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Office Hours, including By Appointment

day and Thursday 10:00 – 10:45

**Fin 335, Section 201, Investments, Spring Semester 2014**  
**Tuesdays and Thursdays, 8:30 – 9:45, Corboy 901**

**FIN 335-201 Investments**

This course is designed to provide a basic framework for investment analysis. You will be introduced to the range of financial instruments and the markets in which they trade. We will focus on the analysis of equity securities (common stocks) and

1. Understand the process of valuation for stocks and bonds
2. Learn the role of derivatives to manage risk.

The required text is Essentials of Investments by Bodie, Kane and Marcus, 9<sup>th</sup> edition, McGraw- Hill.

es: The final course grade will be based on:

Quizzes	15%
Project	10%
Mid-term exam	35%
Final exam	40%

There will be two quizzes at the beginning of the class on February 20 and April 17. The project will be due on April 24.

A	100-93%
A-	92-90
B+	89-87
B	86-83
B-	82-80
C+	79-77
C	76-73
C-	72-70
D+	69-67
D	66-60
F	59 and below

## Quinlan Student Responsibility Policy

Attendance and participation are fundamental components of learning, so punctual attendance at all classes, for the full class meeting period, is expected of Quinlan students. Faculty may set participation policies unique to their courses and use class participation as a component of the final grade. The student is responsible for any assignments or requirements missed during an absence.

Loyola University academic policy provides that tests or examinations may be given during the semester or summer sessions as often as deemed advisable by the instructor. Because Quinlan faculty believe examinations represent a critical component of student learning, required examinations should be taken during the regularly scheduled class period. **Make-up examinations are discouraged.** Exceptions may be granted only by the faculty member or department chair, and only for unavoidable circumstances (illness verified by a signed physician's note, participation in intercollegiate athletic events, subpoenas, jury duty, military service, bereavement, or religious observance). A make-up final examination may be scheduled with the permission of the appropriate Quinlan Assistant or Associate Dean.

If a make-up examination must be given, it is the responsibility of the faculty member to prepare, schedule, and proctor the exam. The only regular exception is for a student athlete, who may use the testing services of the Athletics Department to complete a make-up examination. For a student with a documented special testing need, please consult University policy governing use of the testing center in Sullivan Center at Lake Shore Campus.

All members of the Quinlan School shall refrain from academic dishonesty and conduct in all forms, including plagiarism, cheating, misrepresentation, fabrication, and hood...Plagiarism or cheating on the part of the student in individual or group academic or in examination behavior will result minimally in the instructor assigning the grade of "F" on the assignment or examination. In addition, all instances of academic dishonesty must be reported to the chairperson of the department involved.

For further information about expectations for academic integrity and sanctions for violations, consult the complete Quinlan School of Business Honor Code and Statement of Academic Integrity on the Quinlan website:

<http://www.luc.edu/media/lucedu/quinlanschoolofbusiness/pdfs/Honor-Code-Quinlan-July2012.pdf>

## Class by Class/Week by Week Course Outline

<b>Date</b>	<b>Topic &amp; Assignment/Readings</b>
Jan 14 – Jan 23.	Investments: Background and Issues. Financial Global Instruments. Chapters 1,2. Problems: 1-1, 2, 3, 14. 2-13,17,19,29.
Jan 23 – Jan 30	How Securities are Traded. Chapter 3. Problems: 11,12,13,16,17
Feb 4 – Feb 6	Mutual Funds and other Investment Companies. Chapter 4 Problems: 10,11,13,16,17
Feb 11 – Feb 18	Risk and Return. CAPM Chapter 5 Problems: 4,6,9, CFA 3,10 Chapter 7
Feb 20 – Mar 11	Bond Prices and Yields. Chapter 10. Problems: 7,9,13,15,35
Feb 27	Midterm exam

Mar 13 – Mar 20      Macroeconomic and Industry Analysis

Chapter 12

Problems: 13,15,17,19,22

Mar 25 – Apr 1      Equity Valuation

Chapter 13

Problems: 13,14,19, CFA 2

Apr 3 – Apr 11 Equity Valuation. Financial Statement Analysis.

(April 8 class rescheduled to April 11)

Chapter 14

Problems: 4,8, CFA 1,2

Apr 15 – Apr 17      Options Markets

Chapter 15

Problems: 6,11,27, CFA 1

Apr 22 – Apr 24      Futures Markets. Course Review

Chapter 17

Problems: 6, CFA 3,4

Saturday May 3