Michael Harper
312-915-7111, mharpe1@luc.edu
Maguire Office 460
1 East Pearson, Chicago, IL 60611
Office Hours, including By Appointment
Tuesday and Thursday 11:30 – 1:00

Fin 335, Section 102, Investments, Fall Semester 2014
Tuesdays and Thursdays, 8:30 – 9:45AM, Corboy 422

Catalog Description

FIN 335-102 Investments

Course Overview

This course is designed to provide a basic framework for investment analysis. You will be introduced to the range of financial instruments and the markets in which they trade. We will focus on the analysis of equity securities (common stocks) and fixed income securities (bonds). We will survey derivatives (options and futures).

Course Objectives and Learning Outcomes

1. Understand the process of valuation for stocks and bonds
2. Learn the role of derivatives to manage risk.

Required Materials

The required text is Essentials of Investments by Bodie, Kane and Marcus, 9th edition, McGraw- Hill.
Course Requirements and Grading Criteria

Grades: The final course grade will be based on:

- Quizzes 15%
- Project 10%
- Mid-term exam 35%
- Final exam 40%

There will be two quizzes at the beginning of the class on October 2 and November 25. The project will be due on December 4.

Course Grading Scale

<table>
<thead>
<tr>
<th>Grade</th>
<th>Percentage</th>
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<tbody>
<tr>
<td>A</td>
<td>100-93%</td>
</tr>
<tr>
<td>A-</td>
<td>92-90</td>
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<tr>
<td>B+</td>
<td>89-87</td>
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<tr>
<td>B</td>
<td>86-83</td>
</tr>
<tr>
<td>B-</td>
<td>82-80</td>
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<td>C+</td>
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<td>D+</td>
<td>69-67</td>
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<tr>
<td>D</td>
<td>66-60</td>
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<tr>
<td>F</td>
<td>59 and below</td>
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**Quinlan School of Business Policies:**

**Attendance**

Class attendance and participation are fundamental components of learning, so punctual attendance at all classes, for the full class meeting period, is expected of Quinlan students. Faculty may set participation policies unique to their courses and use class participation as a component of the final grade. The student is responsible for any assignments or requirements missed during an absence.

**Make-Up Examinations**

Loyola University academic policy provides that tests or examinations may be given during the semester or summer sessions as often as deemed advisable by the instructor. Because Quinlan faculty believe examinations represent a critical component of student learning, required examinations should be taken during the regularly scheduled class period. **Make-up examinations are discouraged.** Exceptions may be granted only by the faculty member or department chair, and only for unavoidable circumstances (illness verified by a signed physician’s note, participation in intercollegiate athletic events, subpoena, jury duty, military service, bereavement, or religious observance). A make-up final examination may be scheduled only with the permission of the appropriate Quinlan Assistant or Associate Dean.

If a make-up examination must be given, it is the responsibility of the faculty member to prepare, schedule, and proctor the exam. The only regular exception is for a student athlete, who may use the testing services of the Athletics Department to complete a make-up examination. For a student with a documented special testing need, please consult University policy concerning use of the testing center in Sullivan Center at Lake Shore Campus.
**Academic Integrity**

All members of the Quinlan School shall refrain from academic dishonesty and misconduct in all forms, including plagiarism, cheating, misrepresentation, fabrication, and falsehood... Plagiarism or cheating on the part of the student in individual or group academic work or in examination behavior will result minimally in the instructor assigning the grade of “F” for the assignment or examination. In addition, all instances of academic dishonesty must be reported to the chairperson of the department involved.

# Class by Class/Week by Week Course Outline

<table>
<thead>
<tr>
<th>Class Number &amp; Date</th>
<th>Topic &amp; Assignment/Readings</th>
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</table>
Chapters 1, 2.  
Problems: 1-1, 2, 3, 14. 2-13, 17, 19, 29. |
| Sep 9 – Sep 16      | How Securities are Traded.  
Chapter 3.  
Problems: 110, 12, 13, 16, 17 |
| Sep 18 – Sep 23     | Mutual Funds and other Investment Companies.  
Chapter 4  
Problems: 10, 11, 13, 16, 17 |
| Sep 25 – Oct 2      | Risk and Return:  
Chapter 5  
Problems: 4, 6, 9, CFA 3, 10 |
| Oct 9 – Oct 16      | Bond Prices and Yields.  
Chapter 10.  
Problems: 7, 9, 13, 15, 35 |
| Oct 9               | Midterm exam |
| Oct 21 – Oct 30     | Macroeconomic and Industry Analysis |
Chapter 12
Problems: 13,15,17,19,22

Nov 4 – Nov 11  Equity Valuation

Chapter 13
Problems: 13,14,19, CFA 2


Chapter 14
Problems: 4,8, CFA 1,2

Nov 20 – Nov 25  Quiz #2, Options Markets

Chapter 15
Problems: 6,11,27, CFA 1

Dec 2 – Dec 4  Project due, Futures Markets. Course Review

Chapter 17
Problems: 6, CFA 3,4
<table>
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<tr>
<th>Final Exam Month/Day</th>
<th>Saturday December 13</th>
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<tr>
<td></td>
<td>9:00 – 11:00 AM</td>
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**Please note:** This class may occasionally deviate from the course outline above. The instructor reserves the right to make changes as needed to the course syllabus.