan. 5, 2012.

Faculty Workshop Presenter, Loyola University New Orleans College of Law, *Law and Subprime Debacle*, Oct. 20, 2011.

Panelist, LatCrit XVI, Global Justice: Theories, Histories, Futures, San Diego, *Law and the Subprime Debacle*, CA, Oct. 6-9, 2011.

Panelist, Hamline University, School of Law, Business Law Institute Symposium, *Rethinking Mortgage Reform*, Minneapolis, MN, Oct. 3, 2011.

Invited Speaker, Peking University Law School, *Reimagining Capitalism*, Beijing, China, June 8, 2011.

Research Roundtable Participant, Northwestern University, School of Law, Searle Center on Law, Regulation and Economic Growth, *Innovation Policy, Intellectual Property, and Entrepreneurship*, Chicago, IL, Apr. 29, 2011.

Invited Participant, Institute for New Economic Thinking, *Crisis and Renewal: International Political Economy at the Crossroads*, Bretton Woods, New Hampshire, April 7- 8, 2011.

Panelist, Joint Legal Scholarship Conference for Southeast, Southwest and Midwestern People of Color, *The Role of the Law in Fostering Social, Political and Economic Equality*, Ft. Lauderdale, FL, April 2, 2011.

Invited Speaker, St. John's University, School of Law, Ronald H. Brown Center for Civil Rights and Economic Development, *Reimagining Capitalism*, New York, NY, Mar. 30, 2011.

Panelist, Chapman University School of Law, Annual Law Review Symposium, From Wall Street to Main Street: The Future of Financial Regulation, *Dodd-Frank as Maginot Line*, Orange, CA, Jan. 28, 2011.

Panelist, AALS Annual Meeting, Section on Minority Groups, What is Economic Development After Crisis and Disaster?, *Reimagining Capitalism*, San Francisco, CA, Jan. 7, 2011.

Panelist, AALS Annual Meeting, Section on Socio- Economics, Class, Critical Analysis and Socio- Economics, *The Rule of Law and the Crisis*, San Francisco, CA, Jan. 6, 2011.

Speaker, University of California—Berkeley School of Law, Society of Socio- Economists and the Law and Economics Center, *Rethinking Perfect Competition*, Jan. 4, 2011.

Speaker, University of Utah, S.J. Quinney School of Law, *Foreclosure Fiasco: Lost Promissory Notes and the Mortgage Electronic Registration System*, Nov. 8, 2010.

Panelist, University of Denver Sturm College of Law, LatCrit XV, The Color of the Economic Crisis: Exploring the Downturn from the Bottom Up, *Race and the Crisis*, Oct. 7-10, 2010.

Panelist, Seton Hall University School of Law, Third National People of Color Scholarship Conference, *A Failure of Capitalism*, Newark, NJ, Sept. 10, 2010.

Panelist & Organizer, Loyola University Chicago School of Law, Midwestern People of Color Legal Scholarship Conference, *The Myth of the American Meritocracy: From Crony Capitalism to Professionalized Corporate Governance*, Apr. 17, 2010.

Invited Participant, Cambridge University and the Institute for New Economic Thinking, Inaugural Conference, Institute for New Economic Thinking, *The Economic Crisis and the Crisis in Economics*, Apr. 8-11, 2010.

Panelist, AALS Annual Meeting, Section on Socio-Economics, Efficiency, Growth and Distribution, *The Rule of Law and Macroeconomics*, New Orleans, Jan. 7, 2010.

Panelist, American University Washington College of Law, LatCrit XIV, Outsiders Inside the Beltway: Critical Outsider Theory and Praxis in the Policymaking of the New American Regime, *TBTf?*, Bethesda, MD, October 1-4, 2009.

Panelist, University of Utah, S.J. Quinney College of Law, The Financial Crisis: Regulatory and Corporate Governance Critiques and Reforms, *CEO Primacy and the Crisis*, Sept. 25, 2009.

Panelist & Organizer, AALS Mid-Year Meeting, Section on Business Associations, Taking Stock of the Field and Corporate Social Accountability, *Corporate Accountability and the Financial Crisis*, Long Beach, CA, June 7-12, 2009.

Presenter, University of Iowa College of Law, Midwestern People of Color Legal Scholarship Conference, *Law and the Subprime Debacle*, Iowa City, IA, May 8, 2009.

Research Roundtable Participant, Northwestern University School of Law, Searle Center on Law, Regulation and Economic Growth, *Corporate Governance*, Chicago, IL, Apr. 30, 2009.

Speaker, Northwestern University School of Law, Searle Center on Law, Regulation and Economic Growth, Attorneys General Education Program, Public Policy Institute, *The Aftermath: The Proper Role of Civil and Criminal Enforcement in the Wake of the Financial Crisis*, Chicago, IL, Apr. 23, 2009.

Panelist, University of Dayton School of Law, The Fallout from the Bailout Symposium, *The Subprime Society and Subprime Bailouts*, Mar. 20, 2009.

Panelist, AALS Annual Meeting, Section on Socio-Economics, *The Critical Schools and the Subprime Mortgage Crisis*, San Francisco, CA, Jan. 8, 2009.

Research Roundtable Participant, Northwestern University School of Law, Searle Center on Law, Regulation and Economic Growth, *Solomon's Knot: How Law Can End the Poverty of Nations*, Chicago, IL, Dec. 10- 11, 2008.

Speaker, Illinois Attorney General's Office, Continuing Legal Education, *MBS, CDOs, and SIVs: The ABC's of The Subprime Mortgage Fiasco*, CLE, Springfield, IL, Dec. 9, 2008.

Invited Speaker, University of West Virginia College of Law, *Fannie, Freddie and the CRA: A Case of Political Fraud?*, Morgantown, WV, Oct. 29, 2008.

Faculty Workshop Speaker, University of West Virginia College of Law, *The Law of Odious Debt*, Oct. 29, 2008.

Panelist, Seattle University School of Law, LatCrit XIII, Representation and Republican Governance: Critical Interrogation of Electoral Systems and the Exercise of the Franchise, *Convergence Theory and the Subprime Mortgage Debacle*, October 2-4, 2008.

Faculty Workshop Speaker, Capital University, School of Law, *Lessons from the Subprime Debacle: Stress Testing CEO Autonomy*, Columbus, OH, Sept. 15, 2008.

Faculty Workshop Presenter, John Marshall, School of Law, *The Inevitability of Law and Macroeconomics*, Chicago, IL, Apr. 21, 2008.

Panelist & Organizer, Loyola University Chicago, School of Law, Business and Corporate Governance Law Center, Emerging Issues: Integrated Risk Management and Corporate Governance Symposium, *ERM and Corporate Governance*, Apr. 20, 2007.

Plenary Speaker, Washington University, School of Law, Midwestern People of Color Legal Scholarship Conference, *Advice for Junior Scholars*, St. Louis, MO, Apr. 14, 2007.

Symposium Speaker, Northern Illinois University College of Law, Emerging Issues in Election Law, *Election Law and Race*, Mar. 26, 2007.

Panelist, University of Nevada Las Vegas, William S. Boyd School of Law, LatCrit XI, Working and Living in the Global Playground: Frontstage and Backstage, *Endogenous Growth Theory and Anti-Subordination*, October 5-8, 2006.

Panelist, Universidad de los Andes, LatCrit South North Exchange 2006, *American Corporate Governance and Globalization*, Bogota, Columbia, May 18, 2006.

Panelist, Georgetown-Harvard Conference on Economic and Social Inequality: The Role of Race in Law, Markets and Social Structures, *Taking Economic Human Rights Seriously*, Washington, DC, Mar. 24, 2006.

Panelist, LatCrit X, Critical Approaches to Economic Injustice, *Endogenous Growth Theory and the Flawed Infrastructure of Globalization*, San Juan, Puerto Rico, Oct. 6-10, 2005.

Panelist & Organizer, Washburn University School of Law, Business and Transactional Law Center, The Chaos of *Smith*, *Smith v. Van Gorkom Twenty Years Later*, Sept. 30, 2005.

Panelist & Organizer, University of Notre Dame, Midwestern People of Color Legal Scholarship Conference, *Beyond Tenure: Diversifying the Law School and Race and Democracy*, Notre Dame, IN, Apr. 7-10, 2005.

Panelist, St. John's University, School of Law, Race, Gender and the Corporation Symposium, *Race and the Structure of the Corporation*, New York, NY, Mar. 2005.

Presenter, George Washington University, School of Law, Second National People of Color Legal Scholarship Conference, *The Potential of Law and Macroeconomics*, Washington, DC, Oct. 7-10, 2004.

Panelist, AALS Mid-Year Meeting, Section on Minority Groups, AALS Workshop on Racial Justice in a New Millennium: From *Brown* to *Grutter*, *What We Teach About When We Teach About Race: A Perspective From Law and Economics*, Portland, OR, June 13-15, 2004.

Panelist & Organizer, Washburn University, School of Law, Business and Transactional Law Center, *Diversity in Corporate America: From Brown v. Board of Education and Beyond*, Apr. 7, 2004.

Faculty Workshop Speaker, Saint Louis University, School of Law, *What We Teach About When We Teach About Race: The Problem of Law and Pseudo-Economics*, Apr. 2004.

Panelist, Washington & Lee University, School of Law, Critical Race Theory: The Next Frontier Symposium, *Diversity and CEOs*, Lexington, VA, Mar. 2004.

Panelist, AALS Annual Meeting, Section on Minority Groups, The Impact of Corporate Scandals on Communities of Color, *Race and the Increasing Concentration of Economic Power in the Law of Corporations*, Atlanta, GA, Jan. 2004.

Panelist, St. John's Law School, Northeast People of Color Legal Scholarship Conference and The Ronald H. Brown Center for Economic Development, *A Flaw in the Sarbanes-Oxley Reform: Can Diversity in the Boardroom Quell Corporate Corruption?*, New York, NY, April 2003.

Speaker & Organizer, Washburn University School of Law, Center for Business and Transactional Law, The Ever More Treacherous Terrain for Business and Transactional Lawyers: An Update on Sarbanes-Oxley and the New ABA Proposals, *The New Federal Rules of Professional Responsibility*, Topeka and Wichita, KS, Oct. 25, 2003 and Nov. 7, 2003.

Speaker & Organizer, Washburn University School of Law, Business and Transactional Law Center, Sarbanes-Oxley and the Corporate Counsel, *The New Federal Rules of Professional Responsibility*, Mar. 2003.

Speaker, Kansas Bar Association, Corporate & Commercial Law Conference, *The Sarbanes-Oxley Act of 2002: The New Federal Rules of Professional Responsibility*, Topeka, KS, Feb. 2003.

Speaker, Kansas Bar Association, Corporate & Commercial Law Conference, *The Sarbanes-Oxley Act of 2002 and Recent Developments in the War on Corporate Fraud*, Topeka, KS, Oct. 2002.

Presenter, University of Kentucky, College of Law, Central States Law School Association, Annual Meetings, *Law and Macroeconomics*, Lexington, KY, Sept. 2002.

Speaker, Kansas City Metropolitan Bar Association, *Recent Developments in the Law of Lawyering and the Corporate Client: Ethics 2000 and Beyond*, Kansas City, MO, Sept. 2001.

Speaker, Kansas City Metropolitan Bar Association, 15th Annual Corporate Counsel Conference, *"But My Lawyer Said I Could:" The Advice of Counsel Defense*, June 2001.

Presenter, University of Nebraska School of Law, Midwestern People of Color Legal Scholarship Conference, *Professionalism and Fiduciary Duty*, Mar. 2001.

Keynote Speaker, University of Nebraska School of Law, Hispanic National Bar Association, The New Cultural Diversity and the Old Racial Mythology, Law Student Division, Regional Meeting, Apr. 2000.

Presenter, Northeast People of Color Legal Scholarship Conference, *Diversity and the Boardroom*, San Juan, Puerto Rico, Apr. 2000.

Presenter, Midwestern People of Color Legal Scholarship Conference, *Diversity and the Boardroom*, Sedona, AZ, Mar. 2000.

Presenter, John Marshall Law School, First National Meeting of the Regional People of Color Legal Scholarship Conference, *Regulation and Public Choice*, Chicago, IL, Mar. 25-27, 1999.

Speaker, Kansas City Metropolitan Bar Association, 11th Annual Corporate Counsel Conference, *A Retrospective Analysis of Smith v. Van Gorkom and its Ethical Implications*, June 1997.

Presenter, Loyola University Chicago School of Law, Midwestern People of Color Legal Scholarship Conference, *Securities Litigation Reform*, Apr. 1997.

Presenter, Saint Louis University School of Law, Midwestern People of Color Legal Scholarship Conference, *The Chaos of 12 U.S.C. Section 1821(k) and the Macroeconomics of Corporate Governance*, Mar. 1996.

OTHER POSITIONS:

Public Arbitrator, NASD/FINRA: Served as arbitration panelist (usually chair) in stockbroker-customer disputes.

Board Director: Society of American Law Teachers (2017-present).

Board Director and Officer: Midwestern People of Color Legal Scholarship Conference, Inc. (2001-2018) (not-for-profit organization formed to facilitate legal scholarship from diverse voices).

Selected Expert Witness Testimony:

Miller v. Fahnestock (District Court Shawnee County, Kan. 1999) (deposition testimony for plaintiff against securities broker; case settled before trial);

Rider v. Fahnestock (NASD Arbitration 1999) (hearing testimony for plaintiff; plaintiff's verdict);

U.S. v. Schleicher (W.D. Mo. 2001) (designated on behalf of attorney defendant; directed verdict for defendant);

Perry v. Hollis, (District Court Johnson County, Kan. 2001) (deposition testimony on behalf of attorney malpractice plaintiff; case settled);

Aerotek, Inc. v. Limas Communications, Inc. (Circuit Court Howard County, Md. 2001) (trial testimony for corporate defendant; jury verdict for plaintiff);
In re Bates (Department of Veterans Affairs, 2002) (hearing testimony on behalf of attorney defendant);
Superior Communications, Inc. v. Stifel Nicolaus (NASD 2002) (hearing testimony for plaintiff; plaintiff's verdict);
Robison v. Edward D. Jones & Co. (NASD 2003) (hearing testimony for plaintiff; plaintiff's verdict);
In re Westar Energy (Kansas Corp. Comm. 2003) (hearing testimony on behalf of Westar regarding capital restructuring; settlement after hearing);
Owen v. Turner (District Court of Shawnee County, Kan. 2004) (affidavit in support of summary judgment for attorney defendant; summary judgment granted);
Gonzales v. Sun Health Care, Inc. (Superior Court, Fresno County, Cal. 2004) (deposition testimony for plaintiff regarding veil-piercing; case settled before trial);
Old Exchange National Bank v. Metro East Title Corp. (Circuit Court, St. Clair County Ill. 2009) (testimony regarding unsafe and unsound subprime lending program; case settled in 2011);
National Bank of Kansas City v. Smith Breeden (AAA Arbitration) (testified on behalf of bank investor in subprime mortgage securities against investment advisor; ruling in favor of investment advisor in 2011);
Bonhomme Investment Partners v. Thompson Coburn, LLP (Circuit Ct. St. Louis County Mo. 2018) (deposition testimony for attorney malpractice plaintiff; appeal pending).

EDUCATION:

Saint Louis University School of Law, J.D., cum laude (1986)

Articles Editor, Saint Louis University Law Journal

Comment, *Social Host Liability and Missouri Tort Law*, 29 ST. LOUIS U. L. J. 509 (1985)

Teaching Assistant, Legal Research & Writing and Contracts I

Research Assistant, Professor Nicolas Terry

Intern, Internal Revenue Service, St. Louis District Counsel's Office

Academic Scholarship Recipient

University of Missouri, A.B., Economics (1983)

PRACTICE EXPERIENCE:

Partner, Robinson Curley & Clayton, Chicago, IL (1992-1995)

Primary supervisory attorney on multi-million dollar securities and banking cases. Primary client contact for FDIC/RTC cases involving accountant malpractice, director and officer liability, loan workouts, and attorney malpractice claims. Served as regional counsel for FDIC/RTC with respect to bank insolvencies attributed to securities and futures trading. Developed over \$1 million in client billings. Also worked on insurance liquidation cases, derivative/shareholder claims, employment cases, bank regulatory and compliance issues, and securities class actions. Defended securities brokers in regulatory proceedings and customer arbitrations.

Senior Attorney, FDIC/RTC, Chicago, IL (1991-1992)

Directed investigations and supervised outside counsel in multi-million dollar claims arising from failed banks. Claims included attorney and accountant malpractice, director and officer liability, inappropriate securities trading, violations of regulatory mandates and imprudent loans. Represented FDIC/RTC at closings of insolvent banks. Advised receiverships on wide range of bank compliance and insolvency issues.

Enforcement Attorney, SEC, Chicago, IL (1988-1990)

Investigated and prosecuted violations of federal securities laws including insider trading, disclosure flaws in public and private offerings, investment advisor misconduct, and misconduct under periodic disclosure rules. Supervised major insider trading case. Tried major securities fraud case involving public offering of securities. Also obtained emergency equitable relief against sellers of unregistered securities and securities fraudfeasors.

Associate, Lewis & Rice, St. Louis, MO (1986-1988)

Worked in corporate and commercial litigation departments practicing exclusively banking, securities and corporate law. Projects included working on bank public offering, conversion of mutual savings association, merger transaction, community reinvestment act compliance, failed bank investigation, antitrust litigation, D&O litigation, lender liability litigation,

Other Experience:

Hearing Officer, Kansas Securities Commissioner

Advisory Council, Kansas Securities Commissioner

Arbitrator, National Futures Association

Bar Review Instructor: Taught corporations class to prepare law grads for Kansas Bar (1997-2004)

Midwestern People of Color Legal Scholarship Conference: Planning Committee (2005, 2010, 2013)

Board Director, AmVestors CBO I & II, Inc., (2000-04) (\$250 million structured bond funds)

Of Counsel, Polsinelli, Shalton & Welte, Kansas City, MO (2001-02)

Associate, Securities, Futures and Options Practice Group, Altheimer & Gray, Chicago, IL (1990)

Associate, Beigle & Sandler, Chicago, IL (1991)

Board Director, Riverway School, St. Louis, MO (1986-88)

Corporate Governance & Diversity Consultant (2014-present)

Bar Memberships:

Missouri (1986), Illinois (1987 (inactive)), Northern District of Illinois, Federal Trial Bar (1991). *Pro hac vice*: Iowa, Indiana, New York, Texas, Michigan, California.

8/1/2019